



HEALTH QUARTERLY STATEMENT  
AS OF SEPTEMBER 30, 2020  
OF THE CONDITION AND AFFAIRS OF THE  
AMERIGROUP Ohio, Inc. dba AMERIGROUP Community Care

|                                       |  |                 |                                    |   |                      |            |
|---------------------------------------|--|-----------------|------------------------------------|---|----------------------|------------|
| NAIC Group Code                       | 0671<br>(Current)  | 0671<br>(Prior) | NAIC Company Code                  | 10767   | Employer's ID Number | 13-4212818 |
| Organized under the Laws of           | Ohio   |                 | State of Domicile or Port of Entry |   |                      | OH         |
| Country of Domicile                   | United States of America   |                 |                                    |   |                      |            |
| Licensed as business type:            | Health Maintenance Organization  |                 |                                    |   |                      |            |
| Is HMO Federally Qualified?           | Yes [ ] No [ X ]   |                 |                                    |   |                      |            |
| Incorporated/Organized                | 03/08/2002   |                 | Commenced Business                 |   | 09/01/2005           |            |
| Statutory Home Office                 | 4361 Irwin Simpson Road, C/O Community Ins. Co.<br>(Street and Number) |                 |                                    | Mason, OH, US 45040<br>(City or Town, State, Country and Zip Code)          |                      |            |
| Main Administrative Office            | 4425 Corporation Lane<br>(Street and Number)                           |                 |                                    | Virginia Beach, VA, US 23462<br>(City or Town, State, Country and Zip Code) |                      |            |
|                                       |  |                 |                                    | (Area Code) (Telephone Number)  |                      |            |
| Mail Address                          | 220 Virginia Ave<br>(Street and Number or P.O. Box)                    |                 |                                    | Indianapolis, IN, US 46204<br>(City or Town, State, Country and Zip Code)   |                      |            |
| Primary Location of Books and Records | 220 Virginia Ave<br>(Street and Number)                                |                 |                                    | Indianapolis, IN, US 46204<br>(City or Town, State, Country and Zip Code)   |                      |            |
|                                       |  |                 |                                    | (Area Code) (Telephone Number)  |                      |            |
| Internet Website Address              | www.amerigroup.com   |                 |                                    |   |                      |            |
| Statutory Statement Contact           | Tim Niccum<br>(Name)   |                 |                                    | 317-488-6716<br>(Area Code) (Telephone Number)                              |                      |            |
|                                       | tim.niccum@anthem.com<br>(E-mail Address)                              |                 |                                    | 317-488-6200<br>(FAX Number)  |                      |            |

OFFICERS

|                       |                        |                                    |                      |
|-----------------------|------------------------|------------------------------------|----------------------|
| President/Chairperson | Kristen Louise Metzger | Treasurer                          | Vincent Edward Scher |
| Secretary             | Kathleen Susan Kiefer  | Vice President/Assistant Secretary | Jack Louis Young     |

OTHER

|  |                        |                        |
|--|------------------------|------------------------|
| Eric (Rick) Kenneth Noble, Assistant Treasurer |                        |                        |
| Jack Louis Young                               | Kristen Louise Metzger | Ronald William Penczek |

DIRECTORS OR TRUSTEES

State of \_\_\_\_\_ SS:  
County of \_\_\_\_\_

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

|   |  |  |
|---|--|--|
| DocuSigned by:<br><i>Kristen Metzger</i><br>5C5DDB10C7D345F...<br>Kristen Louise Metzger<br>President/Chairperson | DocuSigned by:<br><i>Kathleen Kiefer</i><br>34259124741844A...<br>Kathleen Susan Kiefer<br>Secretary | DocuSigned by:<br><i>Vincent E. Scher</i><br>A85A33722D4143E...<br>Vincent Edward Scher<br>Treasurer |
|---|--|--|

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_

- a. Is this an original filing? ..... Yes [ X ] No [ ]  
b. If no,  
1. State the amendment number.....  
2. Date filed .....  
3. Number of pages attached.....

ASSETS

|   | Current Statement Date |                         |   | 4<br>December 31<br>Prior Year Net<br>Admitted Assets |
|---|------------------------|-------------------------|---|---|
|   | 1<br>Assets            | 2<br>Nonadmitted Assets | 3<br>Net Admitted Assets<br>(Cols. 1 - 2) |   |
| 1. Bonds .....  | 1,355,604              |                         | 1,355,604                                 | 1,367,335   |
| 2. Stocks:  |                        |                         |   |   |
| 2.1 Preferred stocks .....  |                        |                         | 0   | 0   |
| 2.2 Common stocks .....   |                        |                         | 0   | 0   |
| 3. Mortgage loans on real estate:   |                        |                         |   |   |
| 3.1 First liens .....   |                        |                         | 0   | 0   |
| 3.2 Other than first liens .....  |                        |                         | 0   | 0   |
| 4. Real estate:   |                        |                         |   |   |
| 4.1 Properties occupied by the company (less \$<br>encumbrances) .....  |                        |                         | 0   | 0   |
| 4.2 Properties held for the production of income (less<br>\$ ..... encumbrances) .....  |                        |                         | 0   | 0   |
| 4.3 Properties held for sale (less \$<br>encumbrances) .....  |                        |                         | 0   | 0   |
| 5. Cash (\$ .....1,963,096 ), cash equivalents<br>(\$ .....92,678 ) and short-term<br>investments (\$ ..... ) .....   | 2,055,774              |                         | 2,055,774                                 | 2,086,610   |
| 6. Contract loans (including \$ ..... premium notes) .....  |                        |                         | 0   | 0   |
| 7. Derivatives .....  |                        |                         | 0   | 0   |
| 8. Other invested assets .....  |                        |                         | 0   | 0   |
| 9. Receivables for securities .....   |                        |                         | 0   | 0   |
| 10. Securities lending reinvested collateral assets .....   |                        |                         | 0   | 0   |
| 11. Aggregate write-ins for invested assets .....   | 0                      | 0                       | 0   | 0   |
| 12. Subtotals, cash and invested assets (Lines 1 to 11) .....   | 3,411,378              | 0                       | 3,411,378                                 | 3,453,945   |
| 13. Title plants less \$ ..... charged off (for Title insurers<br>only) .....   |                        |                         | 0   | 0   |
| 14. Investment income due and accrued .....   | 7,717                  |                         | 7,717                                     | 19,617  |
| 15. Premiums and considerations:  |                        |                         |   |   |
| 15.1 Uncollected premiums and agents' balances in the course of collection .....  |                        |                         | 0   | 0   |
| 15.2 Deferred premiums, agents' balances and installments booked but<br>deferred and not yet due (including \$ .....<br>earned but unbilled premiums) ..... |                        |                         | 0   | 0   |
| 15.3 Accrued retrospective premiums (\$ ..... ) and<br>contracts subject to redetermination (\$ ..... ) .....   |                        |                         | 0   | 0   |
| 16. Reinsurance:  |                        |                         |   |   |
| 16.1 Amounts recoverable from reinsurers .....  |                        |                         | 0   | 0   |
| 16.2 Funds held by or deposited with reinsured companies .....  |                        |                         | 0   | 0   |
| 16.3 Other amounts receivable under reinsurance contracts .....   |                        |                         | 0   | 0   |
| 17. Amounts receivable relating to uninsured plans .....  |                        |                         | 0   | 0   |
| 18.1 Current federal and foreign income tax recoverable and interest thereon .....  |                        |                         | 0   | 0   |
| 18.2 Net deferred tax asset .....   | 370                    | 368                     | 2   | 367   |
| 19. Guaranty funds receivable or on deposit .....   |                        |                         | 0   | 0   |
| 20. Electronic data processing equipment and software .....   |                        |                         | 0   | 0   |
| 21. Furniture and equipment, including health care delivery assets<br>(\$ ..... ) .....   |                        |                         | 0   | 0   |
| 22. Net adjustment in assets and liabilities due to foreign exchange rates .....  |                        |                         | 0   | 0   |
| 23. Receivables from parent, subsidiaries and affiliates .....  |                        |                         | 0   | 0   |
| 24. Health care (\$ ..... ) and other amounts receivable .....  | 4                      | 4                       | 0   | 0   |
| 25. Aggregate write-ins for other than invested assets .....  | 1,150                  | 0                       | 1,150                                     | 1,000   |
| 26. Total assets excluding Separate Accounts, Segregated Accounts and<br>Protected Cell Accounts (Lines 12 to 25) .....                                     | 3,420,619              | 372                     | 3,420,247                                 | 3,474,929   |
| 27. From Separate Accounts, Segregated Accounts and Protected Cell<br>Accounts .....  |                        |                         | 0   | 0   |
| 28. Total (Lines 26 and 27)   | 3,420,619              | 372                     | 3,420,247                                 | 3,474,929   |
| DETAILS OF WRITE-INS  |                        |                         |   |   |
| 1101. ....  |                        |                         |   |   |
| 1102. ....  |                        |                         |   |   |
| 1103. ....  |                        |                         |   |   |
| 1198. Summary of remaining write-ins for Line 11 from overflow page .....   | 0                      | 0                       | 0   | 0   |
| 1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)   | 0                      | 0                       | 0   | 0   |
| 2501. State income tax recoverable .....  | 1,150                  | 0                       | 1,150                                     | 1,000   |
| 2502. ....  |                        |                         |   |   |
| 2503. ....  |                        |                         |   |   |
| 2598. Summary of remaining write-ins for Line 25 from overflow page .....   | 0                      | 0                       | 0   | 0   |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)   | 1,150                  | 0                       | 1,150                                     | 1,000   |

LIABILITIES, CAPITAL AND SURPLUS

|  | Current Period |                |            | Prior Year |
|--|----------------|----------------|------------|------------|
|  | 1<br>Covered   | 2<br>Uncovered | 3<br>Total | 4<br>Total |
| 1. Claims unpaid (less \$ ..... reinsurance ceded) .....   |                |                | 0          | 0          |
| 2. Accrued medical incentive pool and bonus amounts .....  |                |                | 0          | 0          |
| 3. Unpaid claims adjustment expenses .....   |                |                | 0          | 0          |
| 4. Aggregate health policy reserves, including the liability of<br>\$ .....0 for medical loss ratio rebate per the Public<br>Health Service Act .....          |                |                | 0          | 0          |
| 5. Aggregate life policy reserves .....  |                |                | 0          | 0          |
| 6. Property/casualty unearned premium reserve .....  |                |                | 0          | 0          |
| 7. Aggregate health claim reserves .....   |                |                | 0          | 0          |
| 8. Premiums received in advance .....  |                |                | 0          | 0          |
| 9. General expenses due or accrued .....   | 749            |                | 749        | 1,240      |
| 10.1 Current federal and foreign income tax payable and interest thereon<br>(including \$ ..... on realized gains (losses)) .....                              | 43,440         |                | 43,440     | 43,139     |
| 10.2 Net deferred tax liability .....  |                |                | 0          | 0          |
| 11. Ceded reinsurance premiums payable .....   |                |                | 0          | 0          |
| 12. Amounts withheld or retained for the account of others.....  |                |                | 0          | 0          |
| 13. Remittances and items not allocated .....  |                |                | 0          | 68,039     |
| 14. Borrowed money (including \$ ..... current) and<br>interest thereon \$ ..... (including<br>\$ ..... current) .....   |                |                | 0          | 0          |
| 15. Amounts due to parent, subsidiaries and affiliates .....   | 1,033          |                | 1,033      | 8,847      |
| 16. Derivatives .....  |                |                | 0          | 0          |
| 17. Payable for securities .....   |                |                | 0          | 0          |
| 18. Payable for securities lending .....   |                |                | 0          | 0          |
| 19. Funds held under reinsurance treaties (with \$ .....<br>authorized reinsurers, \$ ..... unauthorized<br>reinsurers and \$ ..... certified reinsurers)..... |                |                | 0          | 0          |
| 20. Reinsurance in unauthorized and certified (\$ ..... )<br>companies .....   |                |                | 0          | 0          |
| 21. Net adjustments in assets and liabilities due to foreign exchange rates .....  |                |                | 0          | 0          |
| 22. Liability for amounts held under uninsured plans .....   |                |                | 0          | 0          |
| 23. Aggregate write-ins for other liabilities (including \$ .....<br>current) .....  | 0              | 0              | 0          | 0          |
| 24. Total liabilities (Lines 1 to 23) .....  | 45,222         | 0              | 45,222     | 121,265    |
| 25. Aggregate write-ins for special surplus funds .....  | XXX            | XXX            | 0          | 0          |
| 26. Common capital stock .....   | XXX            | XXX            | 1,000      | 1,000      |
| 27. Preferred capital stock .....  | XXX            | XXX            |            |            |
| 28. Gross paid in and contributed surplus .....  | XXX            | XXX            | 3,147,882  | 3,147,882  |
| 29. Surplus notes .....  | XXX            | XXX            |            |            |
| 30. Aggregate write-ins for other than special surplus funds .....   | XXX            | XXX            | 0          | 0          |
| 31. Unassigned funds (surplus) .....   | XXX            | XXX            | 226,143    | 204,782    |
| 32. Less treasury stock, at cost:  |                |                |            |            |
| 32.1 ..... shares common (value included in Line 26<br>\$ ..... ) .....  | XXX            | XXX            |            |            |
| 32.2 ..... shares preferred (value included in Line 27<br>\$ ..... ) .....   | XXX            | XXX            |            |            |
| 33. Total capital and surplus (Lines 25 to 31 minus Line 32) .....   | XXX            | XXX            | 3,375,025  | 3,353,664  |
| 34. Total liabilities, capital and surplus (Lines 24 and 33)   | XXX            | XXX            | 3,420,247  | 3,474,929  |
| DETAILS OF WRITE-INS   |                |                |            |            |
| 2301. ....   |                |                |            |            |
| 2302. ....   |                |                |            |            |
| 2303. ....   |                |                |            |            |
| 2398. Summary of remaining write-ins for Line 23 from overflow page .....  | 0              | 0              | 0          | 0          |
| 2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)  | 0              | 0              | 0          | 0          |
| 2501. ....   | XXX            | XXX            |            |            |
| 2502. ....   | XXX            | XXX            |            |            |
| 2503. ....   | XXX            | XXX            |            |            |
| 2598. Summary of remaining write-ins for Line 25 from overflow page .....  | XXX            | XXX            | 0          | 0          |
| 2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)  | XXX            | XXX            | 0          | 0          |
| 3001. ....   | XXX            | XXX            |            |            |
| 3002. ....   | XXX            | XXX            |            |            |
| 3003. ....   | XXX            | XXX            |            |            |
| 3098. Summary of remaining write-ins for Line 30 from overflow page .....  | XXX            | XXX            | 0          | 0          |
| 3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)  | XXX            | XXX            | 0          | 0          |

