



HEALTH QUARTERLY STATEMENT

AS OF SEPTEMBER 30, 2020
OF THE CONDITION AND AFFAIRS OF THE

Humana Benefit Plan of Illinois, Inc.

NAIC Group Code 0119 0119 NAIC Company Code 60052 Employer's ID Number 37-1326199
(Current) (Prior)

Organized under the Laws of Illinois, State of Domicile or Port of Entry IL

Country of Domicile United States of America

Licensed as business type: Life, Accident & Health

Is HMO Federally Qualified? Yes [] No [X]

Incorporated/Organized 06/20/1994 Commenced Business 02/01/1995

Statutory Home Office 4501 North Sterling Ave., 2nd Floor, Peoria, IL, US 61615
(Street and Number) (City or Town, State, Country and Zip Code)

Main Administrative Office 500 West Main Street
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Mail Address P.O. Box 740036, Louisville, KY, US 40201-7436
(Street and Number or P.O. Box) (City or Town, State, Country and Zip Code)

Primary Location of Books and Records 500 West Main Street
(Street and Number)
Louisville, KY, US 40202, 502-580-1000
(City or Town, State, Country and Zip Code) (Area Code) (Telephone Number)

Internet Website Address www.humana.com

Statutory Statement Contact Stephen Jackson, 502-580-2715
(Name) (Area Code) (Telephone Number)
DOIINQUIRIES@humana.com, 502-580-2099
(E-mail Address) (FAX Number)

OFFICERS

President & CEO	<u>Bruce Dale Broussard</u>	Chief Financial Officer	<u>Brian Andrew Kane</u>
Associate VP, Asst Gen Counsel & Corporate Secretary	<u>Joseph Matthew Ruschell</u>	SVP, Chief Actuary	<u>Vanessa Marie Olson</u>

OTHER

<u>Alan James Bailey, VP & Treasurer</u>	<u>Andrew Joseph Besendorf III, Appointed Actuary</u>	<u>Charles Wilbur Dow Jr., Regional President</u>
<u>Courtney Danielle Durall, Assistant Corporate Secretary and Legal Advisor</u>	<u>Douglas Allen Edwards, Senior Vice President, Workplace Experience</u>	<u>Jeffrey Carl Fernandez, SVP, Medicare West and MarketPOINT</u>
<u>Catherine Elva Field #, Senior Vice President, Medicare Divisional Leader</u>	<u>Christopher Howal Hunter, Segment President, Group & Military Business</u>	<u>Steven Edward McCulley, SVP, Medicare</u>
<u>Matthew George Moore #, Regional President</u>	<u>Sean Joseph O'Reilly, SVP, Enterprise Compliance & Chief Compliance Officer</u>	<u>William Mark Preston, VP, Investments</u>
<u>Richard Donald Remmers, SVP, Employer Group Sales</u>	<u>George Renaudin II, SVP, Medicare East & Provider</u>	<u>Donald Hank Robinson, SVP, Tax</u>
<u>Gilbert Alan Stewart, SVP, Medicare Divisional Leader</u>	<u>Richard Andrew Vollmer Jr., SVP, Medicare Divisional Leader</u>	<u>Timothy Alan Wheatley, Segment President, Retail</u>
<u>Ralph Martin Wilson, Vice President</u>	<u>Cynthia Hillebrand Zipperle, SVP, Chief Accounting Officer & Controller</u>	

DIRECTORS OR TRUSTEES

<u>Bruce Dale Broussard</u>	<u>Neal Curtis Fischer, M.D.</u>	<u>Brian Andrew Kane</u>
<u>Praveen Gope Thadani</u>	<u>Ross Alan Westreich</u>	<u>Timothy Alan Wheatley</u>

State of Kentucky SS:
County of Jefferson

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

<u>Bruce Dale Broussard</u> President & CEO	<u>Joseph Matthew Ruschell</u> Assoc. VP, Asst. General Counsel & Corporate Secretary	<u>Alan James Bailey</u> VP & Treasurer
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Subscribed and sworn to before me this 4th day of November, 2020

Julia Wentworth
Notary Public
January 10, 2021

a. Is this an original filing? Yes [X] No []
b. If no,
1. State the amendment number.....
2. Date filed
3. Number of pages attached.....

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	602,377,176	0	602,377,176	539,830,364
2. Stocks:				
2.1 Preferred stocks	0	0	0	0
2.2 Common stocks	0	0	0	0
3. Mortgage loans on real estate:				
3.1 First liens	0	0	0	0
3.2 Other than first liens.....	0	0	0	0
4. Real estate:				
4.1 Properties occupied by the company (less \$0 encumbrances)	0	0	0	0
4.2 Properties held for the production of income (less \$0 encumbrances)	0	0	0	0
4.3 Properties held for sale (less \$0 encumbrances)	0	0	0	0
5. Cash (\$24,136,436), cash equivalents (\$260,709,715) and short-term investments (\$0)	284,846,150	0	284,846,150	105,584,252
6. Contract loans (including \$0 premium notes)	0	0	0	0
7. Derivatives	0	0	0	0
8. Other invested assets	0	0	0	0
9. Receivables for securities	0	0	0	5,000
10. Securities lending reinvested collateral assets	0	0	0	0
11. Aggregate write-ins for invested assets	0	0	0	0
12. Subtotals, cash and invested assets (Lines 1 to 11)	887,223,326	0	887,223,326	645,419,616
13. Title plants less \$0 charged off (for Title insurers only)	0	0	0	0
14. Investment income due and accrued	3,070,820	0	3,070,820	3,196,908
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	16,055,311	1,159,396	14,895,914	10,103,438
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$0 earned but unbilled premiums)	0	0	0	0
15.3 Accrued retrospective premiums (\$8,221,383) and contracts subject to redetermination (\$36,158,026)	44,379,408	0	44,379,408	35,169,676
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers	0	0	0	0
16.2 Funds held by or deposited with reinsured companies	0	0	0	0
16.3 Other amounts receivable under reinsurance contracts	0	0	0	429
17. Amounts receivable relating to uninsured plans	43,263,494	0	43,263,494	14,595,149
18.1 Current federal and foreign income tax recoverable and interest thereon	0	0	0	0
18.2 Net deferred tax asset	8,531,270	408,975	8,122,295	8,122,295
19. Guaranty funds receivable or on deposit	0	0	0	0
20. Electronic data processing equipment and software	0	0	0	0
21. Furniture and equipment, including health care delivery assets (\$0)	37,898	37,898	0	0
22. Net adjustment in assets and liabilities due to foreign exchange rates	0	0	0	0
23. Receivables from parent, subsidiaries and affiliates	0	0	0	0
24. Health care (\$68,528,190) and other amounts receivable	89,415,109	20,886,064	68,529,045	40,541,799
25. Aggregate write-ins for other than invested assets	15,812,489	15,812,489	0	0
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	1,107,789,126	38,304,823	1,069,484,303	757,149,310
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0	0
28. Total (Lines 26 and 27)	1,107,789,126	38,304,823	1,069,484,303	757,149,310
DETAILS OF WRITE-INS				
1101.				
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page	0	0	0	0
1199. Totals (Lines 1101 through 1103 plus 1198)(Line 11 above)	0	0	0	0
2501. Prepaid Commissions	11,185,745	11,185,745	0	0
2502. Provider Contracts	4,178,571	4,178,571	0	0
2503. Deposits	448,173	448,173	0	0
2598. Summary of remaining write-ins for Line 25 from overflow page	0	0	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	15,812,489	15,812,489	0	0

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$0 reinsurance ceded)	344,756,553	24,497,501	369,254,054	256,385,339
2. Accrued medical incentive pool and bonus amounts	34,544,886	0	34,544,886	20,198,141
3. Unpaid claims adjustment expenses	2,251,552	0	2,251,552	1,742,463
4. Aggregate health policy reserves, including the liability of \$0 for medical loss ratio rebate per the Public Health Service Act	39,736,287	0	39,736,287	35,426,214
5. Aggregate life policy reserves	0	0	0	0
6. Property/casualty unearned premium reserve	0	0	0	0
7. Aggregate health claim reserves	0	0	0	0
8. Premiums received in advance	6,810,805	0	6,810,805	1,902,815
9. General expenses due or accrued	6,407,123	0	6,407,123	4,834,795
10.1 Current federal and foreign income tax payable and interest thereon (including \$7,689 on realized gains (losses))	11,494,510	0	11,494,510	2,423,390
10.2 Net deferred tax liability	0	0	0	0
11. Ceded reinsurance premiums payable	0	0	0	0
12. Amounts withheld or retained for the account of others	43	0	43	102
13. Remittances and items not allocated	652,419	0	652,419	638,531
14. Borrowed money (including \$0 current) and interest thereon \$0 (including \$0 current)	0	0	0	0
15. Amounts due to parent, subsidiaries and affiliates	37,044,311	0	37,044,311	14,668,479
16. Derivatives	0	0	0	0
17. Payable for securities	475,000	0	475,000	0
18. Payable for securities lending	0	0	0	0
19. Funds held under reinsurance treaties (with \$0 authorized reinsurers, \$0 unauthorized reinsurers and \$0 certified reinsurers)	0	0	0	0
20. Reinsurance in unauthorized and certified (\$0) companies	0	0	0	0
21. Net adjustments in assets and liabilities due to foreign exchange rates	0	0	0	0
22. Liability for amounts held under uninsured plans	22,596,909	0	22,596,909	2,642,046
23. Aggregate write-ins for other liabilities (including \$88,721 current)	411,404	0	411,404	239,903
24. Total liabilities (Lines 1 to 23)	507,181,804	24,497,501	531,679,305	341,102,216
25. Aggregate write-ins for special surplus funds	XXX	XXX	0	50,884,532
26. Common capital stock	XXX	XXX	2,500,000	2,500,000
27. Preferred capital stock	XXX	XXX	0	0
28. Gross paid in and contributed surplus	XXX	XXX	267,528,084	227,528,084
29. Surplus notes	XXX	XXX	0	0
30. Aggregate write-ins for other than special surplus funds	XXX	XXX	0	0
31. Unassigned funds (surplus)	XXX	XXX	267,776,914	135,134,478
32. Less treasury stock, at cost:				
32.10 shares common (value included in Line 26 \$0)	XXX	XXX	0	0
32.20 shares preferred (value included in Line 27 \$0)	XXX	XXX	0	0
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	XXX	XXX	537,804,998	416,047,094
34. Total liabilities, capital and surplus (Lines 24 and 33)	XXX	XXX	1,069,484,303	757,149,309
DETAILS OF WRITE-INS				
2301. Unclaimed Property	335,922	0	335,922	239,903
2302. OPM Contract Reserves	75,482	0	75,482	0
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page	0	0	0	0
2399. Totals (Lines 2301 through 2303 plus 2398)(Line 23 above)	411,404	0	411,404	239,903
2501. Special Surplus – Projected HCRL Assessment for the Upcoming Year	XXX	XXX	0	50,884,532
2502.	XXX	XXX		
2503.	XXX	XXX		
2598. Summary of remaining write-ins for Line 25 from overflow page	XXX	XXX	0	0
2599. Totals (Lines 2501 through 2503 plus 2598)(Line 25 above)	XXX	XXX	0	50,884,532
3001.	XXX	XXX		
3002.	XXX	XXX		
3003.	XXX	XXX		
3098. Summary of remaining write-ins for Line 30 from overflow page	XXX	XXX	0	0
3099. Totals (Lines 3001 through 3003 plus 3098)(Line 30 above)	XXX	XXX	0	0