STATEMENT OF REVENUE AND EXPENSES

|  | Current Year<br>To Date |            | Prior Year<br>To Date | Prior Year Ended<br>December 31 |
|--|-------------------------|------------|-----------------------|---------------------------------|
|  | 1<br>Uncovered          | 2<br>Total | 3<br>Total            | 4<br>Total                      |
| 1. Member Months .....   | XXX                     |            |                       |                                 |
| 2. Net premium income ( including \$ ..... non-health<br>premium income).....  | XXX                     |            |                       |                                 |
| 3. Change in unearned premium reserves and reserve for rate credits.....   | XXX                     |            |                       |                                 |
| 4. Fee-for-service (net of \$ ..... medical expenses).....   | XXX                     |            |                       |                                 |
| 5. Risk revenue .....  | XXX                     |            |                       |                                 |
| 6. Aggregate write-ins for other health care related revenues .....  | XXX                     | 0          | 0                     | 0                               |
| 7. Aggregate write-ins for other non-health revenues .....   | XXX                     | 0          | 0                     | 0                               |
| 8. Total revenues (Lines 2 to 7) .....   | XXX                     | 0          | 0                     | 0                               |
| <b>Hospital and Medical:</b>   |                         |            |                       |                                 |
| 9. Hospital/medical benefits .....   |                         |            | 4,060                 | 2,731                           |
| 10. Other professional services .....  |                         |            |                       |                                 |
| 11. Outside referrals .....  |                         |            |                       |                                 |
| 12. Emergency room and out-of-area .....   |                         |            |                       |                                 |
| 13. Prescription drugs .....   |                         |            |                       |                                 |
| 14. Aggregate write-ins for other hospital and medical .....   | 0                       | 0          | 0                     | 0                               |
| 15. Incentive pool, withhold adjustments and bonus amounts .....   |                         |            |                       |                                 |
| 16. Subtotal (Lines 9 to 15) .....   | 0                       | 0          | 4,060                 | 2,731                           |
| <b>Less:</b>   |                         |            |                       |                                 |
| 17. Net reinsurance recoveries .....   |                         |            |                       |                                 |
| 18. Total hospital and medical (Lines 16 minus 17) .....   | 0                       | 0          | 4,060                 | 2,731                           |
| 19. Non-health claims (net) .....  |                         |            |                       |                                 |
| 20. Claims adjustment expenses, including \$ ..... cost<br>containment expenses .....  |                         |            |                       | 0                               |
| 21. General administrative expenses .....  |                         | 143        | 1,589                 | (1,261)                         |
| 22. Increase in reserves for life and accident and health contracts<br>(including \$ ..... increase in reserves for life only) .....           |                         |            |                       | 0                               |
| 23. Total underwriting deductions (Lines 18 through 22).....   | 0                       | 143        | 5,649                 | 1,470                           |
| 24. Net underwriting gain or (loss) (Lines 8 minus 23) .....   | XXX                     | (143)      | (5,649)               | (1,470)                         |
| 25. Net investment income earned .....   |                         | 22,871     | 164,720               | 207,739                         |
| 26. Net realized capital gains (losses) less capital gains tax of<br>\$ .....  |                         |            | (5,709)               | 157,596                         |
| 27. Net investment gains (losses) (Lines 25 plus 26) .....   | 0                       | 22,871     | 159,011               | 365,335                         |
| 28. Net gain or (loss) from agents' or premium balances charged off [(amount<br>recovered \$ ..... )<br>(amount charged off \$ ..... )]. ..... |                         |            |                       |                                 |
| 29. Aggregate write-ins for other income or expenses .....   | 0                       | 0          | 0                     | (37)                            |
| 30. Net income or (loss) after capital gains tax and before all other federal<br>income taxes (Lines 24 plus 27 plus 28 plus 29) .....         | XXX                     | 22,728     | 153,362               | 363,828                         |
| 31. Federal and foreign income taxes incurred .....  | XXX                     | 999        | 20,361                | 25,583                          |
| 32. Net income (loss) (Lines 30 minus 31) .....  | XXX                     | 21,729     | 133,001               | 338,245                         |
| <b>DETAILS OF WRITE-INS</b>  |                         |            |                       |                                 |
| 0601. ....   | XXX                     |            |                       |                                 |
| 0602. ....   | XXX                     |            |                       |                                 |
| 0603. ....   | XXX                     |            |                       |                                 |
| 0698. Summary of remaining write-ins for Line 6 from overflow page .....   | XXX                     | 0          | 0                     | 0                               |
| 0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above) .....   | XXX                     | 0          | 0                     | 0                               |
| 0701. ....   | XXX                     |            |                       |                                 |
| 0702. ....   | XXX                     |            |                       |                                 |
| 0703. ....   | XXX                     |            |                       |                                 |
| 0798. Summary of remaining write-ins for Line 7 from overflow page .....   | XXX                     | 0          | 0                     | 0                               |
| 0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above) .....   | XXX                     | 0          | 0                     | 0                               |
| 1401. ....   |                         |            |                       |                                 |
| 1402. ....   |                         |            |                       |                                 |
| 1403. ....   |                         |            |                       |                                 |
| 1498. Summary of remaining write-ins for Line 14 from overflow page .....  | 0                       | 0          | 0                     | 0                               |
| 1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above) .....  | 0                       | 0          | 0                     | 0                               |
| 2901. Penalties and fines .....  |                         | 0          | 0                     | (37)                            |
| 2902. ....   |                         |            |                       |                                 |
| 2903. ....   |                         |            |                       |                                 |
| 2998. Summary of remaining write-ins for Line 29 from overflow page .....  | 0                       | 0          | 0                     | 0                               |
| 2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above) .....  | 0                       | 0          | 0                     | (37)                            |

STATEMENT OF REVENUE AND EXPENSES (Continued)

|  | 1<br>Current Year<br>to Date | 2<br>Prior Year<br>to Date | 3<br>Prior Year Ended<br>December 31 |
|--|------------------------------|----------------------------|--------------------------------------|
| CAPITAL AND SURPLUS ACCOUNT  |                              |                            |                                      |
| 33. Capital and surplus prior reporting year.....                                      | 3,353,664                    | 11,511,019                 | 11,511,019                           |
| 34. Net income or (loss) from Line 32 .....  | 21,729                       | 133,001                    | 338,245                              |
| 35. Change in valuation basis of aggregate policy and claim reserves .....             |                              |                            |                                      |
| 36. Change in net unrealized capital gains (losses) less capital gains tax of \$ ..... |                              |                            |                                      |
| 37. Change in net unrealized foreign exchange capital gain or (loss) .....             |                              |                            |                                      |
| 38. Change in net deferred income tax .....  | 4                            | 5,112                      | 4,400                                |
| 39. Change in nonadmitted assets .....   | (372)                        | (250)                      | 0                                    |
| 40. Change in unauthorized and certified reinsurance .....                             | 0                            | 0                          | 0                                    |
| 41. Change in treasury stock .....   | 0                            | 0                          | 0                                    |
| 42. Change in surplus notes .....  | 0                            | 0                          | 0                                    |
| 43. Cumulative effect of changes in accounting principles.....                         |                              |                            |                                      |
| 44. Capital Changes:   |                              |                            |                                      |
| 44.1 Paid in .....   |                              |                            | 0                                    |
| 44.2 Transferred from surplus (Stock Dividend).....                                    | 0                            | 0                          | 0                                    |
| 44.3 Transferred to surplus.....   |                              |                            |                                      |
| 45. Surplus adjustments:   |                              |                            |                                      |
| 45.1 Paid in .....   | 0                            | 0                          | (7,248,032)                          |
| 45.2 Transferred to capital (Stock Dividend) .....                                     |                              |                            |                                      |
| 45.3 Transferred from capital .....  |                              |                            |                                      |
| 46. Dividends to stockholders .....  |                              | (8,500,000)                | (1,251,968)                          |
| 47. Aggregate write-ins for gains or (losses) in surplus .....                         | 0                            | 0                          | 0                                    |
| 48. Net change in capital & surplus (Lines 34 to 47) .....                             | 21,361                       | (8,362,137)                | (8,157,355)                          |
| 49. Capital and surplus end of reporting period (Line 33 plus 48)                      | 3,375,025                    | 3,148,882                  | 3,353,664                            |
| DETAILS OF WRITE-INS   |                              |                            |                                      |
| 4701. ....   |                              |                            |                                      |
| 4702. ....   |                              |                            |                                      |
| 4703. ....   |                              |                            |                                      |
| 4798. Summary of remaining write-ins for Line 47 from overflow page .....              | 0                            | 0                          | 0                                    |
| 4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)                        | 0                            | 0                          | 0                                    |

CASH FLOW

|   | 1<br>Current Year<br>To Date | 2<br>Prior Year<br>To Date | 3<br>Prior Year Ended<br>December 31 |
|---|------------------------------|----------------------------|--------------------------------------|
| Cash from Operations  |                              |                            |                                      |
| 1. Premiums collected net of reinsurance .....  | 0                            | 0                          | 0                                    |
| 2. Net investment income .....  | 46,013                       | 258,531                    | 311,901                              |
| 3. Miscellaneous income .....   | 0                            | 0                          | 0                                    |
| 4. Total (Lines 1 to 3) .....   | 46,013                       | 258,531                    | 311,901                              |
| 5. Benefit and loss related payments .....  | 4                            | 4,060                      | 2,731                                |
| 6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts .....                                |                              |                            |                                      |
| 7. Commissions, expenses paid and aggregate write-ins for deductions .....  | 143                          | (367)                      | (912)                                |
| 8. Dividends paid to policyholders .....  |                              |                            |                                      |
| 9. Federal and foreign income taxes paid (recovered) net of \$ ..... tax on capital<br>gains (losses) .....                 | 697                          | 10,035                     | 33,054                               |
| 10. Total (Lines 5 through 9) .....   | 844                          | 13,728                     | 34,873                               |
| 11. Net cash from operations (Line 4 minus Line 10) .....   | 45,169                       | 244,803                    | 277,028                              |
| Cash from Investments   |                              |                            |                                      |
| 12. Proceeds from investments sold, matured or repaid:  |                              |                            |                                      |
| 12.1 Bonds .....  | 0                            | 9,440,000                  | 13,093,310                           |
| 12.2 Stocks .....   | 0                            | 0                          | 0                                    |
| 12.3 Mortgage loans .....   | 0                            | 0                          | 0                                    |
| 12.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 12.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 12.6 Net gains or (losses) on cash, cash equivalents and short-term investments .....                                       | 0                            | 0                          | 0                                    |
| 12.7 Miscellaneous proceeds .....   | 0                            | 581,638                    | 581,638                              |
| 12.8 Total investment proceeds (Lines 12.1 to 12.7) .....   | 0                            | 10,021,638                 | 13,674,948                           |
| 13. Cost of investments acquired (long-term only):  |                              |                            |                                      |
| 13.1 Bonds .....  | 0                            | 3,987,717                  | 3,987,717                            |
| 13.2 Stocks .....   | 0                            | 0                          | 0                                    |
| 13.3 Mortgage loans .....   | 0                            | 0                          | 0                                    |
| 13.4 Real estate .....  | 0                            | 0                          | 0                                    |
| 13.5 Other invested assets .....  | 0                            | 0                          | 0                                    |
| 13.6 Miscellaneous applications .....   | 0                            | 0                          | 0                                    |
| 13.7 Total investments acquired (Lines 13.1 to 13.6) .....  | 0                            | 3,987,717                  | 3,987,717                            |
| 14. Net increase (or decrease) in contract loans and premium notes .....  | 0                            | 0                          | 0                                    |
| 15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14) .....   | 0                            | 6,033,921                  | 9,687,231                            |
| Cash from Financing and Miscellaneous Sources   |                              |                            |                                      |
| 16. Cash provided (applied):  |                              |                            |                                      |
| 16.1 Surplus notes, capital notes .....   | 0                            | 0                          | 0                                    |
| 16.2 Capital and paid in surplus, less treasury stock .....   | 0                            | 0                          | (7,248,032)                          |
| 16.3 Borrowed funds .....   | 0                            | 0                          | 0                                    |
| 16.4 Net deposits on deposit-type contracts and other insurance liabilities .....   | 0                            | 0                          | 0                                    |
| 16.5 Dividends to stockholders .....  | 0                            | 8,500,000                  | 1,251,968                            |
| 16.6 Other cash provided (applied) .....  | (76,005)                     | 7,958,399                  | (533,877)                            |
| 17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5<br>plus Line 16.6) ..... | (76,005)                     | (541,601)                  | (9,033,877)                          |
| RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS   |                              |                            |                                      |
| 18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17) ..                      | (30,836)                     | 5,737,123                  | 930,382                              |
| 19. Cash, cash equivalents and short-term investments:  |                              |                            |                                      |
| 19.1 Beginning of year .....  | 2,086,610                    | 1,156,228                  | 1,156,228                            |
| 19.2 End of period (Line 18 plus Line 19.1) .....   | 2,055,774                    | 6,893,351                  | 2,086,610                            |

Note: Supplemental disclosures of cash flow information for non-cash transactions:

|  |  |  |  |
|--|--|--|--|
|  |  |  |  |
|--|--|--|--|

Exhibit of Premiums, Enrollment and Utilization  
**N O N E**

Claims Payable - Aging Analysis of Unpaid Claims  
**N O N E**

Underwriting and Investment Exhibit  
**N O N E**

NOTES TO FINANCIAL STATEMENTS

For the purposes of the quarterly interim financial information, it is presumed that the users of the interim financial information have read or have access to the Annual Statement as of December 31, 2019. This presentation addresses only significant events occurring since the last Annual Statement.

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The accompanying financial statements of AMERIGROUP Ohio, Inc. (the “Company”) have been prepared in conformity with the National Association of Insurance Commissioners’ (“NAIC”) *Annual Statement Instructions* and in accordance with accounting practices prescribed by the NAIC *Accounting Practices and Procedures Manual* (“NAIC SAP”), subject to any deviations prescribed or permitted by the Ohio Department of Insurance (“ODI”).

A reconciliation of the Company’s net income and capital and surplus between NAIC SAP and practices prescribed and permitted by the ODI is shown below:

|   | SSAP<br># | F/S<br>Page | F/S<br>Line # | September<br>30, 2020 | December 31,<br>2019 |
|---|-----------|-------------|---------------|-----------------------|----------------------|
| <b><u>Net Income</u></b>  |           |             |               |                       |                      |
| (1) AMERIGROUP Ohio, Inc.<br>state basis (Page 4, Line 32, Columns<br>2 & 4)    | XXX       | XXX         | XXX           | \$ 21,729             | \$ 338,245           |
| (2) State Prescribed Practices that is an<br>increase/(decrease) from NAIC SAP: |           |             |               | —                     | —                    |
| (3) State Permitted Practices that is an<br>increase/(decrease) from NAIC SAP:  |           |             |               | —                     | —                    |
| (4) NAIC SAP (1-2-3=4)  | XXX       | XXX         | XXX           | \$ 21,729             | \$ 338,245           |
| <b><u>Surplus</u></b>   |           |             |               |                       |                      |
| (5) AMERIGROUP Ohio, Inc.<br>state basis (Page 3, Line 33, Columns<br>3 & 4)    | XXX       | XXX         | XXX           | \$ 3,375,025          | \$ 3,353,664         |
| (6) State Prescribed Practices that is an<br>increase/(decrease) from NAIC SAP: |           |             |               | —                     | —                    |
| (7) State Permitted Practices that is an<br>increase/(decrease) from NAIC SAP:  |           |             |               | —                     | —                    |
| (8) NAIC SAP (5-6-7=8)  | XXX       | XXX         | XXX           | \$ 3,375,025          | \$ 3,353,664         |



## NOTES TO FINANCIAL STATEMENTS

### **B. Use of Estimates in the Preparation of the Financial Statements**

No significant change.

### **C. Accounting Policies**

(1) No significant change.

(2) Investment grade bonds not backed by other loans are stated at amortized cost, with amortization calculated based on the modified scientific method, using lower of yield to call or yield to maturity. Non-investment grade bonds are stated at the lower of amortized cost or fair value as determined by various third-party pricing sources.

(3) - (5) Not applicable.

(6) The Company has no loan-backed securities.

(7) - (13) Not applicable.

### **D. Going Concern**

Not applicable.

### **2. Accounting Changes and Corrections of Errors**

Not applicable.

### **3. Business Combinations and Goodwill**

Not applicable.

### **4. Discontinued Operations**

Not applicable.

### **5. Investments**

#### **A. - C.**

Not applicable.

#### **D. Loan-Backed Securities**

- (1) The Company did not have loan-backed securities at September 30, 2020.
- (2) The Company did not recognize other-than-temporary impairments ("OTTI") on its loan-backed securities during the nine months ended September 30, 2020.
- (3) The Company did not hold OTTI on its loan-backed securities at September 30, 2020.
- (4) The Company had no impaired loan-backed securities for which an OTTI had not been recognized in earnings at September 30, 2020.
- (5) The Company had no impaired loan-backed securities at September 30, 2020.

#### **E. Dollar Repurchase Agreements and/or Securities Lending Transactions**

Not applicable.

## NOTES TO FINANCIAL STATEMENTS

### **F. Repurchase Agreements Transactions Accounted for as Secured Borrowing**

The Company did not enter into repurchase agreement transactions accounted for as secured borrowing at September 30, 2020.

### **G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing**

The Company did not enter into reverse repurchase agreement transactions accounted for as a secured borrowing at September 30, 2020.

### **H. Repurchase Agreements Transactions Accounted for as a Sale**

The Company did not enter into repurchase agreement transactions accounted for as a sale at September 30, 2020.

### **I. Reverse Repurchase Agreements Transactions Accounted for as a Sale**

The Company did not enter into reverse repurchase agreement transactions accounted for as a sale at September 30, 2020.

### **J. Real Estate**

Not applicable.

### **K. Investments in Low-Income Housing Tax Credits**

Not applicable.

### **L. Restricted Assets**

No significant change.

### **M. Working Capital Finance Investments**

Not applicable.

### **N. Offsetting and Netting of Assets and Liabilities**

The Company had no netted assets and liabilities at September 30, 2020.

### **O. 5GI Securities**

The Company has no 5GI Securities as of September 30, 2020.

### **P. Short Sales**

The Company did not have any short sales at September 30, 2020.

### **Q. Prepayment Penalty and Acceleration Fees**

The Company did not have any prepayment penalty or acceleration fees at September 30, 2020.

## **6. Joint Ventures, Partnerships and Limited Liability Companies**

Not applicable.

## **7. Investment Income**

No significant change.

## **8. Derivative Instruments**

Not applicable.

## **9. Income Taxes**

No significant change.

## NOTES TO FINANCIAL STATEMENTS

### **10. Information Concerning Parent, Subsidiaries, Affiliates and Other Related Parties**

#### **A. Nature of the Relationship**

No significant change.

#### **B. Significant Transactions for the Period**

There were no significant transactions at September 30, 2020.

#### **C. Intercompany Management and Service Arrangements**

No significant change.

#### **D. Amounts Due to or from Related Parties**

At September 30, 2020, the Company reported no amounts due from affiliates and \$1,033 due to affiliates. The payable balance represents intercompany transactions that will be settled in accordance with the settlement terms of the intercompany agreement.

#### **E. - O.**

No significant change.

### **11. Debt**

Not applicable.

### **12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans**

#### **A. Defined Benefit Plan**

Not applicable.

#### **B. Not applicable.**

#### **C. Not applicable.**

#### **D. Not applicable.**

#### **E. Defined Contribution Plans**

Not applicable.

#### **F. Multiemployer Plans**

The Company does not participate in a multiemployer plan.

#### **G. Consolidated/Holding Company Plans**

No significant change.

#### **H. Post Employment Benefits and Compensated Absences**

Not applicable.

#### **I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)**

Not applicable.