STATEMENT OF REVENUE AND EXPENSES

	Current Year To Date		Prior Year To Date	Prior Year Ended December 31
	1 Uncovered	2 Total	3 Total	4 Total
1. Member Months	XXX	2,710,930	2,097,919	2,857,306
2. Net premium income (including \$0 non-health premium income).....	XXX	2,498,877,288	1,921,960,553	2,581,501,896
3. Change in unearned premium reserves and reserve for rate credits.....	XXX	0	0	0
4. Fee-for-service (net of \$0 medical expenses).....	XXX	0	0	0
5. Risk revenue	XXX	0	0	0
6. Aggregate write-ins for other health care related revenues	XXX	0	0	0
7. Aggregate write-ins for other non-health revenues	XXX	0	0	0
8. Total revenues (Lines 2 to 7)	XXX	2,498,877,288	1,921,960,553	2,581,501,896
Hospital and Medical:				
9. Hospital/medical benefits	119,293,391	1,798,025,155	1,458,430,754	1,984,272,415
10. Other professional services	1,394,470	21,019,063	17,181,096	22,201,289
11. Outside referrals	0	0	0	0
12. Emergency room and out-of-area	2,841,250	42,826,550	36,364,850	49,642,348
13. Prescription drugs	9,623,122	145,050,216	107,659,002	133,794,794
14. Aggregate write-ins for other hospital and medical	0	0	0	0
15. Incentive pool, withhold adjustments and bonus amounts	0	34,295,944	18,962,844	20,476,016
16. Subtotal (Lines 9 to 15)	133,152,233	2,041,216,927	1,638,598,546	2,210,386,862
Less:				
17. Net reinsurance recoveries	0	0	21,540	30,105
18. Total hospital and medical (Lines 16 minus 17)	133,152,233	2,041,216,927	1,638,577,006	2,210,356,756
19. Non-health claims (net)	0	0	0	0
20. Claims adjustment expenses, including \$57,899,029 cost containment expenses	0	69,956,811	59,405,837	81,878,807
21. General administrative expenses	0	246,128,542	140,738,493	202,128,540
22. Increase in reserves for life and accident and health contracts (including \$0 increase in reserves for life only)	0	42,687	0	19,377,000
23. Total underwriting deductions (Lines 18 through 22).....	133,152,233	2,357,344,967	1,838,721,336	2,513,741,103
24. Net underwriting gain or (loss) (Lines 8 minus 23)	XXX	141,532,322	83,239,217	67,760,792
25. Net investment income earned	0	11,609,415	14,220,955	19,196,677
26. Net realized capital gains (losses) less capital gains tax of \$420,325	0	1,584,180	720,037	1,258,468
27. Net investment gains (losses) (Lines 25 plus 26)	0	13,193,595	14,940,992	20,455,144
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$0) (amount charged off \$0)].....	0	0	0	0
29. Aggregate write-ins for other income or expenses	0	40	42	(1,396,204)
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	XXX	154,725,957	98,180,251	86,819,733
31. Federal and foreign income taxes incurred	XXX	44,234,815	21,108,950	23,598,987
32. Net income (loss) (Lines 30 minus 31)	XXX	110,491,142	77,071,301	63,220,746
DETAILS OF WRITE-INS				
0601.	XXX			
0602.	XXX			
0603.	XXX			
0698. Summary of remaining write-ins for Line 6 from overflow page	XXX	0	0	0
0699. Totals (Lines 0601 through 0603 plus 0698)(Line 6 above)	XXX	0	0	0
0701.	XXX			
0702.	XXX			
0703.	XXX			
0798. Summary of remaining write-ins for Line 7 from overflow page	XXX	0	0	0
0799. Totals (Lines 0701 through 0703 plus 0798)(Line 7 above)	XXX	0	0	0
1401.				
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page	0	0	0	0
1499. Totals (Lines 1401 through 1403 plus 1498)(Line 14 above)	0	0	0	0
2901. Miscellaneous Income	0	40	42	54
2902. Loss on Disposal	0	0	0	(1,396,258)
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page	0	0	0	0
2999. Totals (Lines 2901 through 2903 plus 2998)(Line 29 above)	0	40	42	(1,396,204)

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1 Current Year to Date	2 Prior Year to Date	3 Prior Year Ended December 31
CAPITAL AND SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year.....	416,047,094	328,463,353	328,463,353
34. Net income or (loss) from Line 32	110,491,142	77,071,301	63,220,746
35. Change in valuation basis of aggregate policy and claim reserves	0	0	0
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	(477)	946,004	1,325,541
37. Change in net unrealized foreign exchange capital gain or (loss)	0	0	0
38. Change in net deferred income tax	0	0	4,375,380
39. Change in nonadmitted assets	(28,732,760)	1,106,396	6,433,989
40. Change in unauthorized and certified reinsurance	0	0	0
41. Change in treasury stock	0	0	0
42. Change in surplus notes	0	0	0
43. Cumulative effect of changes in accounting principles.....	0	0	0
44. Capital Changes:			
44.1 Paid in	0	0	0
44.2 Transferred from surplus (Stock Dividend).....	0	0	0
44.3 Transferred to surplus.....	0	0	0
45. Surplus adjustments:			
45.1 Paid in	40,000,000	0	12,228,084
45.2 Transferred to capital (Stock Dividend)	0	0	0
45.3 Transferred from capital	0	0	0
46. Dividends to stockholders	0	0	0
47. Aggregate write-ins for gains or (losses) in surplus	0	0	0
48. Net change in capital & surplus (Lines 34 to 47)	121,757,905	79,123,701	87,583,741
49. Capital and surplus end of reporting period (Line 33 plus 48)	537,804,998	407,587,054	416,047,094
DETAILS OF WRITE-INS			
4701.			
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page	0	0	0
4799. Totals (Lines 4701 through 4703 plus 4798)(Line 47 above)	0	0	0

CASH FLOW

	1 Current Year To Date	2 Prior Year To Date	3 Prior Year Ended December 31
Cash from Operations			
1. Premiums collected net of reinsurance	2,494,025,801	1,938,474,277	2,594,112,274
2. Net investment income	14,858,459	16,110,169	21,566,921
3. Miscellaneous income	0	0	0
4. Total (Lines 1 to 3)	2,508,884,260	1,954,584,446	2,615,679,195
5. Benefit and loss related payments	1,962,349,430	1,559,086,655	2,128,856,591
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts	0	0	0
7. Commissions, expenses paid and aggregate write-ins for deductions	323,379,355	192,568,900	295,256,215
8. Dividends paid to policyholders	0	0	0
9. Federal and foreign income taxes paid (recovered) net of \$555,763 tax on capital gains (losses)	35,584,020	14,500,400	23,560,285
10. Total (Lines 5 through 9)	2,321,312,805	1,766,155,956	2,447,673,092
11. Net cash from operations (Line 4 minus Line 10)	187,571,455	188,428,491	168,006,103
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	139,343,442	176,408,663	214,073,190
12.2 Stocks	0	0	0
12.3 Mortgage loans	0	0	0
12.4 Real estate	0	0	0
12.5 Other invested assets	0	0	0
12.6 Net gains or (losses) on cash, cash equivalents and short-term investments	65	198	198
12.7 Miscellaneous proceeds	480,000	280,000	0
12.8 Total investment proceeds (Lines 12.1 to 12.7)	139,823,507	176,688,861	214,073,388
13. Cost of investments acquired (long-term only):			
13.1 Bonds	203,009,247	282,825,349	378,122,828
13.2 Stocks	0	0	0
13.3 Mortgage loans	0	0	0
13.4 Real estate	0	0	0
13.5 Other invested assets	0	0	0
13.6 Miscellaneous applications	0	0	5,000
13.7 Total investments acquired (Lines 13.1 to 13.6)	203,009,247	282,825,349	378,127,828
14. Net increase (or decrease) in contract loans and premium notes	0	0	0
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	(63,185,741)	(106,136,489)	(164,054,440)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes	0	0	0
16.2 Capital and paid in surplus, less treasury stock	40,000,000	0	12,000,000
16.3 Borrowed funds	0	0	0
16.4 Net deposits on deposit-type contracts and other insurance liabilities	0	0	0
16.5 Dividends to stockholders	0	0	0
16.6 Other cash provided (applied)	14,876,184	(16,588,993)	(2,413,397)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	54,876,184	(16,588,993)	9,586,603
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	179,261,899	65,703,010	13,538,266
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	105,584,252	92,045,986	92,045,986
19.2 End of period (Line 18 plus Line 19.1)	284,846,150	157,748,995	105,584,252

Note: Supplemental disclosures of cash flow information for non-cash transactions:

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EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1 Total	Comprehensive (Hospital & Medical)		4 Medicare Supplement	5 Vision Only	6 Dental Only	7 Federal Employees Health Benefit Plan	8 Title XVIII Medicare	9 Title XIX Medicaid	10 Other
		2 Individual	3 Group							
Total Members at end of:										
1. Prior Year	255,949	0	0	26,785	0	0	0	229,164	0	0
2. First Quarter	296,508	0	0	37,072	0	0	0	259,436	0	0
3. Second Quarter	303,396	0	0	40,213	0	0	0	263,183	0	0
4. Third Quarter	311,188	0	0	42,551	0	0	0	268,637	0	0
5. Current Year	0	0	0	0	0	0	0	0	0	0
6. Current Year Member Months	2,710,930	0	0	349,193	0	0	0	2,361,737	0	0
Total Member Ambulatory Encounters for Period:										
7. Physician	4,050,496	0	0	436,316	0	0	2	3,614,178	0	0
8. Non-Physician	2,155,175	0	0	165,211	0	0	1	1,989,963	0	0
9. Total	6,205,671	0	0	601,527	0	0	3	5,604,141	0	0
10. Hospital Patient Days Incurred	481,458	0	0	21,394	0	0	0	460,064	0	0
11. Number of Inpatient Admissions	52,856	0	0	3,474	0	0	0	49,382	0	0
12. Health Premiums Written (a)	2,498,877,288	0	0	47,977,731	0	0	0	2,450,899,557	0	0
13. Life Premiums Direct	0	0	0	0	0	0	0	0	0	0
14. Property/Casualty Premiums Written	0	0	0	0	0	0	0	0	0	0
15. Health Premiums Earned	2,498,877,288	0	0	47,977,731	0	0	0	2,450,899,557	0	0
16. Property/Casualty Premiums Earned	0	0	0	0	0	0	0	0	0	0
17. Amount Paid for Provision of Health Care Services.....	1,961,140,437	0	0	41,213,339	0	0	(26,411)	1,919,953,509	0	0
18. Amount Incurred for Provision of Health Care Services	2,041,216,927	0	0	45,464,594	0	0	(26,959)	1,995,779,292	0	0

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$2,450,899,557

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

UNDERWRITING AND INVESTMENT EXHIBIT

ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability December 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)	0	0	0	0	0	0
2. Medicare Supplement	4,939,739	36,273,601	94,511	9,080,730	5,034,249	4,923,607
3. Dental Only	0	0	0	0	0	0
4. Vision Only	0	0	0	0	0	0
5. Federal Employees Health Benefits Plan	(32,363)	5,951	0	0	(32,363)	23
6. Title XVIII - Medicare	189,717,497	1,710,286,814	6,088,819	353,989,994	195,806,316	251,461,709
7. Title XIX - Medicaid	0	0	0	0	0	0
8. Other health	0	0	0	0	0	0
9. Health subtotal (Lines 1 to 8)	194,624,873	1,746,566,366	6,183,329	363,070,725	200,808,202	256,385,339
10. Healthcare receivables (a)	0	88,248,155	0	0	0	41,109,184
11. Other non-health	0	0	0	0	0	0
12. Medical incentive pools and bonus amounts	16,142,783	3,806,415	0	34,544,886	16,142,783	20,198,141
13. Totals (Lines 9-10+11+12)	210,767,656	1,662,124,626	6,183,329	397,615,611	216,950,985	235,474,296

(a) Excludes \$ 1,166,099 loans or advances to providers not yet expensed.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

1. Summary of Significant Accounting Policies and Going Concern

A. Accounting Practices

The financial statements of the Company are presented on the basis of accounting practices prescribed or permitted by the Illinois Department of Insurance.

The Illinois Department of Insurance (the Department) recognizes only statutory accounting practices prescribed or permitted by the State of Illinois for determining and reporting the financial condition and results of operations of an insurance company, for determining its solvency under the Illinois Insurance Law. The National Association of Insurance Commissioners' (NAIC) Accounting Practices and Procedures manual (NAIC SSAP) has been adopted as a component of prescribed or permitted practices by the State of Illinois. The Commissioner of Insurance has the right to permit other specific practices that deviate from prescribed practices. The Company, per the mandate of the Illinois Department of Insurance does not admit receivables from parent, subsidiaries, and affiliates in excess of the lesser of 5% of admitted assets or 10% of accumulated surplus, which is not in accordance with the NAIC SSAP. The omission of this prescribed practice would have had no impact to the results of the Company's risk-based capital calculations.

A reconciliation of the Company's net income and capital and surplus between NAIC SSAP and practices prescribed and permitted by the State of Illinois is shown below:

	SSAP #	F/S Page	F/S Line #	2020	2019
Net Income					
1. Humana Benefit Plan of Illinois, Inc. Illinois basis	xxx	xxx	xxx	\$ 110,491,142	\$ 63,220,746
2. State Prescribed Practices that is an increase/(decrease) NAIC SSAP				-	-
3. State Permitted Practices that is an increase/(decrease) NAIC SSAP				-	-
4. NAIC SSAP	xxx	xxx	xxx	\$ 110,491,142	\$ 63,220,746
Surplus					
5. Humana Benefit Plan of Illinois, Inc. Illinois basis	xxx	xxx	xxx	\$ 537,804,998	\$ 416,047,094
6. State Prescribed Practices that is an increase/(decrease) NAIC SSAP				-	-
7. State Permitted Practices that is an increase/(decrease) NAIC SSAP				-	-
8. NAIC SSAP	xxx	xxx	xxx	\$ 537,804,998	\$ 416,047,094

B. Use of Estimates in the Preparation of the Financial Statements

The preparation of financial statements in conformity with Statutory Accounting Principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities. It also requires disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the period. These estimates are based on knowledge of current events and anticipated future events, and accordingly, actual results could differ from those estimates.

C. Accounting Policy

Premiums are reported as earned in the period in which members are entitled to receive services, and are net of retroactive membership adjustments. Retroactive membership adjustments result from enrollment changes not yet processed, or not yet reported by an employer group or the government. Premiums received prior to such period are recorded as advance premiums.

Benefits incurred and loss adjustment expenses include claim payments, capitation payments, pharmacy costs net of rebates, allocations of certain centralized expenses, legal and administrative costs to settle claims, and various other costs incurred to provide health insurance coverage to members, as well as estimates of future payments to hospitals and others for medical care provided prior to the date of the statements of admitted assets, liabilities and surplus. Capitation payments represent monthly contractual fees disbursed to participating primary care physicians, and other providers who are responsible for providing medical care to members. Pharmacy costs represent payments for members' prescription drug benefits, net of rebates from drug manufacturers.

In addition, the Company uses the following accounting policies:

- (1) Short-term investments include investments mainly in U.S. Government obligations with a maturity of twelve months or less from the date of purchase. Short-term investments are recorded at amortized cost. The carrying value of short-term investments approximates fair value due to the short-term maturities of the investments.
- (2-4) Investments are valued and classified in accordance with methods prescribed by the NAIC. Bonds with an NAIC rating of 1 or 2 are carried at amortized cost, with all other bonds being recorded at the lower of amortized cost or fair value; redeemable preferred stocks are carried at amortized cost; and non-redeemable preferred stocks are carried at fair value. Common stocks are carried at fair value.

The Company regularly evaluates investment securities for impairment. For all securities other than loan-backed and structured securities, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment's fair value has been below carrying value, the near term prospects for recovery to carrying value, and the Company's intent and ability to hold the investment until maturity or market recovery is realized. If and when a determination is made that a decline in fair value below the cost basis is other-than-temporary, the related investment is written down to its estimated fair value through earnings.

NOTES TO THE FINANCIAL STATEMENTS

Amortization of bond premium or discount is computed using the scientific interest method.

Income from investments is recorded on an accrual basis. For the purpose of determining realized gains and losses, the cost of securities sold is based upon specific identification. Investment income due and accrued over 90 days past due is nonadmitted.

- (5) Not Applicable.
- (6) For loan backed and structured securities where the securities fair value is less than the amortized cost, the Company considers several factors to determine if the security’s impairment is other-than-temporary. If the Company has the intent to sell the security or if the Company does not have the intent and ability to retain the security until recovery of its fair value, the related investment is written down to its estimated fair value through earnings. If, however, the Company has the intent and ability to retain the security until recovery of its fair value, the Company considers factors affecting the investee, factors affecting the industry the investee operates within, and general debt and equity market trends. The Company also considers the length of time an investment’s fair value has been below carrying value and the near term prospects for recovery to carrying value. If the determination is made, based on these factors, that the Company does expect to recover the entire amortized cost of the security, then an other-than-temporary impairment has not occurred. If, however, the determination is made that the Company does not expect to recover the entire amortized cost of the security based on the factors noted above, the Company recognizes a realized loss in earnings for the non-interest related decline. No loss is recognized for the interest impairment.
- (7) Not Applicable.
- (8) Not Applicable.
- (9) Not Applicable.
- (10-11) The estimates of future medical benefit payments are developed using actuarial methods and assumptions based upon claim payment patterns, medical cost inflation, historical development such as claim inventory levels and claim receipt patterns, and other relevant factors. Corresponding administrative costs to process outstanding claims are estimated and accrued. Estimates of future payments relating to services incurred in the current and prior periods are continually reviewed by management and adjusted as necessary.

The Company assesses the profitability of its contracts for providing health insurance coverage to its members when current operating results or forecasts indicate probable future losses. The Company records a premium deficiency liability in current operations to the extent that the sum of expected future medical costs, claim adjustment expenses and maintenance costs exceed related future premiums. Investment income is not contemplated in the calculation of the premium deficiency liability.

Management believes the Company’s benefits payable and loss adjustment expense are adequate to cover future claims and loss adjustment expense payments required, however, such estimates are based on knowledge of current events and anticipated future events and, therefore, the actual liability could differ from the amounts provided.

- (12) The Company has not modified its capitalization policy from the prior period.

Equipment is stated at cost less accumulated depreciation. Depreciation expense is computed using the straight-line method over estimated useful lives generally ranging from three to five years. Improvements to leased facilities are depreciated over the shorter of the remaining lease term or the anticipated life of the improvement.

The Company recognizes an asset or liability for the deferred tax consequences of temporary differences between the tax basis of assets or liabilities and their reported amounts in the financial statements. The temporary differences will result in taxable or deductible amounts in future years when the reported amounts of the assets or liabilities are recovered or settled.

- (13) The Company estimates anticipated Pharmacy Rebate Receivables using the analysis of historical recovery patterns.
- (14) Not Applicable.
- (15) Not Applicable.

D. Going Concern

Management of the Company has evaluated the Company’s ability to continue as a going concern under SSAP No. 1, *Accounting Policies, Risks & Uncertainties, and Other Disclosures* (SSAP No. 1). Based on this evaluation, Management has determined that there is no substantial doubt about the Company’s ability to continue as a going concern.

2. Accounting Changes and Corrections of Errors

Not Applicable.

3. Business Combinations and Goodwill

A. Statutory Purchase Method

Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

- B. Statutory Merger
- Not Applicable.
- C. Assumption Reinsurance
- Not Applicable.
- D. Impairment Loss
- Not Applicable.
4. Discontinued Operations
- Not Applicable.
5. Investments
- A. Mortgage Loans, Including Mezzanine Real Estate Loans
- Not Applicable.
- B. Debt Restructuring
- Not Applicable.
- C. Reverse Mortgages
- Not Applicable.
- D. Loan-Backed Securities
- (1) Prepayment assumptions for mortgage-backed/loan-backed and structured securities were obtained from industry market sources.
- (2) Not Applicable.
- (3) Not Applicable.
- (4) The Company does not have any investments in an other-than-temporary impairment position at September 30, 2020.
- Gross unrealized losses and related fair value of temporarily impaired securities that have been in a continuous unrealized loss position were as follows at September 30, 2020:
- (a) The aggregate amount of unrealized losses:
- | | | |
|----------------------------|----|-----------|
| 1. Less than Twelve Months | \$ | (63,440) |
| 2. Twelve Months or Longer | \$ | (210,912) |
- (b) The aggregate related fair value of securities with unrealized losses:
- | | | |
|----------------------------|----|------------|
| 1. Less than Twelve Months | \$ | 22,126,426 |
| 2. Twelve Months or Longer | \$ | 35,511,436 |
- (5) Unrealized losses are primarily due to increases in market interest rates and tighter liquidity conditions in the current markets than when the securities were purchased. All issuers of securities trading at an unrealized loss remain current on all contractual payments and the Company believes it is probable that all amounts due according to the contractual terms of the debt securities are collectible. After taking into account these and other factors, including the severity of the decline and the Company’s ability and intent to hold these securities until recovery or maturity, the Company determined the unrealized losses on these investment securities were temporary and, as such, no impairment was required.
- E. Dollar Repurchase Agreements and/or Securities Lending Transactions
- (1) The Company has no repurchase agreements or securities lending transactions.
- (2) The Company has not pledged any of its assets as collateral.
- (3-7) Not Applicable.
- F. Repurchase Agreements Transactions Accounted for as Secured Borrowing
- Not Applicable.
- G. Reverse Repurchase Agreements Transactions Accounted for as Secured Borrowing
- Not Applicable.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

H. Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

I. Reverse Repurchase Agreements Transactions Accounted for as a Sale

Not Applicable.

J. Real Estate

Not Applicable.

K. Low-Income Housing Tax Credits (LIHTC)

Not Applicable.

L. Restricted Assets

(1) Restricted Assets (Including Pledged)

Restricted Asset Category	1	2	3	4	5	6	7
	Total Gross (Admitted & Nonadmitted) Restricted from Current Year	Total Gross (Admitted & Nonadmitted) Restricted from Prior Year	Increase/ (Decrease) (1 minus 2)	Total Current Year Nonadmitted Restricted	Total Current Year Admitted Restricted (1 minus 4)	Percentage Gross (Admitted & Nonadmitted) Restricted to Total Assets (a)	Percentage Admitted Restricted to Total Admitted Assets (b)
a. Subject to contractual obligation for which liability is not shown	\$ -	\$ -	\$ -	\$ -	\$ -	-%	-%
b. Collateral held under security lending agreements	-	-	-	-	-	-	-
c. Subject to repurchase agreements	-	-	-	-	-	-	-
d. Subject to reverse repurchase agreements	-	-	-	-	-	-	-
e. Subject to dollar repurchase agreements	-	-	-	-	-	-	-
f. Subject to dollar reverse repurchase agreements	-	-	-	-	-	-	-
g. Placed under option contracts	-	-	-	-	-	-	-
h. Letter stock or securities restricted to sale – excluding FHLB capital stock	-	-	-	-	-	-	-
i. FHLB capital stock	-	-	-	-	-	-	-
j. On deposit with states	3,365,122	3,376,334	(11,212)	-	3,365,122	0.30%	0.31%
k. On deposit with other regulatory bodies	-	-	-	-	-	-	-
l. Pledged collateral to FHLB (including assets backing funding agreements)	-	-	-	-	-	-	-
m. Pledged as collateral not captured in other categories	-	-	-	-	-	-	-
n. Other restricted assets	-	-	-	-	-	-	-
o. Total Restricted Assets	\$ 3,365,122	\$ 3,376,334	\$ (11,212)	-	\$ 3,365,122	0.30%	0.31%

(2) Detail of Assets Pledged as Collateral Not Captured in Other Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(3) Detail of Other Restricted Assets Categories (Contracts that Share Similar Characteristics, Such as Reinsurance and Derivatives, Are Reported in the Aggregate)

Not Applicable.