### **13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations**

No significant change.

## NOTES TO FINANCIAL STATEMENTS

### 14. Liabilities, Contingencies and Assessments

#### A. Contingent Commitments

No significant change.

#### B. Assessments

(1) - (2)

No significant change.

#### C. - E.

No significant change.

#### F. All Other Contingencies

##### *Litigation and regulatory proceedings*

##### Cigna Corporation Merger Litigation

In July 2015, Anthem and Cigna Corporation, or Cigna, announced that they entered into the Cigna Agreement and Plan of Merger, or Cigna Merger Agreement, pursuant to which Anthem would acquire all outstanding shares of Cigna. In July 2016, the U.S. Department of Justice or DOJ, along with certain state attorneys general, filed a civil antitrust lawsuit in the U.S. District Court for the District of Columbia, or District Court, seeking to block the merger. In February 2017, Cigna purported to terminate the Cigna Merger Agreement and commenced litigation against Anthem in the Delaware Court of Chancery, or Delaware Court, seeking damages, including \$1,850,000,000 termination fee pursuant to the terms of the Cigna Merger Agreement, and a declaratory judgement that its purported termination of the Cigna Merger Agreement was lawful, among other claims, which is captioned *Cigna Corp. v. Anthem Inc.*

Also in February 2017, Anthem initiated their own litigation against Cigna in the Delaware Court seeking a temporary restraining order to enjoin Cigna from terminating the Cigna Merger Agreement, specific performance compelling Cigna to comply with the Cigna Merger Agreement and damages, which is captioned *Anthem Inc. v. Cigna Corp.* In April 2017, the U.S. Circuit Court of Appeals for the District of Columbia affirmed the ruling of the District Court, which blocked the merger. In May 2017, after the Delaware Court denied Anthem's motion to enjoin Cigna from terminating the Cigna Merger Agreement, Anthem delivered to Cigna a notice terminating the Cigna Merger Agreement.

In the Delaware court litigation, trial commenced in late February 2019 and concluded in March 2019. The Delaware Court held closing arguments in November 2019 and took the matter under consideration. In February 2020, the Delaware Court requested supplemental briefing, which has been submitted. On August 31, 2020, the Delaware Court issued an opinion finding that neither party was owed damages and that Anthem did not owe Cigna the \$1,850,000,000 termination fee. The Delaware Court issued an order implementing its opinion in October 2020. Any notice of appeal must be filed within 30 days of that order. Anthem believes Cigna's allegations are without merit and they intend to vigorously pursue their claims and defend against Cigna's allegations; however, the ultimate outcome of any appeal of this litigation with Cigna cannot be presently determined.

In October 2018, a shareholder filed a derivative lawsuit in the State of Indiana Marion County Superior Court, captioned *Henry Bittmann, Derivatively, et al. v. Joseph R. Swedish, et al.*, purportedly on behalf of Anthem and their shareholders against certain current and former directors and officers alleging breaches of fiduciary duties, unjust enrichment and corporate waste associated with the Cigna Merger Agreement. This case has been stayed at the request of the parties pending the outcome of Anthem's litigation

## NOTES TO FINANCIAL STATEMENTS

with Cigna in the Delaware Court. This lawsuit's ultimate outcome cannot be presently determined.

### **15. Leases**

Not applicable.

### **16. Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk**

No significant change.

### **17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities**

#### **A. Transfers of Receivables Reported as Sales**

Not applicable.

#### **B. Transfer and Servicing of Financial Assets**

(1) No significant change.

(2) - (7) Not applicable.

#### **C. Wash Sales**

1. In the course of the Company's asset management, securities may be sold and reacquired within 30 days of the sale date to enhance the yield on the investments.
2. At September 30, 2020, there were no wash sales involving securities with an NAIC designation of 3 or below or unrated.

### **18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans**

#### **A. Administrative Services Only Plans**

Not applicable.

#### **B. Administrative Services Contract Plans**

Not applicable.

#### **C. Medicare or Other Similarly Structured Cost-Based Reimbursement Contract**

Not applicable.

### **19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

20. Fair Value Measurements

A. (1) Fair Value Measurement at Reporting Date

| Description for each class of asset or liability | (Level 1) | (Level 2) | (Level 3) | Net Asset Value (NAV) | Total     |
|--|-----------|-----------|-----------|-----------------------|-----------|
| a. Assets at fair value                          |           |           |           |                       |           |
| Cash equivalents                                 |           |           |           |                       |           |
| Industrial and miscellaneous money market funds  | \$ 92,678 | \$ —      | \$ —      | \$ —                  | \$ 92,678 |
| Total cash equivalents                           | \$ 92,678 | \$ —      | \$ —      | \$ —                  | \$ 92,678 |
| Total assets at fair value/NAV                   | \$ 92,678 | \$ —      | \$ —      | \$ —                  | \$ 92,678 |

(2) Fair Value Measurement in (Level 3) of the Fair Value Hierarchy

There are no investments in Level 3 as of September 30, 2020.

(3) The Company’s policy is to recognize transfers between Levels, if any, as of the beginning of the reporting period.

(4) Fair values of bonds are based on quoted market prices, where available. These fair values are obtained primarily from third party pricing services, which generally use Level 1 or Level 2 inputs, for the determination of fair value to facilitate fair value measurements and disclosures. Level 2 securities primarily include United States government securities, corporate securities, securities from states, municipalities and political subdivisions, mortgage-backed securities and certain other asset-backed securities. For securities not actively traded, the pricing services may use quoted market prices of comparable instruments or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include, but are not limited to, broker quotes, benchmark yields, credit spreads, default rates and prepayment speeds. The Company has controls in place to review the pricing services' qualifications and procedures used to determine fair values. In addition, the Company periodically reviews the pricing services' pricing methodologies, data sources and pricing inputs to ensure the fair values obtained are reasonable.

Cash equivalents primarily consist of highly rated money market funds or bonds with original maturities of three months or less. Due to the high ratings and short-term nature of these investments, cash equivalents are primarily designated as Level 1.

There have been no significant changes in the valuation techniques during the current period.

B. Fair Value Measurements Under Other Accounting Pronouncements

Not applicable.

C. Financial Instruments

| Type of Financial Instrument | Aggregate Fair Value | Admitted Assets | (Level 1) | (Level 2)    | (Level 3) | Net Asset Value (“NAV”) | Not Practicable (Carrying Value) |
|------------------------------|----------------------|-----------------|-----------|--------------|-----------|-------------------------|----------------------------------|
| Bonds                        | \$ 1,493,420         | \$ 1,355,604    | \$ —      | \$ 1,493,420 | \$ —      | \$ —                    | \$ —                             |
| Cash equivalents             | 92,678               | 92,678          | 92,678    | —            | —         | —                       | —                                |

NOTES TO FINANCIAL STATEMENTS

**D. Not Practicable to Estimate Fair Value**

There are no financial instruments that were not practicable to estimate at fair value.

**E. Investments Measured at Net Asset Value**

The Company has no investments measured at net asset value.

**21. Other Items**

No significant change.

**22. Events Subsequent**

Subsequent events have been considered through November 09, 2020 for the statutory statement issued on November 09, 2020. There were no events occurring subsequent to September 30, 2020 requiring recognition or disclosure.

**23. Reinsurance**

Not applicable.

**24. Retrospectively Rated Contracts & Contracts Subject to Redetermination**

**A. - D.**

No significant change.

**E. Risk Sharing Provisions of the Affordable Care Act ("ACA")**

- (1) Did the reporting entity write accident and health insurance premium that is subject to the Affordable Care Act risk sharing provisions (YES/NO)?

No
- (2) Impact of Risk-Sharing Provisions of the Affordable Care Act on Admitted Assets, Liabilities and Revenue for the Current Year.

Not applicable.
- (3) Roll-forward of prior year ACA risk-sharing provisions for the following asset (gross of any nonadmission) and liability balances, along with the reasons for adjustments to prior year balance.

Not applicable.
- (4) Roll-forward of Risk Corridors Asset and Liability Balances by Program Benefit Year.

Not applicable.
- (5) ACA Risk Corridors Receivable as of Reporting Date.

Not applicable.

**25. Change in Incurred Claims and Claim Adjustment Expenses**

Not applicable.

**26. Intercompany Pooling Arrangements**

Not applicable.

NOTES TO FINANCIAL STATEMENTS

27. Structured Settlements

Not applicable.

28. Health Care Receivables

No significant change.

29. Participating Policies

Not applicable.

30. Premium Deficiency Reserves

|   |                    |              |
|---|--------------------|--------------|
| 1. Liability carried for premium deficiency reserves              | \$                 | —            |
| 2. Date of the most recent evaluation of this liability           | September 30, 2020 |              |
| 3. Was anticipated investment income utilized in the calculation? | Yes                | No           |
|   | <u>          </u>  | <u>  X  </u> |

31. Anticipated Salvage and Subrogation

No significant change.



GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [ ] No [ X ]

1.2

If yes, has the report been filed with the domiciliary state?

Yes [ ] No [ ]

2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [ ] No [ X ]

2.2

If yes, date of change:

3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?  
If yes, complete Schedule Y, Parts 1 and 1A.

Yes [ X ] No [ ]

3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [ ] No [ X ]

3.3

If the response to 3.2 is yes, provide a brief description of those changes.  
N/A

3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [ X ] No [ ]

3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0001156039

4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?  
If yes, complete and file the merger history data file with the NAIC.

Yes [ ] No [ X ]

4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

|                     |                        |                        |
|---------------------|------------------------|------------------------|
| 1<br>Name of Entity | 2<br>NAIC Company Code | 3<br>State of Domicile |
|                     |                        |                        |

5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?  
If yes, attach an explanation.

Yes [ ] No [ X ] N/A [ ]

6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2017

6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2017

6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

05/23/2019

6.4

By what department or departments?  
Ohio Department Of Insurance

6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [ ] No [ ] N/A [ X ]

6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [ ] No [ ] N/A [ X ]

7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [ ] No [ X ]

7.2

If yes, give full information:

8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [ ] No [ X ]

8.2

If response to 8.1 is yes, please identify the name of the bank holding company.

8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [ ] No [ X ]

8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

|                     |                             |          |          |           |          |
|---------------------|-----------------------------|----------|----------|-----------|----------|
| 1<br>Affiliate Name | 2<br>Location (City, State) | 3<br>FRB | 4<br>OCC | 5<br>FDIC | 6<br>SEC |
|                     |                             |          |          |           |          |

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards? .....  
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;  
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;  
(c) Compliance with applicable governmental laws, rules and regulations;  
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and  
(e) Accountability for adherence to the code.

Yes [ X ] No [ ]
- 9.11

If the response to 9.1 is No, please explain:  
N/A
- 9.2

Has the code of ethics for senior managers been amended? .....

Yes [ ] No [ X ]
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers? .....

Yes [ ] No [ X ]
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? .....

Yes [ ] No [ X ]
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount: .....

\$ .....0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) .....

Yes [ ] No [ X ]
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA: .....

\$ .....0
13.

Amount of real estate and mortgages held in short-term investments: .....

\$ .....0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates? .....

Yes [ ] No [ X ]
- 14.2

If yes, please complete the following:
- |   | 1   | 2  |
|---|---|--|
|   | Prior Year-End<br>Book/Adjusted<br>Carrying Value | Current Quarter<br>Book/Adjusted<br>Carrying Value |
| 14.21 Bonds .....   | \$ .....0   | \$ .....0  |
| 14.22 Preferred Stock .....   | \$ .....0   | \$ .....0  |
| 14.23 Common Stock .....  | \$ .....0   | \$ .....0  |
| 14.24 Short-Term Investments .....  | \$ .....0   | \$ .....0  |
| 14.25 Mortgage Loans on Real Estate .....   | \$ .....0   | \$ .....0  |
| 14.26 All Other .....   | \$ .....0   | \$ .....0  |
| 14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26) ..... | \$ .....0   | \$ .....0  |
| 14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above .....                       | \$ .....0   | \$ .....0  |
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB? .....

Yes [ ] No [ X ]
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state? .....  
If no, attach a description with this statement.

Yes [ ] No [ ] N/A [ ]
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:
- 16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2. ....

\$ .....0
- 16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 .....

\$ .....0
- 16.3

Total payable for securities lending reported on the liability page. ....

\$ .....0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [ X ] No [ ]
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

| 1<br>Name of Custodian(s) | 2<br>Custodian Address              |
|---------------------------|-------------------------------------|
| JP Morgan Chase Bank, N.A | 383 Madison Ave, New York, NY 10179 |

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

| 1<br>Name(s) | 2<br>Location(s) | 3<br>Complete Explanation(s) |
|--------------|------------------|------------------------------|
|              |                  |                              |

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [ ] No [ X ]
- 17.4 If yes, give full information relating thereto:

| 1<br>Old Custodian | 2<br>New Custodian | 3<br>Date of Change | 4<br>Reason |
|--------------------|--------------------|---------------------|-------------|
|                    |                    |                     |             |

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

| 1<br>Name of Firm or Individual | 2<br>Affiliation |
|---------------------------------|------------------|
| Anthem, Inc.                    | I.               |
| Loomis, Sayles & Company, LP    | U.               |

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [ X ] No [ ]
- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [ ] No [ X ]

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

| 1<br>Central Registration Depository Number | 2<br>Name of Firm or Individual | 3<br>Legal Entity Identifier (LEI) | 4<br>Registered With           | 5<br>Investment Management Agreement (IMA) Filed |
|---|---------------------------------|------------------------------------|--------------------------------|--|
| 105377                                      | Loomis, Sayles & Company, LP    | J1ZPN2RX3UMNOYID1313               | Securities Exchange Commission | NO   |

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [ X ] No [ ]
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [ ] No [ X ]

20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [ ] No [ X ]

21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [ ] No [ X ]

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

0.0 %

1.2 A&H cost containment percent

0.0 %

1.3 A&H expense percent excluding cost containment expenses

0.0 %

2.1

Do you act as a custodian for health savings accounts?

Yes [ ] No [ X ]

2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$

2.3

Do you act as an administrator for health savings accounts?

Yes [ ] No [ X ]

2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$

3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [ X ] No [ ]

3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [ ] No [ ]

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

| 1                       | 2            | 3                 | 4                 | 5                           | 6                               | 7                            | 8                 | 9   | 10   |
|-------------------------|--------------|-------------------|-------------------|-----------------------------|---------------------------------|------------------------------|-------------------|---|--|
| NAIC<br>Company<br>Code | ID<br>Number | Effective<br>Date | Name of Reinsurer | Domiciliary<br>Jurisdiction | Type of<br>Reinsurance<br>Ceded | Type of<br>Business<br>Ceded | Type of Reinsurer | Certified<br>Reinsurer<br>Rating<br>(1 through 6) | Effective<br>Date of<br>Certified<br>Reinsurer<br>Rating |
| NONE                    |              |                   |                   |                             |                                 |                              |                   |   |  |

SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

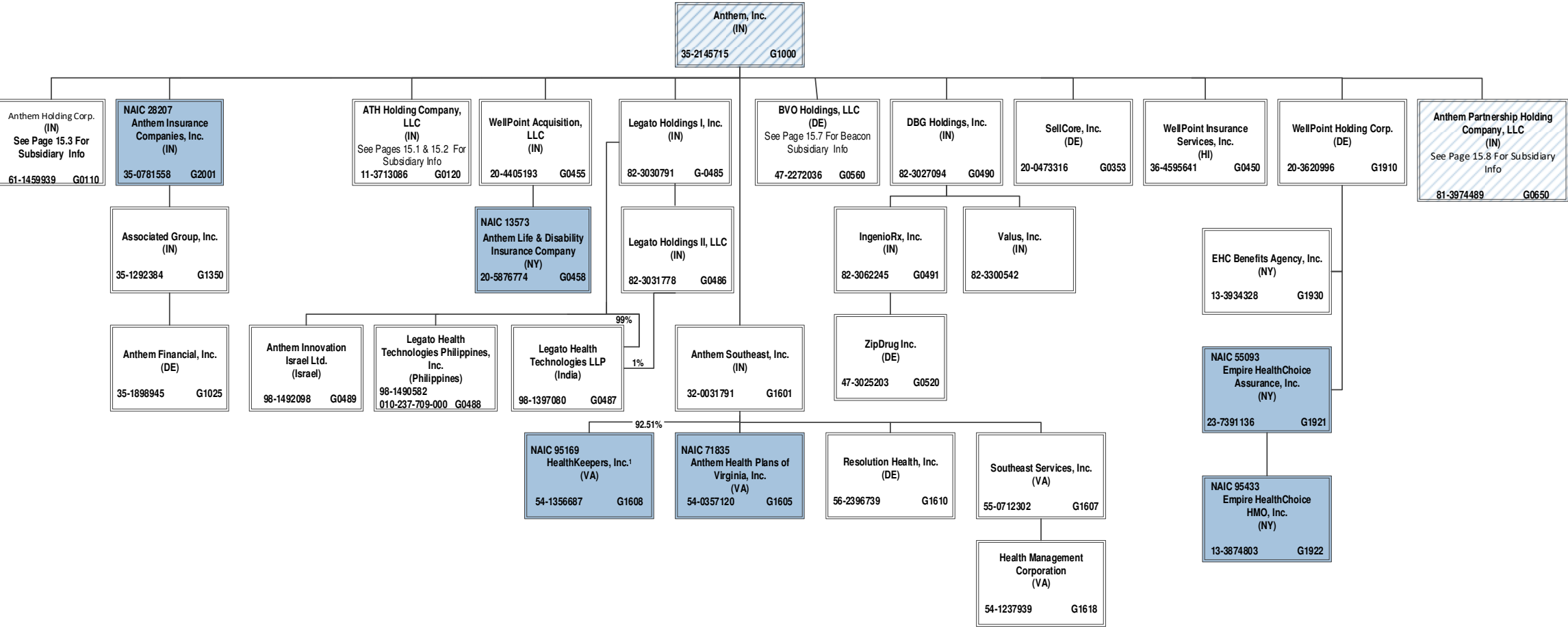
|                      |   |     | 1                       | Direct Business Only               |                         |  |   |  |                                      |                                |
|----------------------|---|-----|-------------------------|------------------------------------|-------------------------|--|---|--|--------------------------------------|--------------------------------|
|                      |   |     | 2                       | 3                                  | 4                       | 5<br>Federal<br>Employees<br>Health<br>Benefits<br>Program<br>Premiums | 6<br>Life and<br>Annuity<br>Premiums &<br>Other<br>Considerations | 7<br>Property/<br>Casualty<br>Premiums | 8<br>Total<br>Columns 2<br>Through 7 | 9<br>Deposit-Type<br>Contracts |
| States, etc.         |   |     | Active<br>Status<br>(a) | Accident and<br>Health<br>Premiums | Medicare<br>Title XVIII | Medicaid<br>Title XIX  |   |  |                                      |                                |
| 1.                   | Alabama .....   | AL  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 2.                   | Alaska .....  | AK  | N                       |                                    |                         |  |   |  |                                      |                                |
| 3.                   | Arizona .....   | AZ  | L                       |                                    |                         |  |   |  |                                      | 0                              |
| 4.                   | Arkansas .....  | AR  | L                       |                                    |                         |  |   |  |                                      | 0                              |
| 5.                   | California .....  | CA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 6.                   | Colorado .....  | CO  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 7.                   | Connecticut .....   | CT  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 8.                   | Delaware .....  | DE  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 9.                   | District of Columbia ..   | DC  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 10.                  | Florida .....   | FL  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 11.                  | Georgia .....   | GA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 12.                  | Hawaii .....  | HI  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 13.                  | Idaho .....   | ID  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 14.                  | Illinois .....  | IL  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 15.                  | Indiana .....   | IN  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 16.                  | Iowa .....  | IA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 17.                  | Kansas .....  | KS  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 18.                  | Kentucky .....  | KY  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 19.                  | Louisiana .....   | LA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 20.                  | Maine .....   | ME  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 21.                  | Maryland .....  | MD  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 22.                  | Massachusetts .....   | MA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 23.                  | Michigan .....  | MI  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 24.                  | Minnesota .....   | MN  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 25.                  | Mississippi .....   | MS  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 26.                  | Missouri .....  | MO  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 27.                  | Montana .....   | MT  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 28.                  | Nebraska .....  | NE  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 29.                  | Nevada .....  | NV  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 30.                  | New Hampshire .....   | NH  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 31.                  | New Jersey .....  | NJ  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 32.                  | New Mexico .....  | NM  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 33.                  | New York .....  | NY  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 34.                  | North Carolina .....  | NC  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 35.                  | North Dakota .....  | ND  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 36.                  | Ohio .....  | OH  | L                       |                                    |                         |  |   |  |                                      | 0                              |
| 37.                  | Oklahoma .....  | OK  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 38.                  | Oregon .....  | OR  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 39.                  | Pennsylvania .....  | PA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 40.                  | Rhode Island .....  | RI  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 41.                  | South Carolina .....  | SC  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 42.                  | South Dakota .....  | SD  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 43.                  | Tennessee .....   | TN  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 44.                  | Texas .....   | TX  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 45.                  | Utah .....  | UT  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 46.                  | Vermont .....   | VT  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 47.                  | Virginia .....  | VA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 48.                  | Washington .....  | WA  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 49.                  | West Virginia .....   | WV  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 50.                  | Wisconsin .....   | WI  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 51.                  | Wyoming .....   | WY  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 52.                  | American Samoa .....  | AS  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 53.                  | Guam .....  | GU  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 54.                  | Puerto Rico .....   | PR  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 55.                  | U.S. Virgin Islands ...   | VI  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 56.                  | Northern Mariana<br>Islands .....   | MP  | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 57.                  | Canada .....  | CAN | N                       |                                    |                         |  |   |  |                                      | 0                              |
| 58.                  | Aggregate Other<br>Aliens .....   | OT  | XXX                     | 0                                  | 0                       | 0  | 0   | 0                                      | 0                                    | 0                              |
| 59.                  | Subtotal .....  |     | XXX                     | 0                                  | 0                       | 0  | 0   | 0                                      | 0                                    | 0                              |
| 60.                  | Reporting Entity<br>Contributions for Employee<br>Benefit Plans .....     |     | XXX                     |                                    |                         |  |   |  |                                      | 0                              |
| 61.                  | Totals (Direct Business)  |     | XXX                     | 0                                  | 0                       | 0  | 0   | 0                                      | 0                                    | 0                              |
| DETAILS OF WRITE-INS |   |     |                         |                                    |                         |  |   |  |                                      |                                |
| 58001.               |   |     | XXX                     |                                    |                         |  |   |  |                                      |                                |
| 58002.               |   |     | XXX                     |                                    |                         |  |   |  |                                      |                                |
| 58003.               |   |     | XXX                     |                                    |                         |  |   |  |                                      |                                |
| 58998.               | Summary of remaining<br>write-ins for Line 58 from<br>overflow page ..... |     |                         |                                    |                         |  |   |  |                                      |                                |
|                      |   |     | XXX                     | 0                                  | 0                       | 0  | 0   | 0                                      | 0                                    | 0                              |
| 58999.               | Totals (Lines 58001 through<br>58003 plus 58998)(Line 58<br>above) .....  |     |                         |                                    |                         |  |   |  |                                      |                                |
|                      |   |     | XXX                     | 0                                  | 0                       | 0  | 0   | 0                                      | 0                                    | 0                              |

(a) Active Status Counts:  
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG..... 3  
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state..... 0  
N - None of the above - Not allowed to write business in the state..... 54  
R - Registered - Non-domiciled RRGs..... 0  
Q - Qualified - Qualified or accredited reinsurer..... 0

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

|                             |
|-----------------------------|
| BCBSA Licensee              |
| Regulated Insurance Company |
| Regulated BCBSA Licensee    |



† HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

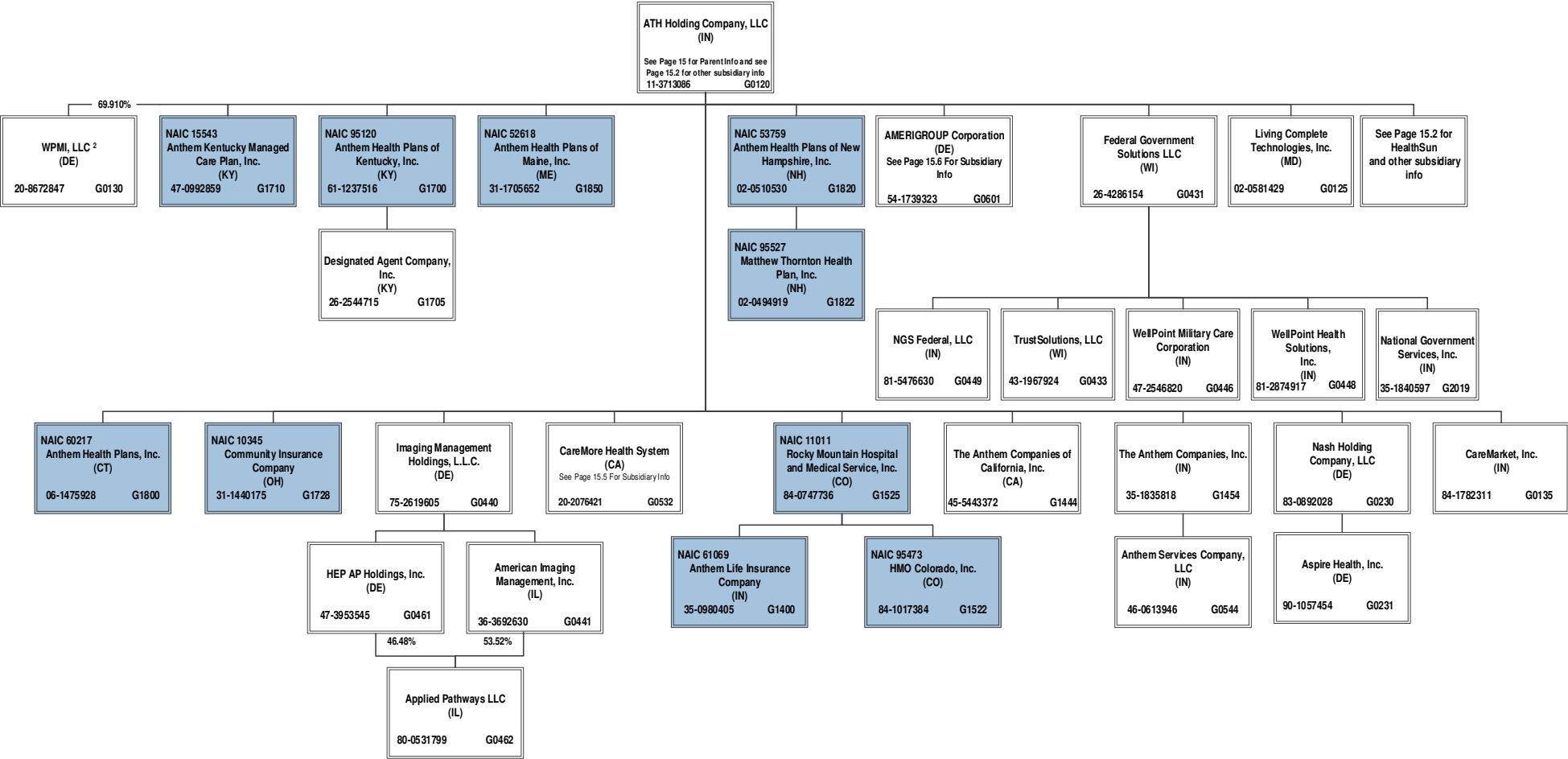
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

BCBSA Licensee

Regulated Insurance Company

Regulated BCBSA Licensee

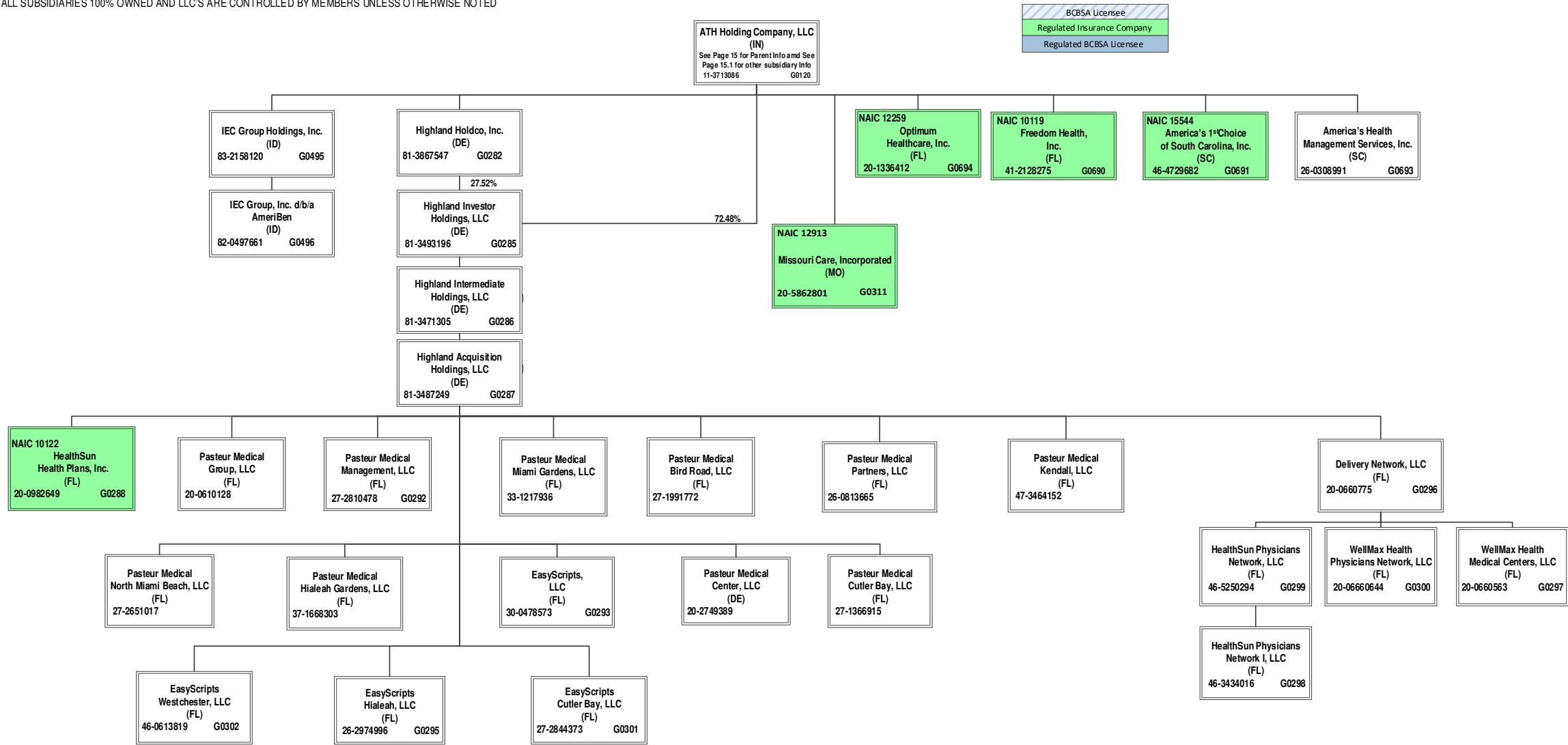


<sup>2</sup> 30.09% of WPMI, LLC is owned by unaffiliated investors



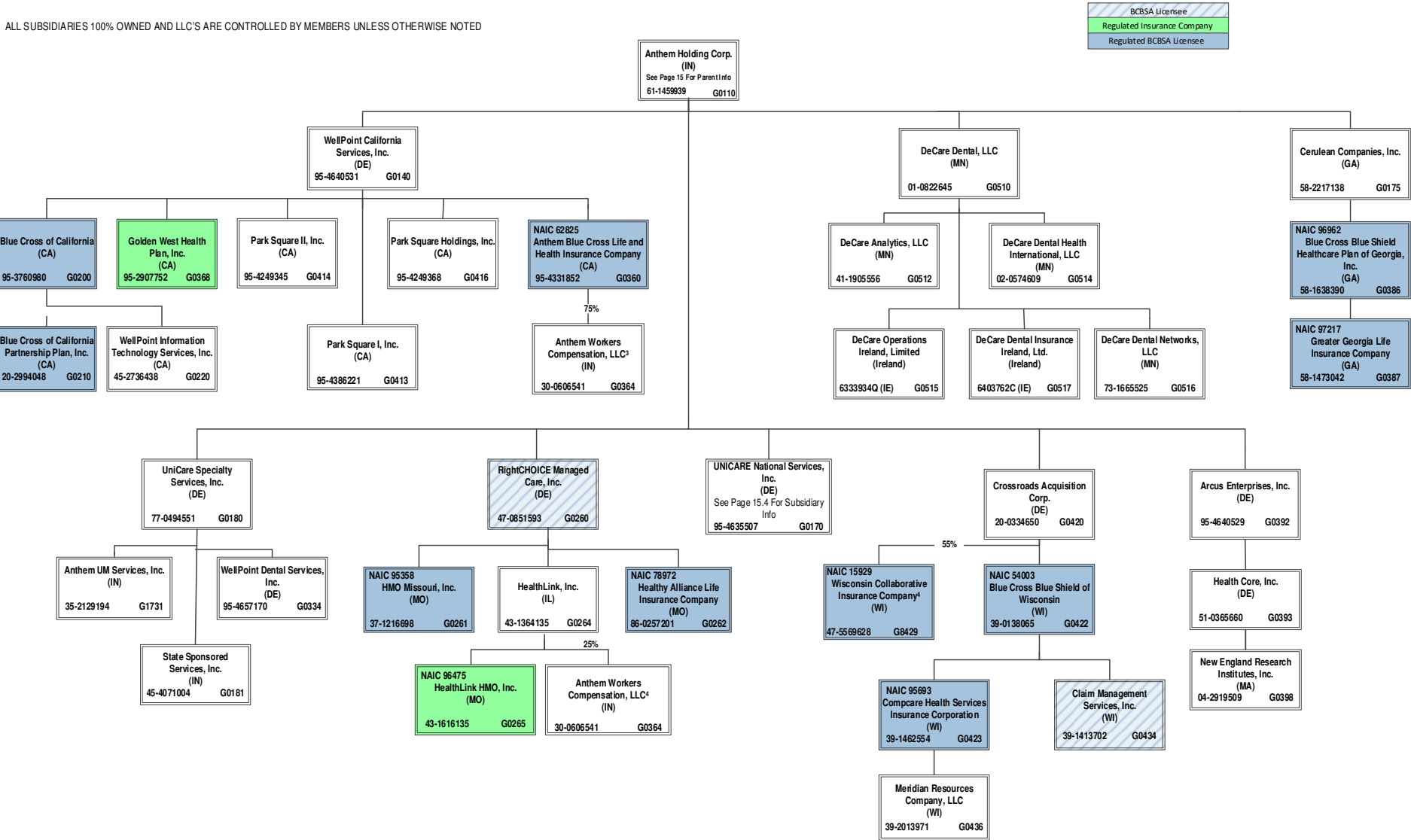
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