(4) Collateral Received and Reflected as Assets Within the Reporting Entity’s Financial Statements

Not Applicable.

M. Working Capital Finance Investments

Not Applicable.

N. Offsetting and Netting of Assets and Liabilities

Not Applicable.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

O. 5GI* Securities

Not Applicable.

P. Short Sales

Not Applicable.

Q. Prepayment Penalty and Acceleration Fees

No material change since year-end December 31, 2019.

6. Joint Ventures, Partnerships and Limited Liability Companies

A. The Company has no investments in Joint Ventures, Partnerships or Limited Liability Companies that exceed 10.0 percent of its admitted assets.

B. The Company did not recognize any impairment write down for its investments in Joint Ventures, Partnerships and Limited Liability Companies during the statement periods.

7. Investment Income

A. Due and accrued income was excluded from surplus on the following basis:

All investment income due and accrued with amounts that are over 90 days past due with the exception of mortgage loans in default.

B. The total amount excluded was \$0.

8. Derivative Instruments

Not Applicable.

9. Income Taxes

No material change since year-end December 31, 2019.

10. Information Concerning Parent, Subsidiaries and Affiliates

A.-F. The Company has several management contracts with Humana Inc. and other related parties whereby the Company is provided with medical and executive management, information systems, claims processing, billing and enrollment, and telemarketing and other services as required by the Company. Management fees charged to operations for the years ended December 31, 2019 and 2018 were \$229,292,254 and \$170,172,648, respectively. As a part of this agreement, Humana Inc. makes cash disbursements on behalf of the Company which includes, but is not limited to, medical related items, general and administrative expenses, commissions and payroll. The Company continues to be primarily liable for any outstanding payments made on behalf of the Company, should Humana Inc. not be able to fulfill its obligations.

The Company has various related party agreements with no material change since year-end December 31, 2019.

No dividends or returns of capital were paid by the Company as of September 30, 2020.

The Company received a \$40,000,000 capital contribution from Humana, Inc. on March 31, 2020.

At September 30, 2020, the Company reported \$37,044,311 due to Humana Inc. Amounts due to or from parent are generally settled within 90 days.

G. All outstanding shares of the Company are owned by the Parent Company.

H. Not Applicable.

I. Not Applicable.

J. Not Applicable.

K. Not Applicable.

L. Not Applicable.

M. All SCA Investments

Not Applicable.

N. Investment in Insurance SCA

Not Applicable.

O. SCA Loss Tracking

Not Applicable.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

11. Debt

A. Debt Including Capital Notes

The Company has no debentures outstanding.

The Company has no capital notes outstanding.

The Company does not have any reverse repurchase agreements.

B. Federal Home Loan Bank (FHLB) Agreements

The Company does not have any FHLB agreements.

12. Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

A.-D. Defined Benefit Plans

Not Applicable.

E. Defined Contribution Plans

Not Applicable.

F. Multiemployer Plans

Not Applicable.

G. Consolidated/Holding Company Plans

No material change since year-end December 31, 2019.

H. Postemployment Benefits and Compensated Absences

Not Applicable.

I. Impact of Medicare Modernization Act on Postretirement Benefits (INT 04-17)

Not Applicable.

13. Capital and Surplus, Shareholders' Dividend Restrictions and Quasi-Reorganizations

(1) The Company has \$25 par value common stock with 100,000 shares authorized and 100,000 shares issued and 100,000 outstanding. All shares are common stock shares.

(2) The Company has no preferred stock outstanding.

(3-5) Dividends and returns of capital to shareholders are noncumulative and are paid as determined by the Board of Directors. In accordance with the Department statutes, the maximum amount which can be paid by the Company to shareholders without prior approval by the Department is the greater of 10% of unassigned funds or net income from the prior year. All ordinary dividends are limited to available and accumulated surplus funds. Based on these restrictions, the Company can pay a maximum dividend or return of capital to shareholders of approximately \$63,220,000 in 2020 without prior regulatory approval.

Within the limitations above, there are no restrictions placed on the portion of Company profits that may be paid as ordinary dividends to stockholders.

No dividends or returns of capital were paid by the Company as of September 30, 2020.

(6) There were no restrictions placed on the Company's surplus, including for whom the surplus is being held.

(7) Not Applicable.

(8) Not Applicable.

(9) Changes in balances of special surplus funds from the prior year is due to the health insurance industry fee having been permanently repealed beginning calendar year 2021.

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$(477).

(11) Not Applicable.

(12) Not Applicable.

(13) Not Applicable.

NOTES TO THE FINANCIAL STATEMENTS

14. Liabilities, Contingencies and Assessments

A. Contingent Commitments

Not Applicable.

B. Assessments

(1) Penn Treaty is a financially distressed unaffiliated long-term care insurance company. On March 1, 2017, the Pennsylvania Commonwealth Court approved the liquidation of Penn Treaty. Under state guaranty assessment laws, including those related to state cooperative failures in the industry, the Company may be assessed (up to prescribed limits) for certain obligations to the policyholders and claimants of insolvent insurance companies that write the same line or lines of business as the Company. This court ruling triggered a guarantee fund assessment for the Company in the first quarter of 2017. Based on current information, the assessment is estimated at approximately \$1,958,416 with a remaining unpaid balance as of September 30, 2020 of \$1,025. The Company has not recognized an asset for premium tax credits associated with the assessment as of September 30, 2020. While the ultimate payment timing and associated recovery is currently unknown, the Company anticipates that the majority of the assessments will be paid within the next 5 years.

(2) No Material Change since December 31, 2020 in Assets Recognized from Paid and Accrued Premium Tax Offsets and Policy Surcharges

(3)

a) Discount rate applied: 3.50%

b) The Undiscounted and Discounted Amount of the Guaranty Fund assessments and Related Assets by Insolvency:

Name of the Insolvency	Guaranty Fund Assessment		Related Assets	
	Undiscounted	Discounted	Undiscounted	Discounted
Penn Treaty	\$ 2,780,951	\$ 1,958,416	\$ 16	\$ -

c) Number of Jurisdictions, Ranges of Years Used to Discount and Weighted Average Number of Years of the Discounting Time Period for Payables and Recoverables by Insolvency:

Name of the Insolvency	Payables			Recoverables		
	Number of Jurisdictions	Range of Years	Weighted Average Number of Years	Number of Jurisdictions	Range of Years	Weighted Average Number of Years
Penn Treaty	3 states	1 to 70 years	12.76 years	1 state	1 to 5 years	5 years

C. Gain Contingencies

Not Applicable.

D. Claims related extra contractual obligation and bad faith losses stemming from lawsuits

Not Applicable.

E. Joint and Several Liabilities

Not Applicable.

F. All Other Contingencies

During the ordinary course of business, the Company is subject to pending and threatened legal actions. Management of the Company does not believe that any of these actions will have a material adverse effect on the Company’s surplus, results of operations or cash flows. However, the likelihood or outcome of current or future legal proceedings cannot be accurately predicted, and they could adversely affect the Company’s surplus, results of operations and cash flows.

The Company is not aware of any other material contingent liabilities as of September 30, 2020.

15. Leases

No material change since year-end December 31, 2019.

16. Information about Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

The Company has no investment in Financial Instruments with Off-Balance Sheet Risk or Concentrations of Credit Risk.

17. Sale, Transfer and Servicing of Financial Assets and Extinguishments of Liabilities

A. Transfers of Receivables Reported as Sales

Not Applicable.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

B. Transfer and Servicing of Financial Assets

Not Applicable.

C. Wash Sales

Not Applicable.

18. Gain or Loss to the Reporting Entity from Uninsured Plans and the Uninsured Portion of Partially Insured Plans

A. ASO Plans

Not Applicable.

B. ASC Plans

Not Applicable.

C. Medicare or Other Similarly Structured Cost Based Reimbursement Contract

- (1) The Company records no revenue explicitly attributable to the cost share and reinsurance components of its Medicare or other similarly structured cost based reimbursement contracts.
- (2) As of September 30, 2020, the Company has recorded a receivable from CMS of \$43,263,494 related to the cost share and reinsurance components of administered Medicare products. The Company does not have any receivables greater than 10% of the Company’s accounts receivable from uninsured accident and health plans or \$10,000.
- (3) As no revenue is recorded in connection with the cost share and reinsurance components of the Company’s Medicare or other similarly structured cost based reimbursement contracts, the Company has recorded no allowances and reserves for adjustment of recorded revenues and receivables.
- (4) The Company has made no adjustment to revenue resulting from audit of receivables related to revenues recorded in the prior period.

19. Direct Premium Written/Produced by Managing General Agents/Third Party Administrators

Not Applicable.

20. Fair Value Measurements

A. (1) The fair value of financial assets at September 30, 2020 were as follows:

	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Total
a. Assets at fair value					
Bonds					
U.S. governments	\$ -	\$ -	\$ -	\$ -	-
Tax-exempt municipal	-	-	-	-	-
Residential mortgage-backed	-	-	-	-	-
Corporate debt securities	-	6,662,037	-	-	6,662,037
Total bonds	-	6,662,037	-	-	6,662,037
Total assets at fair value/NAV	\$ -	\$ 6,662,037	\$ -	\$ -	\$ 6,662,037
b. Liabilities at fair value	\$ -	\$ -	\$ -	\$ -	-
Total liabilities at fair value	\$ -	\$ -	\$ -	\$ -	-

The Company reports transfers between Level 1 and Level 2 of the fair value hierarchy levels at the end of the reporting period. There were no transfers between Level 1 and Level 2 of the fair value hierarchy between December 31, 2019 and September 30, 2020.

(2) Fair Value Measurements in (Level 3) of the Fair Value Hierarchy

Not Applicable.

- (3) The Company reports transfers into or out of Level 3 of the fair value hierarchy levels at the end of the reporting period. There were no transfers into or out of Level 3 of the fair value hierarchy levels between December 31, 2019 and September 30, 2020.
- (4) Fair value of actively traded debt securities are based on quoted market prices. Fair value of other debt securities are based on quoted market prices of identical or similar securities or based on observable inputs like interest rates generally using a market valuation approach, or, less frequently, an income valuation approach and are generally classified as Level 2. The Company generally obtains one quoted price for each security from a third party pricing service. These prices are generally derived from recently reported trades for identical or similar securities, including adjustments through the reporting date based upon observable market information. When quoted prices are not available, the third party pricing service may use quoted market prices of comparable securities or discounted cash flow analyses, incorporating inputs that are currently observable in the markets for similar securities. Inputs that are often used in the valuation methodologies include benchmark yields, reported trades, credit spreads, broker quotes, default rates and prepayment speeds. The Company is responsible for the determination of fair value and as such, the Company performs analysis on the prices received from the third party pricing service to determine whether the prices are reasonable estimates of fair value. The Company’s analysis includes a review of monthly price

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

fluctuations as well as a quarterly comparison of the prices received from the pricing service to prices reported by the Company’s third party investment advisor. Based on the Company’s internal price verification procedures and review of fair value methodology documentation provided by the third party pricing service, there were no material adjustments to the prices obtained from the third party pricing service during the quarter ended September 30, 2020.

(5) Derivative Fair Values

Not Applicable.

B. Other Fair Value Disclosures

Not Applicable.

C. Fair Values for All Financial Instruments by Levels 1, 2 and 3

Type of Financial Instrument	Aggregate Fair Value	Admitted Assets	Level 1	Level 2	Level 3	Net Asset Value (NAV)	Not Practicable (Carrying Value)
Bonds and cash equivalents	\$ 888,053,588	\$ 863,086,892	\$ 260,709,715	\$ 627,343,873	\$ -	\$ -	-

D. Financial Instruments for which Not Practicable to Estimate Fair Values

Not Applicable.

21. Other Items

A. Extraordinary Items

The temporary deferral of non-essential care resulting from stay-at-home and physical distancing orders and other restrictions on movement and economic activity implemented throughout the country beginning in the second half of March 2020 to reduce the spread of the novel coronavirus, or COVID-19 has impacted the Company’s business. Hospital admissions and utilization were significantly depressed in April and increased throughout May and June. Utilization continued to rebound throughout the third quarter of 2020. The impact of the deferral of non-essential care was partially offset by COVID-19 testing and treatment costs, as well as Humana’s ongoing pandemic relief efforts.

B. Troubled Debt Restructuring: Debtors

Not Applicable.

C. Other Disclosures and Unusual Items

Not Applicable.

D. Business Interruption Insurance Recoveries

Not Applicable.

E. State Transferable and Non-transferable Tax Credits

Not Applicable.

F. Subprime Mortgage Related Risk Exposure

(1) The Company consults with its external investment managers to assess its subprime mortgage related risk exposure. Certain characteristics are utilized to determine if a mortgage-backed security has subprime exposure. The main characteristics reviewed when determining this are the collateral and structure of the security, the loan purpose, loan documentation, occupancy, geographical location, loan size and type. Subprime mortgage borrowers typically have lower credit scores, lower loan balances and higher loan-to-values than other conforming loans. Management’s practices include reviewing quantitative and qualitative credit models that analyze loan-level collateral composition, historical underwriter performance trends, the impact of macroeconomic factors, and issuer risks; as well as reviewing the estimation of security cash flows and monthly model calibrations.

(2) Direct exposure through investments in sub-prime mortgage loans.

The Company has no direct exposure through investment to sub-prime mortgage loans.

(3) Direct exposure through other investments:

- a. Residential mortgage backed securities – No substantial exposure noted.
- b. Commercial mortgage backed securities – No substantial exposure noted.
- c. Collateralized debt obligations – No substantial exposure noted.
- d. Structured securities – No substantial exposure noted.
- e. Equity investment in SCAs – No substantial exposure noted.
- f. Other assets – No substantial exposure noted.
- g. Total – No substantial exposure noted.

(4) Underwriting exposure to sub-prime mortgage risk through Mortgage Guaranty coverage, Financial Guaranty coverage, Directors and Officers liability coverage, or Errors and Omissions liability coverage.

The Company does not have sub-prime mortgage risk.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

Classification of mortgage related securities is primarily based on information from outside data services, including rating agency actions. When considering our exposure, the Company evaluated the percentage of full documentation loans, percent of owner occupied properties, FICO scores, average margin for ARM loans, percent of loans with prepayment penalties, the existence of non-traditional underwriting standards, among other factors.

G. Retained Assets

Not Applicable.

H. Insurance Linked Securities

Not Applicable.

22. Events Subsequent

The Company is not aware of any events or transactions occurring subsequent to the close of the books for this statement which may have a material effect on its financial condition. Subsequent events have been considered through November 6, 2020 for the Statutory Statement issued on November 6, 2020.

23. Reinsurance

A. Ceded Reinsurance Report

Section 1 – General Interrogatories

- (1) Are any of the reinsurers, listed in Schedule S as non-affiliated, owned in excess of 10.0 percent or controlled, either directly or indirectly, by the company or by any representative, officer, trustee, or director of the company?

Yes () No (X)

- (2) Have any policies issued by the company been reinsured with a company chartered in a country other than the United States (excluding U.S. Branches of such companies) that is owned in excess of 10.0 percent or controlled directly or indirectly by an insured, a beneficiary, a creditor or an insured or any other person not primarily engaged in the insurance business?

Yes () No (X)

Section 2 – Ceded Reinsurance Report – Part A

- (1) Does the company have any reinsurance agreements in effect under which the reinsurer may unilaterally cancel any reinsurance for reasons other than for nonpayment of premium or other similar credits?

Yes () No (X)

- (2) Does the reporting entity have any reinsurance agreements in effect such that the amount of losses paid or accrued through the statement date may result in a payment to the reinsurer of amounts that, in aggregate and allowing for offset of mutual credits from other reinsurance agreements with the same reinsurer, exceed the total direct premium collected under the reinsured policies?

Yes () No (X)

Section 3 – Ceded Reinsurance Report – Part B

- (1) What is the estimated amount of the aggregate reduction in surplus, (for agreements other than those under which the reinsurer may unilaterally cancel for reasons other than for nonpayment of premium or other similar credits that are reflected in Section 2 above) of termination of ALL reinsurance agreements, by either party, as of the date of this statement? Where necessary, the company may consider the current or anticipated experience of the business reinsured in making this estimate. \$0

- (2) Have any new agreements been executed or existing agreements amended, since January 1 of the year of this statement, to include policies or contracts that were in force or which had existing reserves established by the company as of the effective date of the agreement?

Yes () No (X)

B. Uncollectible Reinsurance

Not Applicable.

C. Commutation of Ceded Reinsurance

Not Applicable.

D. Certified Reinsurer Rating Downgraded or Status Subject to Revocation

Not Applicable.

24. Retrospectively Rated Contracts and Contracts Subject to Redetermination

- A. The Company estimates accrued retrospective premium adjustments for its Medicare business through a mathematical approach using an algorithm based upon settlement procedures defined by contracts with CMS.

STATEMENT AS OF September 30, 2020 OF Humana Benefit Plan of Illinois, Inc.

NOTES TO THE FINANCIAL STATEMENTS

- B. The Company records accrued retrospective premium as an adjustment to earned premiums.
- C. The amount of net premiums written by the Company at September 30, 2020 that are subject to retrospective rating features was \$2,450,899,557, or 98.08% of the total net premiums written. No other net premiums written by the Company are subject to retrospective rating features.
- D. Medical loss ratio rebates required pursuant to the Public Health Service Act
- Not Applicable.
- E. Risk Sharing Provisions of the Affordable Care Act
- Not Applicable.

25. Change in Incurred Claims and Claim Adjustment Expenses

Benefits and loss adjustment expenses payable, net of health care receivables, as of December 31, 2019 were \$237,216,758. As of September 30, 2020, \$212,472,416 has been paid for incurred claims and claim adjustment expenses attributable to insured events of prior years. Reserves remaining for prior years are now \$6,221,032 as a result of re-estimation of unpaid claims and claim adjustment expenses. Therefore, there has been a \$18,523,310 favorable prior-year development since December 31, 2019. The decrease is generally the result of ongoing analysis of recent loss development trends. Original estimates are increased or decreased as additional information becomes known regarding individual claims. Included in this decrease, the Company experienced \$18,604,294 of favorable prior year claim development on retrospectively rated policies. However, the business to which it relates is subject to premium adjustments.

26. Intercompany Pooling Arrangements

Not Applicable.

27. Structured Settlements

The Company has no structured settlements.

28. Health Care Receivables

A. Pharmaceutical Rebate Receivables

Quarter	Estimate Pharmacy Rebates as Reported on Financial Statements	Pharmacy Rebates as Billed or Otherwise Confirmed	Actual Rebates Received Within 90 Days of Billing	Actual Rebates Received Within 91 to 180 Days of Billing	Actual Rebates Received More than 181 Days after Billing
12/31/2020	\$ -	\$ -	\$ -	\$ -	\$ -
9/30/2020	69,555,442	69,555,442	-	-	-
6/30/2020	79,642,352	79,642,352	78,959,068	-	-
3/31/2020	57,544,213	57,544,213	56,118,296	1,425,904	-
12/31/2019	40,903,657	40,903,657	40,675,929	-	-
9/30/2019	44,629,620	44,629,620	44,318,024	84,776	226,820
6/30/2019	65,180,732	65,180,732	64,356,895	208,121	615,708
3/31/2019	40,354,773	40,354,773	39,953,871	-	400,902
12/31/2018	28,397,115	28,397,115	28,063,726	128,133	81,063
9/30/2018	34,290,070	34,290,070	34,177,124	112,946	-
6/30/2018	42,547,742	42,547,742	42,376,455	171,287	-
3/31/2018	27,380,640	27,380,640	27,380,640	-	-

B. Risk Sharing Receivables

Not Applicable.

29. Participating Policies

The Company has no participating policies.

30. Premium Deficiency Reserves

1. Liability carried for premium deficiency reserves \$ 19,377,000
2. Date of the most recent evaluation of this liability December 31, 2019
3. Was anticipated investment income utilized in the calculation? Yes () No (X)

The Company did recognize the time value of money by discounting future losses at an annual interest rate of 1.75%.

31. Anticipated Salvage and Subrogation

Not Applicable.

GENERAL INTERROGATORIES

PART 1 - COMMON INTERROGATORIES

GENERAL

- 1.1

Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes [☐] No [☒]
- 1.2

If yes, has the report been filed with the domiciliary state?

Yes [☐] No [☐]
- 2.1

Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes [☐] No [☒]
- 2.2

If yes, date of change:
- 3.1

Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

If yes, complete Schedule Y, Parts 1 and 1A.

Yes [☒] No [☐]
- 3.2

Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes [☐] No [☒]
- 3.3

If the response to 3.2 is yes, provide a brief description of those changes.
- 3.4

Is the reporting entity publicly traded or a member of a publicly traded group?

Yes [☒] No [☐]
- 3.5

If the response to 3.4 is yes, provide the CIK (Central Index Key) code issued by the SEC for the entity/group.

0000049071
- 4.1

Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

If yes, complete and file the merger history data file with the NAIC.

Yes [☐] No [☒]
- 4.2

If yes, provide the name of the entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1	2	3
Name of Entity	NAIC Company Code	State of Domicile
NA		
5.

If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?

If yes, attach an explanation.

Yes [☐] No [☒] N/A [☐]
- 6.1

State as of what date the latest financial examination of the reporting entity was made or is being made.

12/31/2015
- 6.2

State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2015
- 6.3

State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

06/13/2017
- 6.4

By what department or departments?
Illinois Department of Insurance
- 6.5

Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes [☒] No [☐] N/A [☐]
- 6.6

Have all of the recommendations within the latest financial examination report been complied with?

Yes [☒] No [☐] N/A [☐]
- 7.1

Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes [☐] No [☒]
- 7.2

If yes, give full information:
- 8.1

Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board?

Yes [☐] No [☒]
- 8.2

If response to 8.1 is yes, please identify the name of the bank holding company.
- 8.3

Is the company affiliated with one or more banks, thrifts or securities firms?

Yes [☐] No [☒]
- 8.4

If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1	2	3	4	5	6
Affiliate Name	Location (City, State)	FRB	OCC	FDIC	SEC

GENERAL INTERROGATORIES

- 9.1

Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?
(a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
(b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
(c) Compliance with applicable governmental laws, rules and regulations;
(d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
(e) Accountability for adherence to the code.

Yes ☒ No ☐
- 9.11

If the response to 9.1 is No, please explain:
- 9.2

Has the code of ethics for senior managers been amended?

Yes ☐ No ☒
- 9.21

If the response to 9.2 is Yes, provide information related to amendment(s).
NA
- 9.3

Have any provisions of the code of ethics been waived for any of the specified officers?

Yes ☐ No ☒
- 9.31

If the response to 9.3 is Yes, provide the nature of any waiver(s).

FINANCIAL

- 10.1

Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement?

Yes ☐ No ☒
- 10.2

If yes, indicate any amounts receivable from parent included in the Page 2 amount:

\$0

INVESTMENT

- 11.1

Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.)

Yes ☐ No ☒
- 11.2

If yes, give full and complete information relating thereto:
12.

Amount of real estate and mortgages held in other invested assets in Schedule BA:

\$0
13.

Amount of real estate and mortgages held in short-term investments:

\$0
- 14.1

Does the reporting entity have any investments in parent, subsidiaries and affiliates?

Yes ☐ No ☒
- 14.2

If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$0	\$0
14.22 Preferred Stock	\$0	\$0
14.23 Common Stock	\$0	\$0
14.24 Short-Term Investments	\$0	\$0
14.25 Mortgage Loans on Real Estate	\$0	\$0
14.26 All Other	\$0	\$0
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$0	\$0
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$0	\$0
- 15.1

Has the reporting entity entered into any hedging transactions reported on Schedule DB?