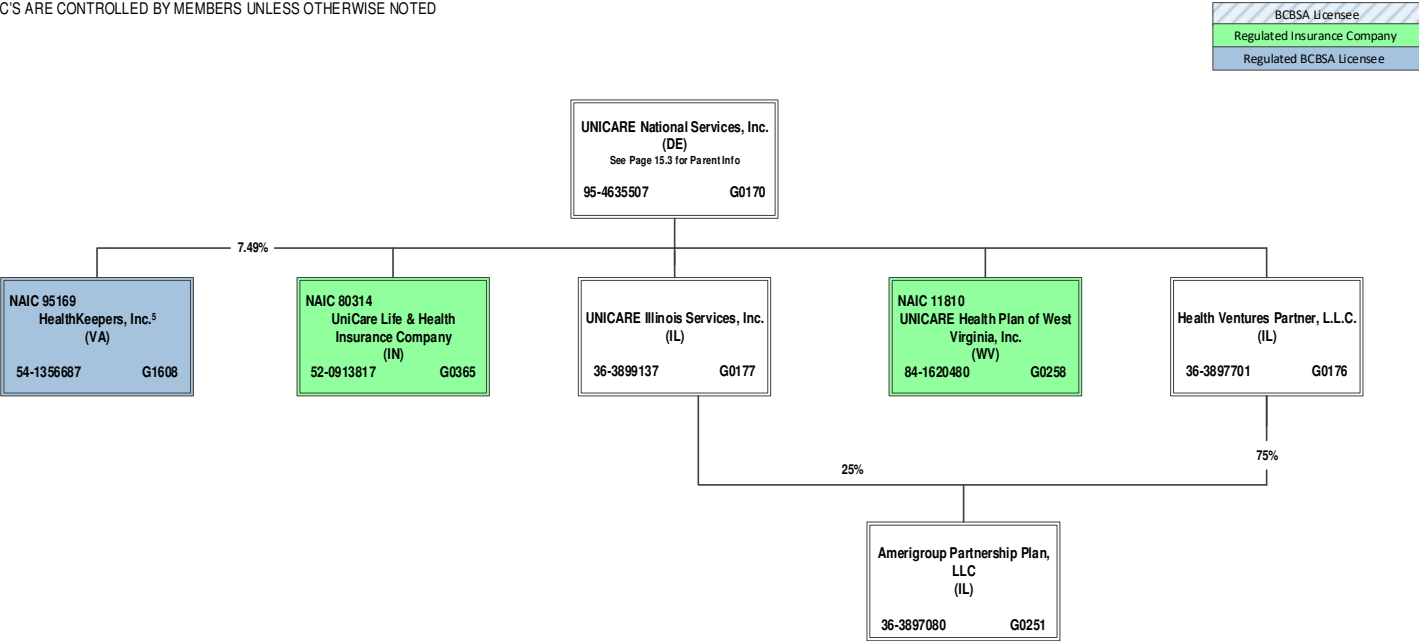


<sup>3</sup> 45% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate). Not consolidated for accounting purposes.

<sup>4</sup> Anthem Workers' Compensation LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

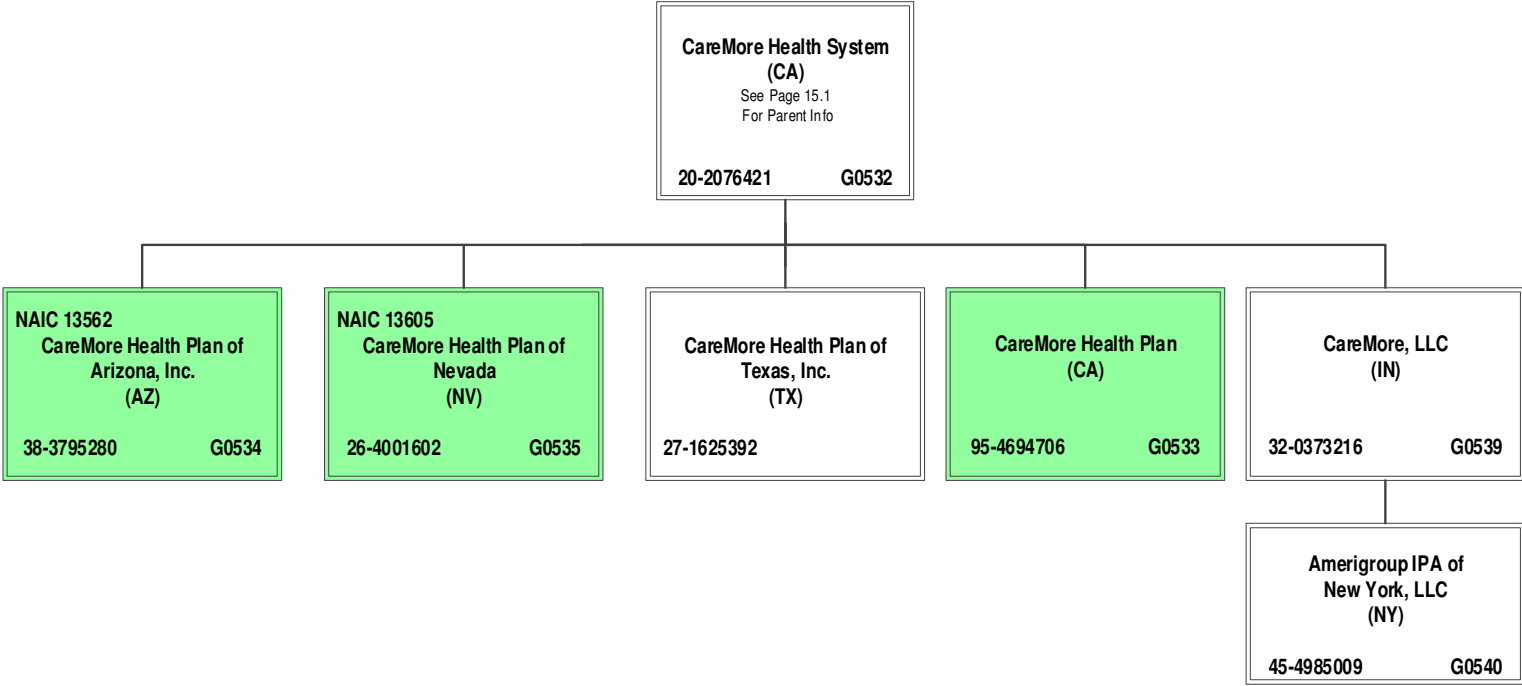


<sup>5</sup> HealthKeepers, Inc. is owned 92.51% by Anthem Southeast, Inc. and 7.49% by UNICARE National Services, Inc.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

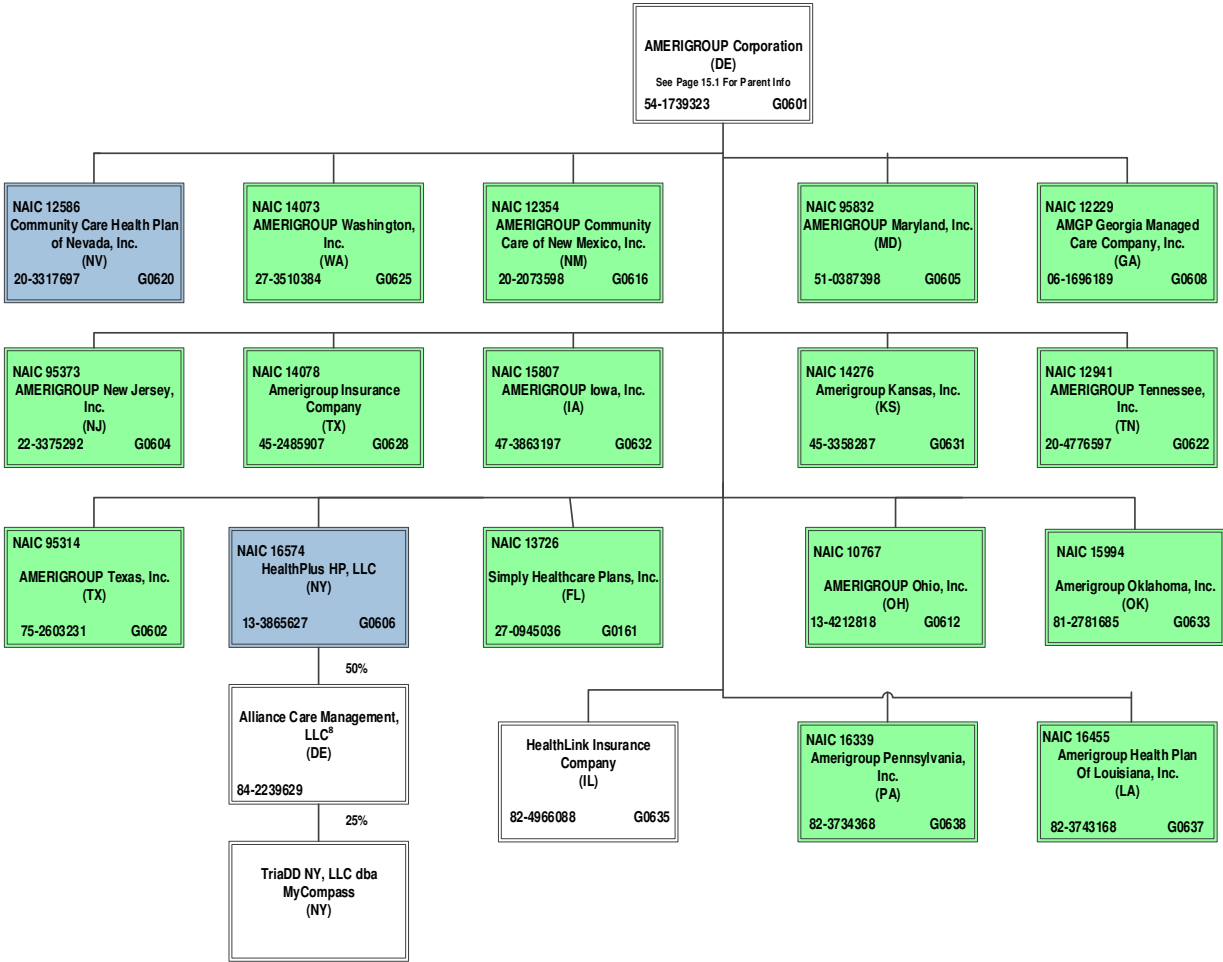
|                             |
|-----------------------------|
| BCBSA Licensee              |
| Regulated Insurance Company |
| Regulated BCBSA Licensee    |



SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

|                             |
|-----------------------------|
| BCBSA Licensee              |
| Regulated Insurance Company |
| Regulated BCBSA Licensee    |



<sup>16</sup> Alliance Care Management, LLC is 50% owned by Beacon Health Options, Inc. and 50% owned by HealthPlus HP, LLC.

<sup>17</sup> TriaDD NY, LLC dba MyCompass, is 25% owned by Alliance Care Management, LLC. and 75% owned by an unrelated investor.

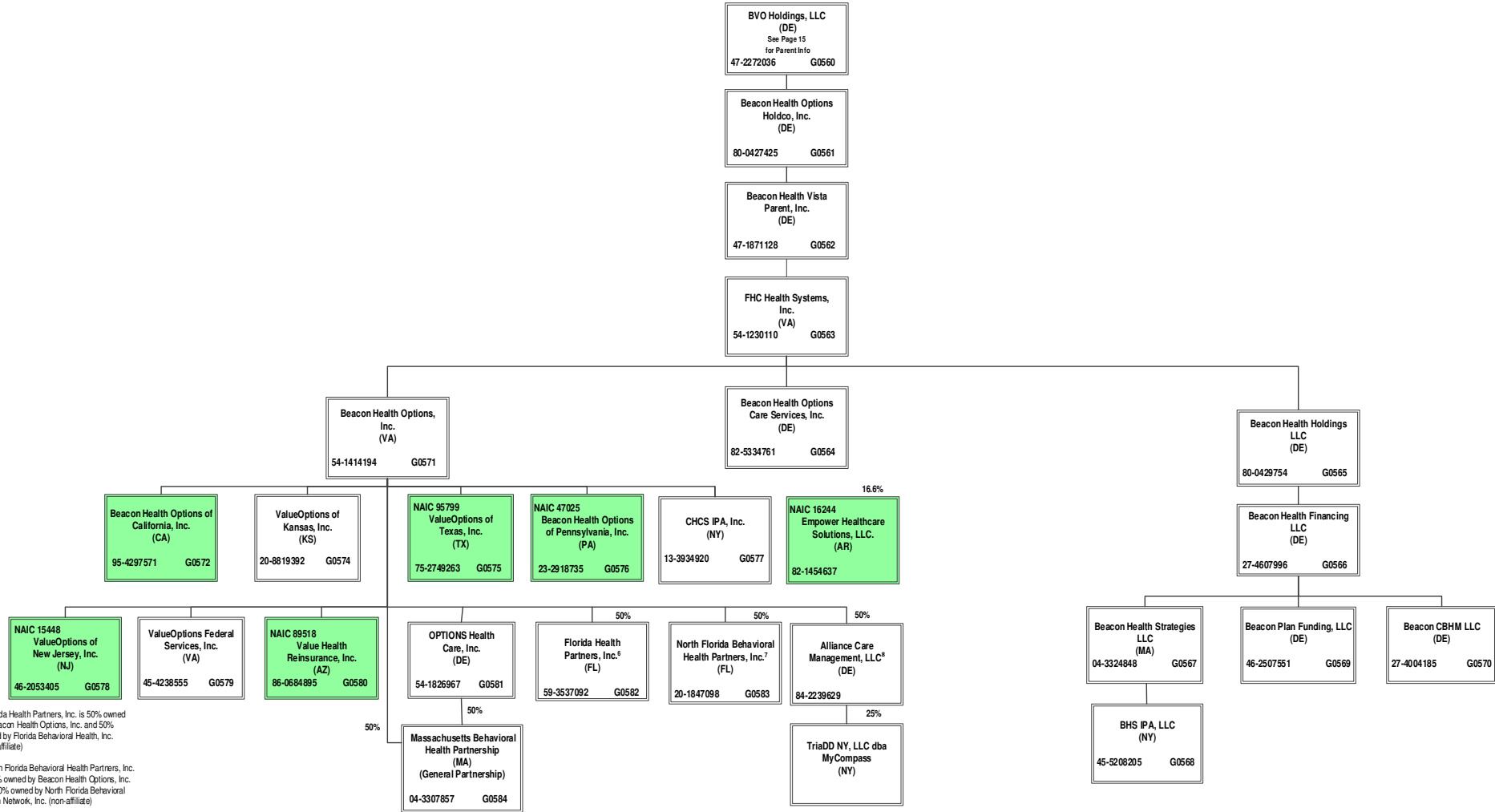
SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

BCBSA Licensee

Regulated Insurance Company

Regulated BCBSA Licensee



<sup>6</sup> Florida Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by Florida Behavioral Health, Inc. (non-affiliate)

<sup>7</sup> North Florida Behavioral Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by North Florida Behavioral Health Network, Inc. (non-affiliate)

<sup>8</sup> Alliance Care Management, LLC is 50% owned by Beacon Health Options, Inc. and 50% owned by HealthPlus HP, LLC.

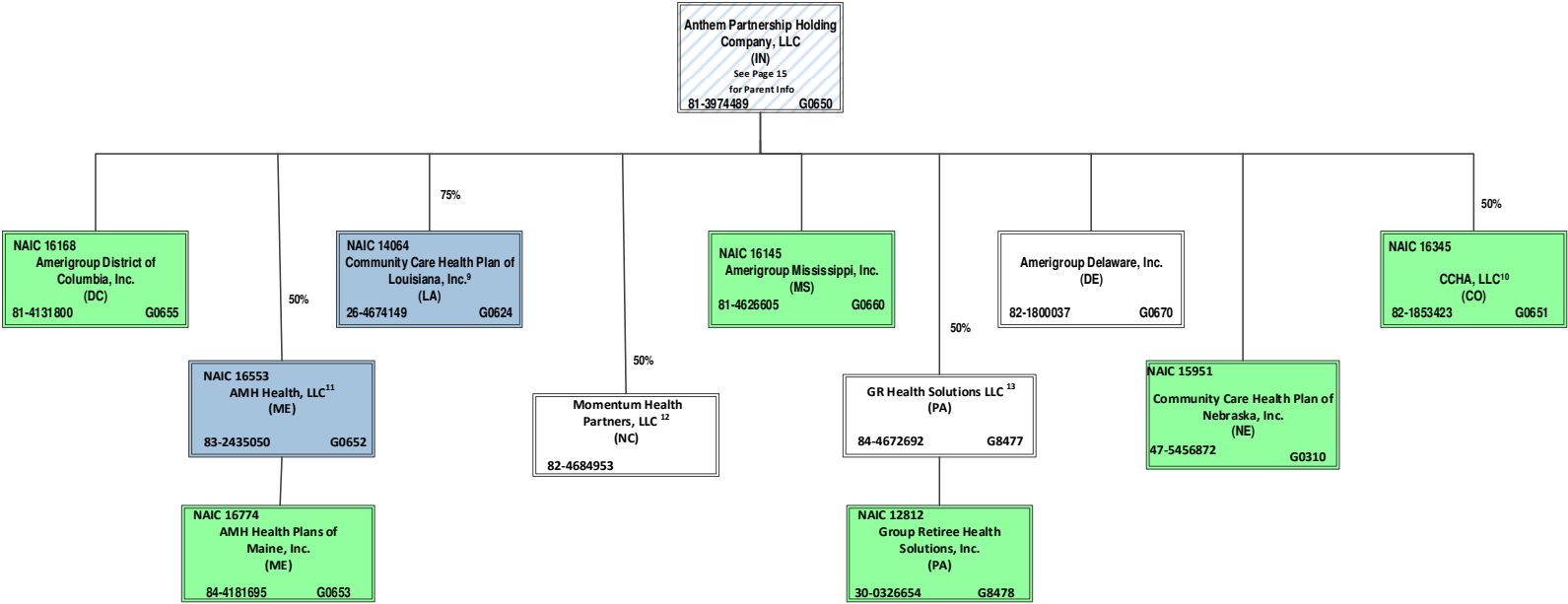
<sup>14</sup> Empower Healthcare Solutions, LLC is 16.6% owned by Beacon Health Options, Inc. and 83.4% owned by a non-affiliate

<sup>15</sup> TriaDD NY, LLC dba MyCompass, is 25% owned by Alliance Care Management, LLC. and 75% owned by an unrelated investor.

SCHEDULE Y – INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP  
PART 1 – ORGANIZATIONAL CHART

ALL SUBSIDIARIES 100% OWNED AND LLC'S ARE CONTROLLED BY MEMBERS UNLESS OTHERWISE NOTED

|                             |
|-----------------------------|
| BCBSA Licensee              |
| Regulated Insurance Company |
| Regulated BCBSA Licensee    |



<sup>9</sup> Community Care Health Plan of Louisiana, Inc. is a joint venture 75% owned by Anthem Partnership Holding Company, LLC and 25% owned by Louisiana Health Service & Indemnity Company d/b/a Blue Cross and Blue Shield of Louisiana (non-affiliate)

<sup>10</sup> CCHA, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Colorado Community Health Alliance, LLC (non-affiliate)

<sup>11</sup> AMH Health, LLC is a joint venture 50% owned by MaineHealth (non-affiliate) and 50% owned by Anthem Partnership Holding Company, LLC

<sup>12</sup> Momentum Health Partners, LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Blue Cross and Blue Shield of North Carolina (non-affiliate)

<sup>13</sup> GR Health Solutions LLC is a joint venture 50% owned by Anthem Partnership Holding Company, LLC and 50% owned by Independence Blue Cross, LLC (non-affiliate)

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1          | 2            | 3                 | 4          | 5            | 6          | 7  | 8   | 9                     | 10                                | 11  | 12   | 13  | 14   | 15                                | 16   |
|------------|--------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|---|--|---|--|-----------------------------------|------|
| Group Code | Group Name   | NAIC Company Code | ID Number  | Federal RSSD | CIK        | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates         | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person)    | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | *    |
| .6671      | Anthem, Inc. |                   | 84-2239629 |              | 0001156039 |  | Alliance Care Management, LLC                       | DE                    | NIA                               | Beacon Health Options, Inc.                       | Ownership  | 50.000                                      | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 84-2239629 |              | 0001156039 |  | Alliance Care Management, LLC                       | DE                    | NIA                               | HealthPlus HP, LLC                                | Ownership  | 50.000                                      | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 36-3692630 |              | 0001156039 |  | American Imaging Management, Inc.                   | IL                    | NIA                               | Imaging Management Holdings, L.L.C.               | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 15544             | 46-4729682 |              | 0001156039 |  | America's 1st Choice of South Carolina, Inc.        | SC                    | IA                                | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 26-0308991 |              | 0001156039 |  | America's Health Management Services, Inc.          | SC                    | NIA                               | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 12354             | 20-2073598 |              | 0001156039 |  | AMERIGROUP Community Care of New Mexico, Inc.       | NM                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 54-1739323 |              | 0001156039 |  | AMERIGROUP Corporation                              | DE                    | UDP                               | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 82-1800037 |              | 0001156039 |  | AMERIGROUP Delaware, Inc.                           | DE                    | NIA                               | Anthem Partnership Holding Company, LLC           | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 16168             | 81-4131800 |              | 0001156039 |  | Amerigroup District of Columbia, Inc.               | DC                    | IA                                | Anthem Partnership Holding Company, LLC           | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 16455             | 82-3743168 |              | 0001156039 |  | Amerigroup Health Plan of Louisiana, Inc.           | LA                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 14078             | 45-2485907 |              | 0001156039 |  | Amerigroup Insurance Company                        | TX                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 15807             | 47-3863197 |              | 0001156039 |  | AMERIGROUP Iowa, Inc.                               | IA                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 45-4985009 |              | 0001156039 |  | Amerigroup IPA of New York, LLC                     | NY                    | NIA                               | CareMore, LLC                                     | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 14276             | 45-3358287 |              | 0001156039 |  | Amerigroup Kansas, Inc.                             | KS                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 95832             | 51-0387398 |              | 0001156039 |  | AMERIGROUP Maryland, Inc.                           | MD                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 16145             | 81-4626605 |              | 0001156039 |  | Amerigroup Mississippi, Inc.                        | MS                    | IA                                | Anthem Partnership Holding Company, LLC           | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 95373             | 22-3375292 |              | 0001156039 |  | AMERIGROUP New Jersey, Inc.                         | NJ                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 10767             | 13-4212818 |              | 0001156039 |  | AMERIGROUP Ohio, Inc.                               | OH                    | RE                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 15994             | 81-2781685 |              | 0001156039 |  | AMERIGROUP Oklahoma, Inc.                           | OK                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 36-3897080 |              | 0001156039 |  | Amerigroup Partnership Plan, LLC                    | IL                    | NIA                               | Health Ventures Partner, L.L.C.                   | Ownership  | 75.000                                      | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 36-3897080 |              | 0001156039 |  | Amerigroup Partnership Plan, LLC                    | IL                    | NIA                               | UNICARE Illinois Services, Inc.                   | Ownership  | 25.000                                      | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 16339             | 82-3734368 |              | 0001156039 |  | Amerigroup Pennsylvania, Inc.                       | PA                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 12941             | 20-4776597 |              | 0001156039 |  | AMERIGROUP Tennessee, Inc.                          | TN                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 95314             | 75-2603231 |              | 0001156039 |  | AMERIGROUP Texas, Inc.                              | TX                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 14073             | 27-3510384 |              | 0001156039 |  | AMERIGROUP Washington, Inc.                         | WA                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 12229             | 06-1696189 |              | 0001156039 |  | AMGP Georgia Managed Care Company, Inc.             | GA                    | IA                                | AMERIGROUP Corporation                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 16553             | 83-2435050 |              | 0001156039 |  | AMH Health, LLC                                     | ME                    | IA                                | Anthem Partnership Holding Company, LLC           | Ownership  | 50.000                                      | Anthem, Inc.                               | N                                 | 0102 |
| .6671      | Anthem, Inc. | 16774             | 84-4181695 |              | 0001156039 |  | AMH Health Plans of Maine, Inc.                     | ME                    | IA                                | AMH Health, LLC                                   | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 62825             | 95-4331852 |              | 0001156039 |  | Anthem Blue Cross Life and Health Insurance Company | CA                    | IA                                | WellPoint California Services, Inc.               | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 35-1898945 |              | 0001156039 |  | Anthem Financial, Inc.                              | DE                    | NIA                               | Associated Group, Inc.                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 95120             | 61-1237516 |              | 0001156039 |  | Anthem Health Plans of Kentucky, Inc.               | KY                    | IA                                | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 52618             | 31-1705652 |              | 0001156039 |  | Anthem Health Plans of Maine, Inc.                  | ME                    | IA                                | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 53759             | 02-0510530 |              | 0001156039 |  | Anthem Health Plans of New Hampshire, Inc.          | NH                    | IA                                | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 71835             | 54-0357120 | 40003317     | 0001156039 |  | Anthem Health Plans of Virginia, Inc.               | VA                    | IA                                | Anthem Southeast, Inc.                            | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 60217             | 06-1475928 |              | 0001156039 |  | Anthem Health Plans, Inc.                           | CT                    | IA                                | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 61-1459939 |              | 0001156039 | New York Stock Exchange (NYSE)   | Anthem Holding Corp.                                | IN                    | NIA                               | Anthem, Inc.                                      | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 35-2145715 |              | 0001156039 |  | Anthem, Inc.  | IN                    | UIP                               |   |  |   | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 98-1492098 |              | 0001156039 |  | Anthem Innovation Israel Ltd                        | ISR                   | NIA                               | Legato Holdings I, Inc.                           | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 28207             | 35-0781558 |              | 0001156039 |  | Anthem Insurance Companies, Inc.                    | IN                    | IA                                | Anthem, Inc.                                      | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 15543             | 47-0992859 |              | 0001156039 |  | Anthem Kentucky Managed Care Plan, Inc.             | KY                    | IA                                | ATH Holding Company, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 13573             | 20-5876774 |              | 0001156039 |  | Anthem Life & Disability Insurance Company          | NY                    | IA                                | WellPoint Acquisition, LLC                        | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. | 61069             | 35-0980405 |              | 0001156039 |  | Anthem Life Insurance Company                       | IN                    | IA                                | Rocky Mountain Hospital and Medical Service, Inc. | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 81-3974489 |              | 0001156039 |  | Anthem Partnership Holding Company, LLC             | DE                    | NIA                               | Anthem, Inc.                                      | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 46-0613946 |              | 0001156039 |  | Anthem Services Company, LLC                        | IN                    | NIA                               | The Anthem Companies, Inc.                        | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 32-0031791 |              | 0001156039 |  | Anthem Southeast, Inc.                              | IN                    | NIA                               | Anthem, Inc.                                      | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 35-2129194 |              | 0001156039 |  | Anthem UM Services, Inc.                            | IN                    | NIA                               | UNICARE Specialty Services, Inc.                  | Ownership  | 100.000                                     | Anthem, Inc.                               | N                                 |      |
| .6671      | Anthem, Inc. |                   | 30-0606541 |              | 0001156039 |  | Anthem Workers' Compensation, LLC                   | IN                    | NIA                               | Company   | Ownership  | 75.000                                      | Anthem, Inc.                               | N                                 | 0109 |
| .6671      | Anthem, Inc. |                   | 30-0606541 |              | 0001156039 |  | Anthem Workers' Compensation, LLC                   | IN                    | NIA                               | HealthLink, Inc.                                  | Ownership  | 25.000                                      | Anthem, Inc.                               | N                                 | 0109 |



SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1          | 2            | 3                 | 4          | 5            | 6          | 7  | 8   | 9                     | 10                                | 11   | 12   | 13  | 14   | 15                                | 16    |
|------------|--------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|--|--|---|--|-----------------------------------|-------|
| Group Code | Group Name   | NAIC Company Code | ID Number  | Federal RSSD | CIK        | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates             | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | *     |
| .0671      | Anthem, Inc. |                   | 80-0531799 |              | 0001156039 |  | Applied Pathways, LLC                                   | IL                    | NIA                               | American Imaging Management, Inc.              | Ownership  | 53.520                                      | Anthem, Inc.                               | .N                                | .0108 |
| .0671      | Anthem, Inc. |                   | 80-0531799 |              | 0001156039 |  | Applied Pathways, LLC                                   | IL                    | NIA                               | HEP AP Holdings, Inc.                          | Ownership  | 46.480                                      | Anthem, Inc.                               | .N                                | .0108 |
| .0671      | Anthem, Inc. |                   | 95-4640529 |              | 0001156039 |  | Arcus Enterprises, Inc.                                 | DE                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 90-1057454 |              | 0001156039 |  | Aspire Health, Inc.                                     | DE                    | NIA                               | Nash Holding Company, LLC                      | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 35-1292384 |              | 0001156039 |  | Associated Group, Inc.                                  | IN                    | NIA                               | Anthem Insurance Companies, Inc.               | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 11-3713086 |              | 0001156039 |  | ATH Holding Company, LLC                                | IN                    | UIP                               | Anthem, Inc.                                   | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-4004185 |              | 0001156039 |  | Beacon CBHM LLC   | DE                    | NIA                               | Beacon Health Financing LLC                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-4607996 |              | 0001156039 |  | Beacon Health Financing LLC                             | DE                    | NIA                               | Beacon Health Holdings, LLC                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 80-0427425 |              | 0001156039 |  | Beacon Health Holdings, LLC                             | DE                    | NIA                               | FHC Health Systems, Inc.                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-5334761 |              | 0001156039 |  | Beacon Health Options Care Services, Inc.               | DE                    | NIA                               | FHC Health Systems, Inc.                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 95-4297571 |              | 0001156039 |  | Beacon Health Options of California, Inc.               | CA                    | IA                                | Beacon Health Options, Inc.                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0101 |
| .0671      | Anthem, Inc. | 47025             | 23-2918735 |              | 0001156039 |  | Beacon Health Options of Pennsylvania, Inc.             | PA                    | IA                                | Beacon Health Options, Inc.                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 80-0427425 |              | 0001156039 |  | Beacon Health Options Holdco, Inc.                      | DE                    | NIA                               | BVO Holdings, LLC                              | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 54-1414194 |              | 0001156039 |  | Beacon Health Options, Inc.                             | VA                    | NIA                               | FHC Health Systems, Inc.                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 04-3324848 |              | 0001156039 |  | Beacon Health Strategies LLC                            | MA                    | NIA                               | Beacon Health Financing LLC                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 47-1871128 |              | 0001156039 |  | Beacon Health Vista Parent, Inc.                        | DE                    | NIA                               | Beacon Health Options Holdco, Inc.             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 46-2507551 |              | 0001156039 |  | Beacon Plan Funding, LLC                                | DE                    | NIA                               | Beacon Health Financing LLC                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 45-5208205 |              | 0001156039 |  | BHS IPA, LLC  | NY                    | NIA                               | Beacon Health Strategies LLC                   | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
|            |              |                   |            |              |            |  | Blue Cross Blue Shield Healthcare Plan of Georgia, Inc. | GA                    | IA                                | Cerulean Companies, Inc.                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 96962             | 58-1638390 |              | 0001156039 |  | Blue Cross Blue Shield of Wisconsin                     | WI                    | IA                                | Crossroads Acquisition Corp.                   | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 54003             | 39-0138065 |              | 0001156039 |  | Blue Cross of California                                | CA                    | IA                                | WellPoint California Services, Inc.            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0101 |
|            |              |                   |            |              |            |  | Blue Cross of California Partnership Plan, Inc.         | CA                    | IA                                | Blue Cross of California                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0101 |
| .0671      | Anthem, Inc. |                   | 20-2994048 |              | 0001156039 |  | BVO Holdings, LLC                                       | DE                    | NIA                               | Anthem, Inc.                                   | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 47-2272036 |              | 0001156039 |  | CareMarket, Inc.  | IN                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 84-1782311 |              | 0001156039 |  | CareMore Health Plan                                    | CA                    | IA                                | CareMore Health System                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0101 |
| .0671      | Anthem, Inc. |                   | 95-4694706 |              | 0001156039 |  | CareMore Health Plan of Arizona, Inc.                   | AZ                    | IA                                | CareMore Health System                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 13562             | 38-3795280 |              | 0001156039 |  | CareMore Health Plan of Nevada                          | NV                    | IA                                | CareMore Health System                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 13605             | 26-4001602 |              | 0001156039 |  | CareMore Health Plan of Texas, Inc.                     | TX                    | NIA                               | CareMore Health System                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-1625392 |              | 0001156039 |  | CareMore, LLC   | IN                    | NIA                               | CareMore Health System                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 32-0373216 |              | 0001156039 |  | CareMore Health System                                  | CA                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-2076421 |              | 0001156039 |  | Cerulean Companies, Inc.                                | GA                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 58-2217138 |              | 0001156039 |  | Claim Management Services, Inc.                         | WI                    | NIA                               | Blue Cross Blue Shield of Wisconsin            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 39-1413702 |              | 0001156039 |  | CCHA, LLC   | CO                    | IA                                | Anthem Partnership Holding Company, LLC        | Ownership  | 50.000                                      | Anthem, Inc.                               | .N                                | .0102 |
| .0671      | Anthem, Inc. | 16345             | 82-1853423 |              | 0001156039 |  | CHCS IPA, Inc.  | NY                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
|            |              |                   |            |              |            |  | Community Care Health Plan of Louisiana, Inc.           | LA                    | IA                                | Anthem Partnership Holding Company, LLC        | Ownership  | 75.000                                      | Anthem, Inc.                               | .N                                | .0104 |
| .0671      | Anthem, Inc. | 14064             | 26-4674149 |              | 0001156039 |  | Community Care Health Plan of Nebraska, Inc.            | NE                    | IA                                | Anthem Partnership Holding Company, LLC        | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 15951             | 47-5456872 |              | 0001156039 |  | Community Care Health Plan of Nevada, Inc.              | NV                    | IA                                | AMERIGROUP Corporation                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 12586             | 20-3317697 |              | 0001156039 |  | Community Insurance Company                             | OH                    | IA                                | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
|            |              |                   |            |              |            |  | Compcare Health Services Insurance Corporation          | WI                    | IA                                | Blue Cross Blue Shield of Wisconsin            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95693             | 39-1462554 |              | 0001156039 |  | Crossroads Acquisition Corp.                            | DE                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-0334650 |              | 0001156039 |  | DBG Holdings, Inc.                                      | IN                    | NIA                               | Anthem, Inc.                                   | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-3027094 |              | 0001156039 |  | DeCare Analytics, LLC                                   | MN                    | NIA                               | DeCare Dental, LLC                             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 41-1905556 |              | 0001156039 |  | DeCare Dental Health International, LLC                 | MN                    | NIA                               | DeCare Dental, LLC                             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 02-0574609 |              | 0001156039 |  | DeCare Dental Insurance Ireland, Ltd.                   | IRL                   | NIA                               | DeCare Dental, LLC                             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 73-1665525 |              | 0001156039 |  | DeCare Dental Networks, LLC                             | MN                    | NIA                               | DeCare Dental, LLC                             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 01-0822645 |              | 0001156039 |  | DeCare Dental, LLC                                      | MN                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   |            |              | 0001156039 |  | DeCare Operations Ireland, Limited                      | IRL                   | NIA                               | DeCare Dental, LLC                             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-0660775 |              | 0001156039 |  | Delivery Network, LLC                                   | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 26-2544715 |              | 0001156039 |  | Designated Agent Company, Inc.                          | KY                    | NIA                               | Anthem Health Plans of Kentucky, Inc.          | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-2844373 |              | 0001156039 |  | EasyScripts Outler Bay, LLC                             | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2            | 3                 | 4          | 5            | 6          | 7  | 8   | 9                     | 10                                | 11   | 12   | 13  | 14   | 15                                | 16    |
|------------|--------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|--|--|---|--|-----------------------------------|-------|
| Group Code | Group Name   | NAIC Company Code | ID Number  | Federal RSSD | CIK        | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates             | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | *     |
| .0671      | Anthem, Inc. |                   | 26-2974996 |              | 0001156039 |  | EasyScripts Hialeah, LLC                                | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 30-0478573 |              | 0001156039 |  | EasyScripts LLC   | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 46-0613819 |              | 0001156039 |  | EasyScripts Westchester, LLC                            | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 13-3934328 |              | 0001156039 |  | EHC Benefits Agency, Inc.                               | NY                    | NIA                               | WellPoint Holding Corp                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 55093             | 23-7391136 |              | 0001156039 |  | Empire HealthChoice Assurance, Inc.                     | NY                    | IA                                | WellPoint Holding Corp                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95433             | 13-3874803 |              | 0001156039 |  | Empire HealthChoice HMO, Inc.                           | NY                    | IA                                | Empire HealthChoice Assurance, Inc.            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 16244             | 82-1454637 |              | 0001156039 |  | Empower Healthcare Solutions, LLC                       | AR                    | IA                                | Beacon Health Options, Inc.                    | Ownership  | 16.600                                      | Anthem, Inc.                               | .N                                | .0115 |
| .0671      | Anthem, Inc. |                   | 26-4286154 |              | 0001156039 |  | Federal Government Solutions, LLC                       | WI                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 54-1230110 |              | 0001156039 |  | FHC Health Systems, Inc.                                | VA                    | NIA                               | Beacon Health Vista Parent, Inc.               | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 59-3537092 |              | 0001156039 |  | Florida Health Partners, Inc.                           | FL                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 50.000                                      | Anthem, Inc.                               | .N                                | .0112 |
| .0671      | Anthem, Inc. | 10119             | 41-2128275 |              | 0001156039 |  | Freedom Health, Inc.                                    | FL                    | IA                                | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 33-0884790 |              | 0001156039 |  | Gerinet Physician Services, Inc.                        | CA                    | NIA                               | CareMore Health System                         | Ownership  | 50.000                                      | Anthem, Inc.                               | .N                                | .0102 |
| .0671      | Anthem, Inc. |                   | 95-2907752 |              | 0001156039 |  | Golden West Health Plan, Inc.                           | CA                    | IA                                | WellPoint California Services, Inc.            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0101 |
| .0671      | Anthem, Inc. |                   | 84-4672692 |              | 0001156039 |  | GR Health Solutions LLC                                 | PA                    | NIA                               | Anthem Partnership Holding Company, LLC        | Ownership  | 50.000                                      | Anthem, Inc.                               | .N                                | .0102 |
|            |              |                   |            |              |            |  | Blue Cross Blue Shield Healthcare Plan of Georgia, Inc. | GA                    | IA                                |  | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 97217             | 58-1473042 |              | 0001156039 |  | Greater Georgia Life Insurance Company                  | GA                    | IA                                |  | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 12812             | 30-0326654 |              | 0001156039 |  | Group Retiree Health Solutions, Inc.                    | PA                    | IA                                | GR Health Solutions LLC                        | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 51-0365660 |              | 0001156039 |  | Health Core, Inc.                                       | DE                    | NIA                               | Arcus Enterprises, Inc.                        | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 54-1237939 |              | 0001156039 |  | Health Management Corporation                           | VA                    | NIA                               | Southeast Services, Inc.                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 36-3897701 |              | 0001156039 |  | Health Ventures Partner, L.L.C.                         | IL                    | NIA                               | UNICARE National Services, Inc.                | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95169             | 54-1356687 |              | 0001156039 |  | HealthKeepers, Inc.                                     | VA                    | IA                                | Anthem Southeast, Inc.                         | Ownership  | 92.510                                      | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95169             | 54-1356687 |              | 0001156039 |  | HealthKeepers, Inc.                                     | VA                    | IA                                | UNICARE National Services, Inc.                | Ownership  | 7.490                                       | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 96475             | 43-1616135 |              | 0001156039 |  | HealthLink HMO, Inc.                                    | MO                    | IA                                | HealthLink, Inc.                               | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 43-1364135 |              | 0001156039 |  | HealthLink, Inc.  | IL                    | NIA                               | RightCHOICE Managed Care, Inc.                 | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-4966088 |              | 0001156039 |  | HealthLink Insurance Company                            | IL                    | NIA                               | AMERIGROUP Corporation                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 16574             | 13-3865627 |              | 0001156039 |  | HealthPlus HP, LLC                                      | NY                    | IA                                | AMERIGROUP Corporation                         | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0100 |
| .0671      | Anthem, Inc. | 10122             | 20-0982649 |              | 0001156039 |  | HealthSun Health Plans, Inc.                            | FL                    | IA                                | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 46-5250294 |              | 0001156039 |  | HealthSun Physicians Network, LLC                       | FL                    | NIA                               | Delivery Network, LLC                          | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 46-3434016 |              | 0001156039 |  | HealthSun Physicians Network I, LLC                     | FL                    | NIA                               | HealthSun Physicians Network, LLC              | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 78972             | 86-0257201 |              | 0001156039 |  | Healthy Alliance Life Insurance Company                 | MO                    | IA                                | RightCHOICE Managed Care, Inc.                 | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 47-3953545 |              | 0001156039 |  | HEP AP Holdings, Inc.                                   | DE                    | NIA                               | Imaging Management Holdings, L.L.C.            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 81-3487249 |              | 0001156039 |  | Highland Acquisition Holdings, LLC                      | DE                    | NIA                               | Highland Intermediate Holdings, LLC            | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 81-3867547 |              | 0001156039 |  | Highland Holdco, Inc.                                   | DE                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 81-3471305 |              | 0001156039 |  | Highland Intermediate Holdings, LLC                     | DE                    | NIA                               | Highland Investor Holdings, LLC                | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 81-3493196 |              | 0001156039 |  | Highland Investor Holdings, LLC                         | DE                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 72.480                                      | Anthem, Inc.                               | .N                                | .0107 |
| .0671      | Anthem, Inc. |                   | 81-3493196 |              | 0001156039 |  | Highland Investor Holdings, LLC                         | DE                    | NIA                               | Highland Holdco, Inc.                          | Ownership  | 27.520                                      | Anthem, Inc.                               | .N                                | .0107 |
|            |              |                   |            |              |            |  | Rocky Mountain Hospital and Medical Service, Inc.       | CO                    | IA                                |  | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95473             | 84-1017384 |              | 0001156039 |  | HMO Colorado, Inc.                                      | CO                    | IA                                | Service, Inc.                                  | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95358             | 37-1216698 |              | 0001156039 |  | HMO Missouri, Inc.                                      | MO                    | IA                                | RightCHOICE Managed Care, Inc.                 | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-2157122 |              | 0001156039 |  | IEC Group Holdings, Inc.                                | ID                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-0497661 |              | 0001156039 |  | IEC Group, Inc. d/b/a AmeriBen                          | ID                    | NIA                               | IEC Group Holdings, Inc.                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 75-2619605 |              | 0001156039 |  | Imaging Management Holdings, L.L.C.                     | DE                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-3062245 |              | 0001156039 |  | IngenioRX, Inc.   | IN                    | NIA                               | DBG Holdings, Inc.                             | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 98-1397080 |              | 0001156039 |  | Legato Health Technologies LLP                          | IN                    | NIA                               | Legato Holdings I, Inc.                        | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0105 |
|            |              |                   |            |              |            |  | Legato Health Technologies Philippines, Inc.            |                       |                                   |  |  |   |  |                                   |       |
| .0671      | Anthem, Inc. |                   | 98-1490582 |              | 0001156039 |  | Legato Holdings I, Inc.                                 | PHL                   | NIA                               | Legato Holdings I, Inc.                        | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                | .0106 |
| .0671      | Anthem, Inc. |                   | 82-3030791 |              | 0001156039 |  | Legato Holdings II, LLC                                 | IN                    | NIA                               | Anthem, Inc.                                   | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-3031178 |              | 0001156039 |  | Living Complete Technologies, Inc.                      | MD                    | NIA                               | Legato Holdings I, Inc.                        | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 02-0581429 |              | 0001156039 |  | Massachusetts Behavioral Health Partnership             | MA                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 04-3307857 |              | 0001156039 |  | Massachusetts Behavioral Health Partnership             | MA                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 50.000                                      | Anthem, Inc.                               | .N                                | .0111 |
| .0671      | Anthem, Inc. |                   | 04-3307857 |              | 0001156039 |  | Massachusetts Behavioral Health Partnership             | MA                    | NIA                               | OPTIONS Health Care, Inc.                      | Ownership  | 50.000                                      | Anthem, Inc.                               | .N                                | .0111 |
| .0671      | Anthem, Inc. | 95527             | 02-0494919 |              | 0001156039 |  | Matthew Thornton Health Plan, Inc.                      | NH                    | IA                                | Anthem Health Plans of New Hampshire, Inc.     | Ownership  | 100.000                                     | Anthem, Inc.                               | .N                                |       |

**SCHEDULE Y**  
**PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM**

| 1          | 2            | 3                 | 4          | 5            | 6          | 7  | 8   | 9                     | 10                                | 11   | 12   | 13   | 14   | 15                                | 16    |
|------------|--------------|-------------------|------------|--------------|------------|--|---|-----------------------|-----------------------------------|--|--|--|--|-----------------------------------|-------|
| Group Code | Group Name   | NAIC Company Code | ID Number  | Federal RSSD | CIK        | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates       | Domi-ciliary Location | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Ownership Provide Percentage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | *     |
| .0671      | Anthem, Inc. | 12913             | 39-2013971 |              | 0001156039 |  | Meridian Resource Company, LLC                    | WI                    | NIA                               | Compcare Health Services Insurance Corporation | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-5862801 |              | 0001156039 |  | Missouri Care, Incorporated                       | MO                    | IA                                | ATH Holding Company, LLC                       | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-4684953 |              | 0001156039 |  | Momentum Health Partners, LLC                     | NC                    | NIA                               | Anthem Partnership Holding Company, LLC        | Ownership  | 50.000                                     | Anthem, Inc.                               | .N                                | .0102 |
| .0671      | Anthem, Inc. |                   | 83-0892028 |              | 0001156039 |  | Nash Holding Company, LLC                         | DE                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 35-1840597 |              | 0001156039 |  | National Government Services, Inc.                | IN                    | NIA                               | Federal Government Solutions, LLC              | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 04-2919509 |              | 0001156039 |  | New England Research Institute, Inc.              | MA                    | NIA                               | Health Core, Inc.                              | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 81-5476630 |              | 0001156039 |  | NGS Federal, LLC                                  | IN                    | NIA                               | Federal Government Solutions, LLC              | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 12259             | 20-1847098 |              | 0001156039 |  | North Florida Behavioral Health Partners, Inc.    | FL                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 50.000                                     | Anthem, Inc.                               | .N                                | .0113 |
| .0671      | Anthem, Inc. |                   | 20-1336412 |              | 0001156039 |  | Optimum Healthcare, Inc.                          | FL                    | IA                                | ATH Holding Company, LLC                       | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 54-1826967 |              | 0001156039 |  | OPTIONS Health Care, Inc.                         | DE                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 95-4249368 |              | 0001156039 |  | Park Square Holdings, Inc.                        | CA                    | NIA                               | WellPoint California Services, Inc.            | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 95-4386221 |              | 0001156039 |  | Park Square I, Inc.                               | CA                    | NIA                               | WellPoint California Services, Inc.            | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 95-4249345 |              | 0001156039 |  | Park Square II, Inc.                              | CA                    | NIA                               | WellPoint California Services, Inc.            | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-1991772 |              | 0001156039 |  | Pasteur Medical Bird Road, LLC                    | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-2749389 |              | 0001156039 |  | Pasteur Medical Center, LLC                       | DE                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-1366915 |              | 0001156039 |  | Pasteur Medical Cutler Bay, LLC                   | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-0610128 |              | 0001156039 |  | Pasteur Medical Group, LLC                        | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 37-1668303 |              | 0001156039 |  | Pasteur Medical Hialeah Gardens, LLC              | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 47-3464152 |              | 0001156039 |  | Pasteur Medical Kendall, LLC                      | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-2810478 |              | 0001156039 |  | Pasteur Medical Management, LLC                   | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 33-1217936 |              | 0001156039 |  | Pasteur Medical Miami Gardens, LLC                | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 27-2651017 |              | 0001156039 |  | Pasteur Medical North Miami Beach, LLC            | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 26-0813665 |              | 0001156039 |  | Pasteur Medical Partners, LLC                     | FL                    | NIA                               | Highland Acquisition Holdings, LLC             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 56-2396739 |              | 0001156039 |  | Resolution Health, Inc.                           | DE                    | NIA                               | Anthem Southeast, Inc.                         | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 47-0851593 |              | 0001156039 |  | RightCHOICE Managed Care, Inc.                    | DE                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   |            |              |            |  | Rocky Mountain Hospital and Medical Service, Inc. | CO                    | IA                                | ATH Holding Company, LLC                       | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 84-0747736 |              | 0001156039 |  | SellCore, Inc.                                    | DE                    | NIA                               | Anthem, Inc.                                   | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 13726             | 27-0945036 |              | 0001156039 |  | Simply Healthcare Plans, Inc.                     | FL                    | IA                                | AMERIGROUP Corporation                         | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 55-0712302 |              | 0001156039 |  | Southeast Services, Inc.                          | VA                    | NIA                               | Anthem Southeast, Inc.                         | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 45-4071004 |              | 0001156039 |  | State Sponsored Services, Inc.                    | IN                    | NIA                               | UNICARE Specialty Services, Inc.               | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 35-1835818 |              | 0001156039 |  | The Anthem Companies, Inc.                        | IN                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 45-5443372 |              | 0001156039 |  | The Anthem Companies of California, Inc.          | CA                    | NIA                               | ATH Holding Company, LLC                       | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 11810             | 43-1967924 |              | 0001156039 |  | TriadDD NY, LLC dba MyCompass                     | NY                    | NIA                               | Alliance Care Management, LLC                  | Ownership  | 25.000                                     | Anthem, Inc.                               | .N                                | .0114 |
| .0671      | Anthem, Inc. |                   | 84-1620480 |              | 0001156039 |  | TrustSolutions, LLC                               | WI                    | NIA                               | Federal Government Solutions, LLC              | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 36-3899137 |              | 0001156039 |  | UNICARE Health Plan of West Virginia, Inc.        | WV                    | IA                                | UNICARE National Services, Inc.                | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 86-3899137 |              | 0001156039 |  | UNICARE Illinois Services, Inc.                   | IL                    | NIA                               | UNICARE National Services, Inc.                | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 52-0913817 |              | 0001156039 |  | UNICARE Life & Health Insurance Company           | IN                    | IA                                | UNICARE National Services, Inc.                | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 89518             | 95-4635507 |              | 0001156039 |  | UNICARE National Services, Inc.                   | DE                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 77-0494551 |              | 0001156039 |  | UNICARE Specialty Services, Inc.                  | DE                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 86-0684895 |              | 0001156039 |  | Value Health Reinsurance, Inc.                    | AZ                    | IA                                | Beacon Health Options, Inc.                    | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 45-4238555 |              | 0001156039 |  | ValueOptions Federal Services, Inc.               | VA                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-8819392 |              | 0001156039 |  | ValueOptions of Kansas, Inc.                      | KS                    | NIA                               | Beacon Health Options, Inc.                    | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. | 95799             | 46-2053405 |              | 0001156039 |  | ValueOptions of New Jersey, Inc.                  | NJ                    | IA                                | Beacon Health Options, Inc.                    | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 75-2749263 |              | 0001156039 |  | ValueOptions of Texas, Inc.                       | TX                    | IA                                | Beacon Health Options, Inc.                    | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 82-3300542 |              | 0001156039 |  | Valus, Inc.                                       | IN                    | NIA                               | DBG Holdings, Inc.                             | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-0660563 |              | 0001156039 |  | WellMax Health Medical Centers, LLC               | FL                    | NIA                               | Delivery Network, LLC                          | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-0660644 |              | 0001156039 |  | WellMax Health Physicians Network, LLC            | FL                    | NIA                               | Delivery Network, LLC                          | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 20-4405193 |              | 0001156039 |  | WellPoint Acquisition, LLC                        | IN                    | NIA                               | Anthem, Inc.                                   | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 95-4640531 |              | 0001156039 |  | WellPoint California Services, Inc.               | DE                    | NIA                               | Anthem Holding Corp.                           | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 95-4657170 |              | 0001156039 |  | WellPoint Dental Services, Inc.                   | DE                    | NIA                               | UNICARE Specialty Services, Inc.               | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |
| .0671      | Anthem, Inc. |                   | 81-2874917 |              | 0001156039 |  | WellPoint Health Solutions, Inc.                  | DE                    | NIA                               | Federal Government Solutions, LLC              | Ownership  | 100.000                                    | Anthem, Inc.                               | .N                                |       |