Yes ☐ No ☒
- 15.2

If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement.

Yes ☐ No ☐ N/A ☐
16.

For the reporting entity's security lending program, state the amount of the following as of the current statement date:

16.1

Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2.

\$0

16.2

Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2

\$0

16.3

Total payable for securities lending reported on the liability page.

\$0

GENERAL INTERROGATORIES

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []
- 17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1 Name of Custodian(s)	2 Custodian Address
JP Morgan Chase	4 Metro Tech Center, 6th Floor, Mail Code: NY1-C512, Brooklyn, NY 11245, Attn: Charline Ottley

- 17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1 Name(s)	2 Location(s)	3 Complete Explanation(s)

- 17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]
- 17.4 If yes, give full information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

- 17.5 Investment management – Identify all investment advisors, investment managers, broker/dealers, including individuals that have the authority to make investment decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["...that have access to the investment accounts"; "...handle securities"]

1 Name of Firm or Individual	2 Affiliation
BLACKROCK FINANCIAL MANAGEMENT, INC	U.....
W. Mark Preston	I.....

- 17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") manage more than 10% of the reporting entity's invested assets? Yes [X] No []

- 17.5098 For firms/individuals unaffiliated with the reporting entity (i.e. designated with a "U") listed in the table for Question 17.5, does the total assets under management aggregate to more than 50% of the reporting entity's invested assets? Yes [X] No []

- 17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1	2	3	4	5
Central Registration Depository Number	Name of Firm or Individual	Legal Entity Identifier (LEI)	Registered With	Investment Management Agreement (IMA) Filed
107105	BLACKROCK FINANCIAL MANAGEMENT, INC	549300LVXY1VJKE13M84	The SEC	DS.....

- 18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []
- 18.2 If no, list exceptions:

19. By self-designating 5GI securities, the reporting entity is certifying the following elements for each self-designated 5GI security:

a. Documentation necessary to permit a full credit analysis of the security does not exist or an NAIC CRP credit rating for an FE or PL security is not available.

b. Issuer or obligor is current on all contracted interest and principal payments.

c. The insurer has an actual expectation of ultimate payment of all contracted interest and principal.

Has the reporting entity self-designated 5GI securities? Yes [] No [X]
20. By self-designating PLGI securities, the reporting entity is certifying the following elements of each self-designated PLGI security:

a. The security was purchased prior to January 1, 2018.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The NAIC Designation was derived from the credit rating assigned by an NAIC CRP in its legal capacity as a NRSRO which is shown on a current private letter rating held by the insurer and available for examination by state insurance regulators.

d. The reporting entity is not permitted to share this credit rating of the PL security with the SVO.

Has the reporting entity self-designated PLGI securities? Yes [] No [X]
21. By assigning FE to a Schedule BA non-registered private fund, the reporting entity is certifying the following elements of each self-designated FE fund:

a. The shares were purchased prior to January 1, 2019.

b. The reporting entity is holding capital commensurate with the NAIC Designation reported for the security.

c. The security had a public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO prior to January 1, 2019.

d. The fund only or predominantly holds bonds in its portfolio.

e. The current reported NAIC Designation was derived from the public credit rating(s) with annual surveillance assigned by an NAIC CRP in its legal capacity as an NRSRO.

f. The public credit rating(s) with annual surveillance assigned by an NAIC CRP has not lapsed.

Has the reporting entity assigned FE to Schedule BA non-registered private funds that complied with the above criteria? Yes [] No [X]
- 11.2

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1 A&H loss percent

84.0 %

1.2 A&H cost containment percent

2.3 %

1.3 A&H expense percent excluding cost containment expenses

10.3 %
- 2.1

Do you act as a custodian for health savings accounts?

Yes [] No [X]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date

\$0
- 2.3

Do you act as an administrator for health savings accounts?

Yes [] No [X]
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date

\$0
3.

Is the reporting entity licensed or chartered, registered, qualified, eligible or writing business in at least two states?

Yes [X] No []
- 3.1

If no, does the reporting entity assume reinsurance business that covers risks residing in at least one state other than the state of domicile of the reporting entity?

Yes [] No []

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

1	2	3	4	5	6	7	8	9	10
NAIC Company Code	ID Number	Effective Date	Name of Reinsurer	Domiciliary Jurisdiction	Type of Reinsurance Ceded	Type of Business Ceded	Type of Reinsurer	Certified Reinsurer Rating (1 through 6)	Effective Date of Certified Reinsurer Rating
NONE									

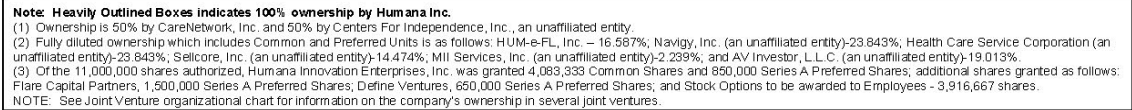
SCHEDULE T - PREMIUMS AND OTHER CONSIDERATIONS

Current Year to Date - Allocated by States and Territories

		1	Direct Business Only							
States, etc.		Active Status (a)	Accident and Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life and Annuity Premiums & Other Considerations	Property/ Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1.	Alabama	AL	L	2,658,360	21,011,428	.0	.0	.0	23,669,788	.0
2.	Alaska	AK	L	.0	.0	.0	.0	.0	.0	.0
3.	Arizona	AZ	L	.0	.0	.0	.0	.0	.0	.0
4.	Arkansas	AR	L	.0	.0	.0	.0	.0	.0	.0
5.	California	CA	L	15,746	.0	.0	.0	.0	15,746	.0
6.	Colorado	CO	L	.0	.0	.0	.0	.0	.0	.0
7.	Connecticut	CT	L	.0	.0	.0	.0	.0	.0	.0
8.	Delaware	DE	L	.0	.0	.0	.0	.0	.0	.0
9.	District of Columbia	DC	L	.0	.0	.0	.0	.0	.0	.0
10.	Florida	FL	L	.0	.0	.0	.0	.0	.0	.0
11.	Georgia	GA	L	3,624,219	14,889,876	.0	.0	.0	18,514,095	.0
12.	Hawaii	HI	L	.0	.0	.0	.0	.0	.0	.0
13.	Idaho	ID	L	.0	.0	.0	.0	.0	.0	.0
14.	Illinois	IL	L	2,662,294	1,230,872,612	.0	.0	.0	1,233,534,906	.0
15.	Indiana	IN	L	1,635,487	26,338,578	.0	.0	.0	27,974,065	.0
16.	Iowa	IA	L	.0	.0	.0	.0	.0	.0	.0
17.	Kansas	KS	L	.0	.0	.0	.0	.0	.0	.0
18.	Kentucky	KY	L	.0	328,634,680	.0	.0	.0	328,634,680	.0
19.	Louisiana	LA	L	.0	28,074,830	.0	.0	.0	28,074,830	.0
20.	Maine	ME	L	.0	15,383	.0	.0	.0	15,383	.0
21.	Maryland	MD	L	.0	.0	.0	.0	.0	.0	.0
22.	Massachusetts	MA	L	.0	.0	.0	.0	.0	.0	.0
23.	Michigan	MI	L	4,361,479	.0	.0	.0	.0	4,361,479	.0
24.	Minnesota	MN	L	.0	.0	.0	.0	.0	.0	.0
25.	Mississippi	MS	L	1,439,147	.0	.0	.0	.0	1,439,147	.0
26.	Missouri	MO	L	.0	.0	.0	.0	.0	.0	.0
27.	Montana	MT	L	.0	29,272,240	.0	.0	.0	29,272,240	.0
28.	Nebraska	NE	L	.0	.0	.0	.0	.0	.0	.0
29.	Nevada	NV	L	.0	.0	.0	.0	.0	.0	.0
30.	New Hampshire	NH	L	.0	.0	.0	.0	.0	.0	.0
31.	New Jersey	NJ	L	67,737	588,671	.0	.0	.0	656,409	.0
32.	New Mexico	NM	L	.0	.0	.0	.0	.0	.0	.0
33.	New York	NY	N	.0	.0	.0	.0	.0	.0	.0
34.	North Carolina	NC	L	.0	312,221,614	.0	.0	.0	312,221,614	.0
35.	North Dakota	ND	L	673,502	.0	.0	.0	.0	673,502	.0
36.	Ohio	OH	L	4,542,250	151,167,548	.0	.0	.0	155,709,798	.0
37.	Oklahoma	OK	L	.0	.0	.0	.0	.0	.0	.0
38.	Oregon	OR	L	.0	.0	.0	.0	.0	.0	.0
39.	Pennsylvania	PA	L	3,657,207	241,565,077	.0	.0	.0	245,222,284	.0
40.	Rhode Island	RI	L	.0	.0	.0	.0	.0	.0	.0
41.	South Carolina	SC	L	.0	5,098,017	.0	.0	.0	5,098,017	.0
42.	South Dakota	SD	L	.0	.0	.0	.0	.0	.0	.0
43.	Tennessee	TN	L	.0	.0	.0	.0	.0	.0	.0
44.	Texas	TX	L	18,831,360	.0	.0	.0	.0	18,831,360	.0
45.	Utah	UT	L	.0	.0	.0	.0	.0	.0	.0
46.	Vermont	VT	L	.0	.0	.0	.0	.0	.0	.0
47.	Virginia	VA	L	2,554,050	.0	.0	.0	.0	2,554,050	.0
48.	Washington	WA	L	.0	.0	.0	.0	.0	.0	.0
49.	West Virginia	WV	L	.0	61,149,002	.0	.0	.0	61,149,002	.0
50.	Wisconsin	WI	L	1,254,894	.0	.0	.0	.0	1,254,894	.0
51.	Wyoming	WY	L	.0	.0	.0	.0	.0	.0	.0
52.	American Samoa	AS	N	.0	.0	.0	.0	.0	.0	.0
53.	Guam	GU	N	.0	.0	.0	.0	.0	.0	.0
54.	Puerto Rico	PR	N	.0	.0	.0	.0	.0	.0	.0
55.	U.S. Virgin Islands	VI	N	.0	.0	.0	.0	.0	.0	.0
56.	Northern Mariana Islands	MP	N	.0	.0	.0	.0	.0	.0	.0
57.	Canada	CAN	N	.0	.0	.0	.0	.0	.0	.0
58.	Aggregate Other Aliens	OT	XXX	.0	.0	.0	.0	.0	.0	.0
59.	Subtotal	XXX	47,977,731	2,450,899,557	.0	.0	.0	.0	2,498,877,288	.0
60.	Reporting Entity Contributions for Employee Benefit Plans	XXX	.0	.0	.0	.0	.0	.0	.0	.0
61.	Totals (Direct Business)	XXX	47,977,731	2,450,899,557	.0	.0	.0	.0	2,498,877,288	.0
DETAILS OF WRITE-INS										
58001.	XXX	.0	.0	.0	.0	.0	.0	.0	.0
58002.	XXX								
58003.	XXX								
58998.	Summary of remaining write-ins for Line 58 from overflow page	XXX	.0	.0	.0	.0	.0	.0	.0	.0
58999.	Totals (Lines 58001 through 58003 plus 58998)(Line 58 above)	XXX	.0	.0	.0	.0	.0	.0	.0	.0

(a) Active Status Counts:
L - Licensed or Chartered - Licensed Insurance carrier or domiciled RRG.....50 R - Registered - Non-domiciled RRGs.....0
E - Eligible - Reporting entities eligible or approved to write surplus lines in the state.....0 Q - Qualified - Qualified or accredited reinsurer.....0
N - None of the above - Not allowed to write business in the state.....7
The Company reports premium based the situs of the contract.