SCHEDULE Y  
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

| 1          | 2                 | 3                 | 4          | 5            | 6          | 7  | 8   | 9                      | 10                                | 11   | 12   | 13   | 14   | 15                                | 16   |
|------------|-------------------|-------------------|------------|--------------|------------|--|---|------------------------|-----------------------------------|--|--|--|--|-----------------------------------|------|
| Group Code | Group Name        | NAIC Company Code | ID Number  | Federal RSSD | CIK        | Name of Securities Exchange if Publicly Traded (U.S. or International) | Names of Parent, Subsidiaries Or Affiliates     | Domi-ciliary Loca-tion | Relation-ship to Reporting Entity | Directly Controlled by (Name of Entity/Person) | Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other) | If Control is Owner-ship Provide Percen-tage | Ultimate Controlling Entity(ies)/Person(s) | Is an SCA Filing Re-quired? (Y/N) | *    |
| .0671      | Anthem, Inc. .... |                   | 20-3620996 |              | 0001156039 |  | WellPoint Holding Corp                          | DE                     | NIA                               | Anthem, Inc. ....                              | Ownership.....   | 100.000                                      | Anthem, Inc. ....                          | N                                 |      |
| .0671      | Anthem, Inc. .... |                   | 45-2736438 |              | 0001156039 |  | WellPoint Information Technology Services, Inc. | CA                     | NIA                               | Blue Cross of California                       | Ownership.....   | 100.000                                      | Anthem, Inc. ....                          | N                                 |      |
| .0671      | Anthem, Inc. .... |                   | 36-4595641 |              | 0001156039 |  | WellPoint Insurance Services, Inc.              | HI                     | NIA                               | Anthem, Inc. ....                              | Ownership.....   | 100.000                                      | Anthem, Inc. ....                          | N                                 |      |
| .0671      | Anthem, Inc. .... |                   | 47-2546820 |              | 0001156039 |  | WellPoint Military Care Corporation             | IN                     | NIA                               | Federal Government Solutions, LLC              | Ownership.....   | 100.000                                      | Anthem, Inc. ....                          | N                                 |      |
| .0671      | Anthem, Inc. .... | 15929             | 47-5569628 |              | 0001156039 |  | Wisconsin Collaborative Insurance Company       | WI                     | IA                                | Crossroads Acquisition Corp.                   | Ownership.....   | 55.000                                       | Anthem, Inc. ....                          | N                                 | 0110 |
| .0671      | Anthem, Inc. .... |                   | 20-8672847 |              | 0001156039 |  | WPMI, LLC                                       | DE                     | NIA                               | ATH Holding Company, LLC                       | Ownership.....   | 69.910                                       | Anthem, Inc. ....                          | N                                 | 0103 |
| .0671      | Anthem, Inc. .... |                   | 47-3025203 |              | 0001156039 |  | ZipDrug Inc.                                    | DE                     | NIA                               | IngenioRx, Inc. ....                           | Ownership.....   | 100.000                                      | Anthem, Inc. ....                          | N                                 |      |

| Asterisk | Explanation   |
|----------|---|
| 0100     | Insurer is deemed to be an insurance affiliate in column 10 and has an NAIC Company Code in column 3. However, it does not file an NAIC statutory statement because it is regulated by the New York State Department of Health. ....                              |
| 0101     | Insurer is deemed to be an insurance affiliate in column 10, but does not have an NAIC Company Code in column 3 because it is regulated by the California Department of Managed Health Care. ....   |
| 0102     | 50% owned by unaffiliated investors   |
| 0103     | 30.09% owned by unaffiliated investors  |
| 0104     | 25% owned by an unaffiliated investor   |
| 0105     | Legato Health Technologies LLP is a Limited Liability Partnership formed under the laws of India, and is 99% owned by Legato Holdings I, Inc. an Indiana corporation, and 1% owned by Legato Holdings II, LLC, an Indiana Limited Liability company. ....         |
| 0106     | Legato Health Technologies Philippines, Inc. was incorporated under with the Republic of the Philippines, and is 100% owned by Legato Holdings I, Inc. an Indiana corporation. ....   |
| 0107     | Highland Investor Holding LLC is a Limited Liability Company formed under the laws of Delaware, and is 72.48% owned by Anthem Holding Company, LLC. an Indiana limited liability company, and 27.52% owned by Highland Holdco, Inc., a Delaware corporation. .... |
| 0108     | Applied Pathways, LLC is a Limited Liability Company formed under the laws of Illinois, and is 53.52% owned by American Imaging Management, Inc. an Illinois limited liability company, and 46.48% owned by HEP AP Holdings, Inc., a Delaware corporation. ....   |
| 0109     | Anthem Worker's Compensation, LLC is owned 75% by Anthem Blue Cross Life and Health Insurance Company and 25% by HealthLink, Inc. ....  |
| 0110     | 45% of WCIC is owned by Aurora Health Care, Inc. (non-affiliate) and 55% by Crossroads Acquisition Corp   |
| 0111     | Massachusetts Behavioral Health Partnership is a General Partnership formed under the laws of Massachusetts, and is 50% owned by Beacon Health Options, Inc., a Virginia corporation, and 50% owned by OPTIONS Health Care, Inc., a Delaware corporation. ....    |
| 0112     | Florida Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by Florida Behavioral Health, Inc. (non-affiliate)  |
| 0113     | North Florida Behavioral Health Partners, Inc. is 50% owned by Beacon Health Options, Inc. and 50% owned by North Florida Behavioral Health Network, Inc. (non-affiliate)   |
| 0114     | 75% owned by an unaffiliated investor   |
| 0115     | 83.4% owned by unaffiliated investors   |

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

|   | Response |
|---|----------|
| 1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement? ..... | NO       |

Explanation:

1.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



**OVERFLOW PAGE FOR WRITE-INS**

**NONE**

SCHEDULE A - VERIFICATION

Real Estate

|  | 1            | 2                               |
|--|--------------|---------------------------------|
|  | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                           |              |                                 |
| 2. Cost of acquired:   |              |                                 |
| 2.1 Actual cost at time of acquisition .....   |              |                                 |
| 2.2 Additional investment made after acquisition .....                                     |              |                                 |
| 3. Current year change in encumbrances .....   |              |                                 |
| 4. Total gain (loss) on disposals .....  |              |                                 |
| 5. Deduct amounts received on disposals .....  |              |                                 |
| 6. Total foreign exchange change in book/adjusted carrying value .....                     |              |                                 |
| 7. Deduct current year's other than temporary impairment recognized .....                  |              |                                 |
| 8. Deduct current year's depreciation .....  |              |                                 |
| 9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8) ..... |              |                                 |
| 10. Deduct total nonadmitted amounts .....   |              |                                 |
| 11. Statement value at end of current period (Line 9 minus Line 10) .....                  |              |                                 |

SCHEDULE B - VERIFICATION

Mortgage Loans

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book value/recorded investment excluding accrued interest, December 31 of prior year .....                             |              |                                 |
| 2. Cost of acquired:  |              |                                 |
| 2.1 Actual cost at time of acquisition .....  |              |                                 |
| 2.2 Additional investment made after acquisition .....  |              |                                 |
| 3. Capitalized deferred interest and other .....  |              |                                 |
| 4. Accrual of discount .....  |              |                                 |
| 5. Unrealized valuation increase (decrease) .....   |              |                                 |
| 6. Total gain (loss) on disposals .....   |              |                                 |
| 7. Deduct amounts received on disposals .....   |              |                                 |
| 8. Deduct amortization of premium and mortgage interest paid and commitment fees .....                                    |              |                                 |
| 9. Total foreign exchange change in book value/recorded investment excluding accrued interest .....                       |              |                                 |
| 10. Deduct current year's other than temporary impairment recognized .....  |              |                                 |
| 11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |              |                                 |
| 12. Total valuation allowance .....   |              |                                 |
| 13. Subtotal (Line 11 plus Line 12) .....   |              |                                 |
| 14. Deduct total nonadmitted amounts .....  |              |                                 |
| 15. Statement value at end of current period (Line 13 minus Line 14) .....  |              |                                 |

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

|  | 1            | 2                               |
|--|--------------|---------------------------------|
|  | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                             |              |                                 |
| 2. Cost of acquired:   |              |                                 |
| 2.1 Actual cost at time of acquisition .....   |              |                                 |
| 2.2 Additional investment made after acquisition .....                                       |              |                                 |
| 3. Capitalized deferred interest and other .....   |              |                                 |
| 4. Accrual of discount .....   |              |                                 |
| 5. Unrealized valuation increase (decrease) .....  |              |                                 |
| 6. Total gain (loss) on disposals .....  |              |                                 |
| 7. Deduct amounts received on disposals .....  |              |                                 |
| 8. Deduct amortization of premium and depreciation .....                                     |              |                                 |
| 9. Total foreign exchange change in book/adjusted carrying value .....                       |              |                                 |
| 10. Deduct current year's other than temporary impairment recognized .....                   |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10) ..... |              |                                 |
| 12. Deduct total nonadmitted amounts .....   |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                   |              |                                 |

SCHEDULE D - VERIFICATION

Bonds and Stocks

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year to Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year .....                      | 1,367,337    | 10,323,539                      |
| 2. Cost of bonds and stocks acquired .....  |              | 3,987,717                       |
| 3. Accrual of discount .....  |              | 3,472                           |
| 4. Unrealized valuation increase (decrease) .....   |              |                                 |
| 5. Total gain (loss) on disposals .....   |              | 206,710                         |
| 6. Deduct consideration for bonds and stocks disposed of .....  |              | 13,093,310                      |
| 7. Deduct amortization of premium .....   | 11,733       | 60,791                          |
| 8. Total foreign exchange change in book/adjusted carrying value .....                                    |              |                                 |
| 9. Deduct current year's other than temporary impairment recognized .....                                 |              |                                 |
| 10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees ..... |              |                                 |
| 11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10) .....              | 1,355,604    | 1,367,337                       |
| 12. Deduct total nonadmitted amounts .....  |              |                                 |
| 13. Statement value at end of current period (Line 11 minus Line 12) .....                                | 1,355,604    | 1,367,337                       |

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity  
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

| NAIC Designation                    | 1<br>Book/Adjusted<br>Carrying Value<br>Beginning<br>of Current Quarter | 2<br>Acquisitions<br>During<br>Current Quarter | 3<br>Dispositions<br>During<br>Current Quarter | 4<br>Non-Trading Activity<br>During<br>Current Quarter | 5<br>Book/Adjusted<br>Carrying Value<br>End of<br>First Quarter | 6<br>Book/Adjusted<br>Carrying Value<br>End of<br>Second Quarter | 7<br>Book/Adjusted<br>Carrying Value<br>End of<br>Third Quarter | 8<br>Book/Adjusted<br>Carrying Value<br>December 31<br>Prior Year |
|-------------------------------------|---|--|--|--|---|--|---|---|
| BONDS                               |   |  |  |  |   |  |   |   |
| 1. NAIC 1 (a) .....                 | 1,359,539   | 0  | 0  | (3,935)  | 1,363,446   | 1,359,539  | 1,355,604   | 1,367,335   |
| 2. NAIC 2 (a) .....                 | 0   |  |  |  | 0   | 0  | 0   |   |
| 3. NAIC 3 (a) .....                 | 0   |  |  |  | 0   | 0  | 0   |   |
| 4. NAIC 4 (a) .....                 | 0   |  |  |  | 0   | 0  | 0   |   |
| 5. NAIC 5 (a) .....                 | 0   |  |  |  | 0   | 0  | 0   |   |
| 6. NAIC 6 (a) .....                 | 0   |  |  |  | 0   | 0  | 0   |   |
| 7. Total Bonds                      | 1,359,539   | 0  | 0  | (3,935)  | 1,363,446   | 1,359,539  | 1,355,604   | 1,367,335   |
| PREFERRED STOCK                     |   |  |  |  |   |  |   |   |
| 8. NAIC 1 .....                     | 0   |  |  |  | 0   | 0  | 0   | 0   |
| 9. NAIC 2 .....                     | 0   |  |  |  | 0   | 0  | 0   | 0   |
| 10. NAIC 3 .....                    | 0   |  |  |  | 0   | 0  | 0   | 0   |
| 11. NAIC 4 .....                    | 0   |  |  |  | 0   | 0  | 0   | 0   |
| 12. NAIC 5 .....                    | 0   |  |  |  | 0   | 0  | 0   | 0   |
| 13. NAIC 6 .....                    | 0   |  |  |  | 0   | 0  | 0   | 0   |
| 14. Total Preferred Stock .....     | 0   | 0  | 0  | 0  | 0   | 0  | 0   | 0   |
| 15. Total Bonds and Preferred Stock | 1,359,539   | 0  | 0  | (3,935)  | 1,363,446   | 1,359,539  | 1,355,604   | 1,367,335   |

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:  
NAIC 1 \$ ..... ; NAIC 2 \$ ..... ; NAIC 3 \$ ..... NAIC 4 \$ ..... ; NAIC 5 \$ ..... ; NAIC 6 \$ .....



Schedule DA - Part 1 - Short-Term Investments

**N O N E**

Schedule DA - Verification - Short-Term Investments

**N O N E**

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

**N O N E**

Schedule DB - Part B - Verification - Futures Contracts

**N O N E**

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

**N O N E**

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

**N O N E**

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of  
Derivatives

**N O N E**

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

|   | 1            | 2                               |
|---|--------------|---------------------------------|
|   | Year To Date | Prior Year Ended<br>December 31 |
| 1. Book/adjusted carrying value, December 31 of prior year .....                          | 74,709       | 208,916                         |
| 2. Cost of cash equivalents acquired .....  | 17,969       | 3,598,982                       |
| 3. Accrual of discount .....  |              |                                 |
| 4. Unrealized valuation increase (decrease) .....   |              |                                 |
| 5. Total gain (loss) on disposals .....   |              |                                 |
| 6. Deduct consideration received on disposals .....                                       |              | 3,733,189                       |
| 7. Deduct amortization of premium .....   |              |                                 |
| 8. Total foreign exchange change in book/adjusted carrying value .....                    |              |                                 |
| 9. Deduct current year's other than temporary impairment recognized .....                 |              |                                 |
| 10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9) ..... | 92,678       | 74,709                          |
| 11. Deduct total nonadmitted amounts .....  |              |                                 |
| 12. Statement value at end of current period (Line 10 minus Line 11)                      | 92,678       | 74,709                          |

Schedule A - Part 2 - Real Estate Acquired and Additions Made

**N O N E**

Schedule A - Part 3 - Real Estate Disposed

**N O N E**

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

**N O N E**

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

**N O N E**

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

**N O N E**

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

**N O N E**

Schedule D - Part 3 - Long-Term Bonds and Stocks Acquired

**N O N E**

Schedule D - Part 4 - Long-Term Bonds and Stocks Sold, Redeemed or Otherwise Disposed Of

**N O N E**

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open

**N O N E**

Schedule DB - Part B - Section 1 - Futures Contracts Open

**N O N E**

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made

**N O N E**

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By

**N O N E**

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To

**N O N E**

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees

**N O N E**

Schedule DL - Part 1 - Reinvested Collateral Assets Owned

**N O N E**

Schedule DL - Part 2 - Reinvested Collateral Assets Owned

**N O N E**

## SCHEDULE E - PART 1 - CASH

[illegible]

## SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

[illegible]