15



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

Companies with
Employees

Licensed Companies

As of 09/30/2019

Humana Inc.
(Delaware)
61-0647538

Humana at Home, Inc.
(f/k/a SeniorBridge Family Companies, Inc.)
13-4036798 (01/21/2000 - DE)
Acq. 07/06/2012

SeniorBridge Family
Companies (FL), Inc.
65-1096853
(03/28/2001 - FL)

SeniorBridge Family
Companies (IN), Inc.
20-0301155
(09/22/2003 - IN)

SeniorBridge Family
Companies (NY), Inc.
38-4484443
(11/29/2001 - NY)

Humana at Home (San
Antonio), Inc. (f/k/a
SeniorBridge Family
Companies (TX), Inc.)
01-0766084
(01/31/2003 - TX)

ROHC, L.L.C.
75-2844854
(10/18/1999 - TX)

Humana At Home
(Dallas), Inc.
75-2739333
(12/22/1997 - TX)

Humana At Home
(Houston), Inc.
76-0537878
(05/20/1997 - TX)

Humana At Home (TLC),
Inc.
75-2600512
(06/12/1995 - TX)

Care Partners Home Care,
LLC
26-0815856
(06/26/2009 - FL)

SeniorBridge-Florida, LLC
59-2518701
(08/1/2008 - FL)

Naples Health Care
Specialists, LLC
65-0992582
(12/08/2008 - FL)

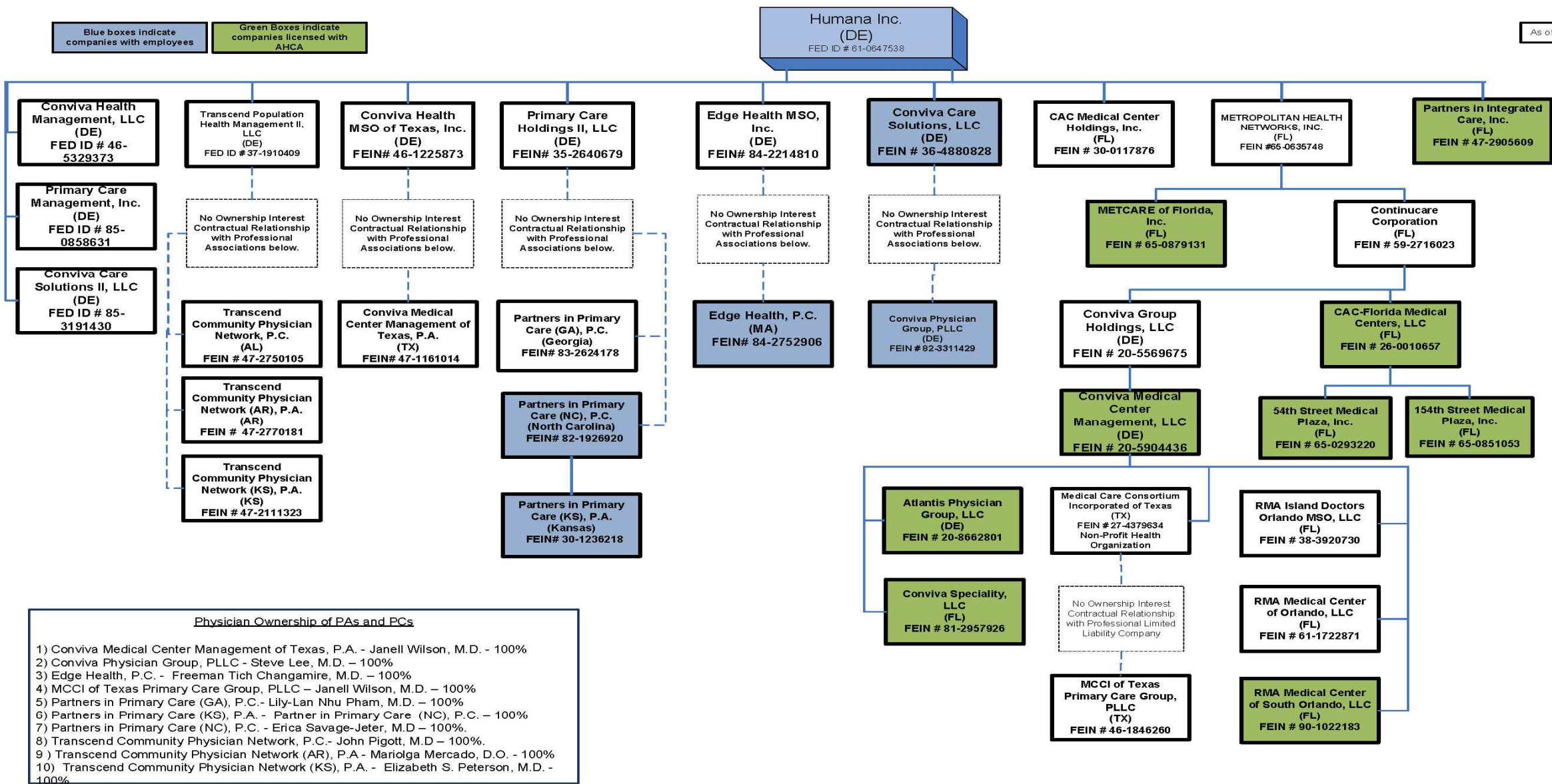
Nursing Solutions, LLC
65-0688221
(04/30/2009 - FL)

Complex Clinical
Management, Inc.
45-3713941
(10/26/2011 - FL)

Management Service Agreement
NO OWNERSHIP INTEREST

Humana Home Advantage (TX), P.A.
FEIN# 81-0789608
Created for Chronic Care Nurse Practitioner Program.
Incorporated in Texas on 12/04/2015.
Worthe S. Holt, M.D. and Paul Mikulecky, M.D. are the
shareholders.
Contractual relationship between Humana Home Advantage
(TX), P.A. and Complex Clinical Management, Inc.

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.



STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Per-cent-age	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	65-0851053				154th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5309363				516-526 West Main Street Condomium Council of Co-Owners, Inc.	KY	NIA	Humana Real Estate Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0293220				54th Street Medical Plaza, Inc.	FL	NIA	CAC-Florida Medical Centers, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12151	20-1001348				Arcadian Health Plan, Inc.	WA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8662801				Atlantis Physician Group, LLC	DE	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	30-0117876				CAC Medical Center Holdings, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0010657				CAC-Florida Medical Centers, LLC	FL	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-0815856				Care Partners Home Care, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1514846				CareNetwork, Inc.	WI	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95092	59-2598550				CarePlus Health Plans, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95754	62-1579044				Cariten Health Plan Inc.	TN	IA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	35-2608414				CDO 1, LLC	DE	NIA	HUM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	32-0545504				CDO 2, LLC	DE	NIA	HUM Provider Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95158	61-1279717				CHA HMO, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.52015	59-2531815				CompBenefits Company	FL	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	04-3185995				CompBenefits Corporation	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.11228	36-3686002				CompBenefits Dental, Inc.	IL	IA	Dental Care Plus Management, Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	58-2228851				CompBenefits Direct, Inc.	DE	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60984	74-2552026				CompBenefits Insurance Company	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-3713941				Complex Clinical Management, Inc.	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	42-1575099				Humana Healthcare Research, Inc.	IL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2716023				Continucare Corporation	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4880828				Conviva Care Solutions, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.15886	75-2043865				Humana Benefit Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-3512545				Dental Care Plus Management, Corp.	IL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95161	76-0039628				DentiCare, Inc.	TX	IA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.88595	31-0935772				Emphesys Insurance Company	TX	IA	Emphesys, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1237697				Emphesys, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-3164234				Family Physicians of Winter Park, Inc.	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-3802918				FPG Acquisition Corp.	DE	NIA	FPG Acquisition Holdings Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-3819187				FPG Acquisition Holdings Corp.	DE	NIA	FPG Holding Company, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	32-0505460				FPG Holding Company, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-4685400				FPG Senior Services, LLC	FL	NIA	FPG Acquisition Corp.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-1649291				Harris, Rothenberg International Inc.	NY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1223418				Health Value Management, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-4912173				Humana EAP and Work-Life Services of California, Inc.	CA	IA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-3592783				HUM Provider Holdings, LLC	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-4835394				Humana Active Outlook, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2739333				Humana At Home (Dallas), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	76-0537878				Humana At Home (Houston), Inc.	TX	NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0274594				Humana At Home 1, Inc.	FL	NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	13-4036798				Humana at Home, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60052	37-1326199				Humana Benefit Plan of Illinois, Inc.	IL	RE	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-1843760				Humana Dental Company	FL	NIA	CompBenefits Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95519	58-2209549				Humana Employers Health Plan of Georgia, Inc.	GA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1241225				Humana Government Business, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95642	72-1279235				Humana Health Benefit Plan of Louisiana, Inc.	LA	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.13558	26-2800286				Humana Health Company of New York, Inc.	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.69671	61-1041514				Humana Health Insurance Company of Florida, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Location	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	26-3473328				Humana Health Plan of California, Inc.	CA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95348	31-1154200				Humana Health Plan of Ohio, Inc.	OH	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95024	61-0994632				Humana Health Plan of Texas, Inc.	TX	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95885	61-1013183				Humana Health Plan, Inc.	KY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95721	66-0406896				Humana Health Plans of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-0647538		0000049071	NYSE	Humana Inc.	DE	UDP	See Footnote 1	Other	0.000	See Footnote 1		.2
.0119	Humana Inc.	.00000	61-1343791				Humana Innovation Enterprises, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.73288	39-1263473				Humana Insurance Company	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.60219	61-1311685				Humana Insurance Company of Kentucky	KY	IA	Humana Insurance Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12634	20-2888723				Humana Insurance Company of New York	NY	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.84603	66-0291866				Humana Insurance of Puerto Rico, Inc.	PR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-3364857				Humana MarketPOINT of Puerto Rico, Inc.	PR	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1343508				Humana MarketPOINT, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.14224	27-3991410				Humana Medical Plan of Michigan, Inc.	MI	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.14462	27-4660531				Humana Medical Plan of Pennsylvania, Inc.	PA	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12908	20-8411422				Humana Medical Plan of Utah, Inc.	UT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95270	61-1103898				Humana Medical Plan, Inc.	FL	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	45-2254346				Humana Pharmacy Solutions, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1316926				Humana Pharmacy, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.12282	20-2036444				Humana Regional Health Plan, Inc.	AR	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-8418853				Humana Veterans Healthcare Services, Inc.	DE	NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	26-4522426				Humana WellWorks LLC	DE	NIA	Health Value Management, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.95342	39-1525003				Humana Wisconsin Health Organization Insurance Corporation	WI	IA	CareNetwork, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.70580	39-0714280				HumanaDental Insurance Company	WI	IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1364005				HumanaDental, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-4535747				Go365, LLC	DE	NIA	HumanaWellworks LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1239538				Humco, Inc.	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1383567				HUM-e-FL, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	86-1050795				Hummingbird Coaching Systems LLC	OH	NIA	Harris, Rothenberg International Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1769093				Independent Care Health Plan	WI	OTH	See Footnote 2	Other	50.000	Humana Inc.		.3
.0119	Humana Inc.	.00000	61-1232669				Managed Care Indemnity, Inc.	VT	IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5569675				Conviva Group Holdings, LLC	DE	NIA	Continucare Corporation	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-5904436				Conviva Medical Center Management, LLC	DE	NIA	Conviva Group Holdings, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	81-2957926				Conviva Speciality, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	27-4379634				Medical Care Consortium Incorporated of Texas	TX	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0879131				METCARE of Florida, Inc.	FL	NIA	Metropolitan Health Networks, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0635728				Metropolitan Health Networks, Inc.	FL	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0992582				Naples Health Care Specialists, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-0688221				Nursing Solutions, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	62-1552091				PHP Companies, Inc.	TN	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	62-1250945				Preferred Health Partnership, Inc.	TN	NIA	PHP Companies, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-1724127				Humana Real Estate Company	KY	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-1225873				Conviva Health MSO of Texas, Inc.	DE	NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	38-3920730				RMA Island Doctors Orlando MSO, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	90-1022183				RMA Medical Center of South Orlando, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1722871				RMA Medical Center of Orlando, LLC	FL	NIA	Conviva Medical Center Management, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2844854				ROHC, L.L.C.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	65-1096853				SeniorBridge Family Companies (FL), Inc.	FL	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-0301155				SeniorBridge Family Companies (IN), Inc.	IN	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4484443				SeniorBridge Family Companies (NY), Inc.	NY	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	01-0766084				Humana At Home (San Antonio), Inc.	TX	NIA	Humana at Home, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	59-2518701				SeniorBridge-Florida, LLC	FL	NIA	SeniorBridge Family Companies (FL), Inc.	Ownership	100.000	Humana Inc.		.0

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE Y
PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domi-ciliary Loca-tion	Relation-ship to Reporting Entity	Directly Controlled by (Name of Entity/Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Owner-ship Provide Percen-tage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Re-quired? (Y/N)	*
.0119	Humana Inc.	.00000	74-2352809				Texas Dental Plans, Inc.	.TX	.NIA	Humana Dental Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.54739	52-1157181				The Dental Concern, Inc.	.KY	.IA	HumanaDental, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	75-2600512				Humana At Home (TLC), Inc.	.TX	.NIA	ROHC, L.L.C.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	80-0072760				Humana Digital Health and Analytics Platform Services, Inc.	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	46-5329373				Conviva Health Management, LLC	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	66-0872725				Humana Management Services of Puerto Rico, Inc.	.PR	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	83-3321367				North Region Providers, LLC	.DE	.NIA	Humana Government Business, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	35-2640679				Primary Care Holdings II, LLC	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	37-1910409				Transcend Population Health Management II, LLC	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	84-2214810				Edge Health MSO, Inc.	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	47-2905609				Partners in Integrated Care, Inc.	.FL	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	84-3226630				Humana Benefit Plan of South Carolina, Inc.	.SC	.IA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	11-3391115				Alexander Infusion, LLC	.NY	.NIA	Eagle NY Rx, LLC	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	36-4898224				Eagle NY Rx, LLC	.DE	.NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	47-1407967				Eagle Rx Holdco, Inc.	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	47-1416614				Eagle Rx, Inc.	.DE	.NIA	Eagle Rx Holdco, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	23-3068914				Enclara Pharmacia, Inc.	.DE	.NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	39-1789830				GuidantRx, Inc.	.WI	.NIA	PBM Holding Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	61-1340806				PBM Holding Company	.DE	.NIA	Eagle Rx, Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	20-2373204				PBM Plus Mail Service Pharmacy, LLC	.DE	.NIA	PBM Holding Company	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	85-3191430				Conviva Care Solutions II, LLC	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	85-3099097				Humana Direct Contracting Entity, Inc.	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0
.0119	Humana Inc.	.00000	85-0858631				Primary Care Management, Inc.	.DE	.NIA	Humana Inc.	Ownership	100.000	Humana Inc.		.0

Asterisk	Explanation
0000001	Humana Inc., a Delaware corporation and ultimate parent company in the holding company system, is a publicly traded company on the New York Stock Exchange and ownership fluctuates daily.
0000002	Independent Care Health Plan, a Wisconsin corporation licensed as an HMO, operates an integrated, coordinated medical and social service managed care program for chronically disabled Medicaid recipients in Milwaukee, Wisconsin. CareNetwork, Inc. owns 50% of the company's stock. Centers For Independence, Inc. owns the other 50%.

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

Response

1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?

NO

Explanation:

1. This type of business is not written.

Bar Code:

1. Medicare Part D Coverage Supplement [Document Identifier 365]



NONE

SCHEDULE A - VERIFICATION

Real Estate

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation		
9. Book/adjusted carrying value at the end of current period (Lines 1+2+3+4-5+6-7-8)		
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)		

SCHEDULE B - VERIFICATION

Mortgage Loans

	1 Year to Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest paid and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

SCHEDULE BA - VERIFICATION

Other Long-Term Invested Assets

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5+6-7-8+9-10)		
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)		

SCHEDULE D - VERIFICATION

Bonds and Stocks

	1 Year to Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	539,830,364	375,397,532
2. Cost of bonds and stocks acquired	203,009,247	378,122,828
3. Accrual of discount	(332,777)	72,640
4. Unrealized valuation increase (decrease)	(477)	1,325,538
5. Total gain (loss) on disposals	2,004,440	1,592,799
6. Deduct consideration for bonds and stocks disposed of	139,352,766	214,123,585
7. Deduct amortization of premium	2,790,180	2,607,784
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Total investment income recognized as a result of prepayment penalties and/or acceleration fees	9,324	50,395
11. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9+10)	602,377,176	539,830,364
12. Deduct total nonadmitted amounts	0	0
13. Statement value at end of current period (Line 11 minus Line 12)	602,377,176	539,830,364

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

NAIC Designation	1 Book/Adjusted Carrying Value Beginning of Current Quarter	2 Acquisitions During Current Quarter	3 Dispositions During Current Quarter	4 Non-Trading Activity During Current Quarter	5 Book/Adjusted Carrying Value End of First Quarter	6 Book/Adjusted Carrying Value End of Second Quarter	7 Book/Adjusted Carrying Value End of Third Quarter	8 Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	723,158,148	1,354,978,383	1,388,258,100	(17,915,240)	580,823,441	723,158,148	671,963,192	543,282,651
2. NAIC 2 (a)	62,026,381	52,331,570	35,239,984	15,743,131	45,483,212	62,026,381	94,861,099	32,779,490
3. NAIC 3 (a)	40,166,015	2,005,000	5,262,078	1,418,100	40,901,485	40,166,015	38,327,038	41,134,413
4. NAIC 4 (a)	1,533,745	0	0	44,626	843,317	1,533,745	1,578,372	879,290
5. NAIC 5 (a)	0	0	0	0	0	0	0	0
6. NAIC 6 (a)	0	0	0	0	0	0	0	0
7. Total Bonds	826,884,290	1,409,314,953	1,428,760,162	(709,382)	668,051,456	826,884,290	806,729,700	618,075,844
PREFERRED STOCK								
8. NAIC 1	0	0	0	0	0	0	0	0
9. NAIC 2	0	0	0	0	0	0	0	0
10. NAIC 3	0	0	0	0	0	0	0	0
11. NAIC 4	0	0	0	0	0	0	0	0
12. NAIC 5	0	0	0	0	0	0	0	0
13. NAIC 6	0	0	0	0	0	0	0	0
14. Total Preferred Stock	0	0	0	0	0	0	0	0
15. Total Bonds and Preferred Stock	826,884,290	1,409,314,953	1,428,760,162	(709,382)	668,051,456	826,884,290	806,729,700	618,075,844

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of short-term and cash equivalent bonds by NAIC designation:

NAIC 1 \$154,994,219 ; NAIC 2 \$49,358,304 ; NAIC 3 \$0 NAIC 4 \$0 ; NAIC 5 \$0 ; NAIC 6 \$0

SCHEDULE DA - PART 1

Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Prior Year Value	Actual Cost	Interest Collected Year-to-Date	Paid for Accrued Interest Year-to-Date
9199999 Totals		XX			

NONE

SCHEDULE DA - VERIFICATION

Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	0	0
2. Cost of short-term investments acquired	0	262,327
3. Accrual of discount	0	0
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	0	0
6. Deduct consideration received on disposals	0	260,000
7. Deduct amortization of premium	0	2,327
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	0	0
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	0	0

Schedule DB - Part A - Verification - Options, Caps, Floors, Collars, Swaps and Forwards

N O N E

Schedule DB - Part B - Verification - Futures Contracts

N O N E

Schedule DB - Part C - Section 1 - Replication (Synthetic Asset) Transactions (RSATs) Open

N O N E

Schedule DB-Part C-Section 2-Reconciliation of Replication (Synthetic Asset) Transactions Open

N O N E

Schedule DB - Verification - Book/Adjusted Carrying Value, Fair Value and Potential Exposure of
Derivatives

N O N E

SCHEDULE E - PART 2 - VERIFICATION

(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	113,026,225	99,634,948
2. Cost of cash equivalents acquired	7,508,335,307	12,226,287,065
3. Accrual of discount	1,110,161	4,006,166
4. Unrealized valuation increase (decrease)	0	0
5. Total gain (loss) on disposals	65	198
6. Deduct consideration received on disposals	7,361,762,042	12,216,901,784
7. Deduct amortization of premium	0	369
8. Total foreign exchange change in book/adjusted carrying value	0	0
9. Deduct current year's other than temporary impairment recognized	0	0
10. Book/adjusted carrying value at end of current period (Lines 1+2+3+4+5-6-7+8-9)	260,709,715	113,026,225
11. Deduct total nonadmitted amounts	0	0
12. Statement value at end of current period (Line 10 minus Line 11)	260,709,715	113,026,225

Schedule A - Part 2 - Real Estate Acquired and Additions Made

N O N E

Schedule A - Part 3 - Real Estate Disposed

N O N E

Schedule B - Part 2 - Mortgage Loans Acquired and Additions Made

N O N E

Schedule B - Part 3 - Mortgage Loans Disposed, Transferred or Repaid

N O N E

Schedule BA - Part 2 - Other Long-Term Invested Assets Acquired and Additions Made

N O N E

Schedule BA - Part 3 - Other Long-Term Invested Assets Disposed, Transferred or Repaid

N O N E

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1	2	3	4	5	6	7	8	9	10
CUSIP Identification	Description	Foreign	Date Acquired	Name of Vendor	Number of Shares of Stock	Actual Cost	Par Value	Paid for Accrued Interest and Dividends	NAIC Designation and Administrative Symbol
912828-WZ-9	UNITED STATES TREASURY		.07/24/2020	UnknownNOMURA		257,070	250,000	1,046	1
0599999	Subtotal - Bonds - U.S. Governments					257,070	250,000	1,046	XXX
3132DV-LA-1	FH S07521 - RMBS		.07/30/2020	NOMURA SECURITIES/FIXED INCOME		7,968,048	7,444,056	6,203	1
3132DV-LC-7	FH S07523 - RMBS		.07/31/2020	Various		4,523,192	4,240,286	3,534	1
3140X6-PC-9	FN FM3118 - RMBS		.07/31/2020	JP MORGAN SECS INC. - FIXED INCOME		3,088,818	2,872,484	2,872	1
31418D-TQ-5	FN MA4158 - RMBS		.09/21/2020	NOMURA SECURITIES/FIXED INCOME		6,450,034	6,256,000	7,646	1
915217-KJ-7	UNIVERSITY VA UNIV REVS		.08/06/2020	CORPORATE ACTION		41,242	40,000	28	1FE
3199999	Subtotal - Bonds - U.S. Special Revenues					22,071,333	20,852,826	20,283	XXX
02079K-AD-9	ALPHABET INC		.08/03/2020	GOLDMAN		747,945	750,000	0	1FE
037833-DY-3	APPLE INC		.08/13/2020	GOLDMAN		1,815,577	1,820,000	0	1FE
05493A-AA-8	BFLD TRUST 2020-EYP - CMBS		.09/30/2020	MORGAN STANLEY CO		475,000	475,000	0	1FE
09075J-AA-7	BGOLO 1 A - CDO	C	.09/03/2020	MORGAN STANLEY CO		1,599,200	1,600,000	6,813	1FE
09261B-AB-6	BLACKSTONE HOLDINGS FINANCE CO LLC		.09/22/2020	Bank of America Securities		586,790	590,000	0	1FE
12653V-AA-4	CSMC 2019-1CE4 A - CMBS		.09/18/2020	CREDIT SUISSE SECURITIES (USA)		999,844	1,000,000	220	1FE
166756-AL-0	CHEVRON USA INC		.08/10/2020	BARCLAYS CAPITAL INC FIXED INC		1,810,000	1,810,000	0	1FE
191216-DD-9	COCA-COLA CO		.09/14/2020	CITIGROUP GLOBAL MARKETS INC.		3,792,002	3,795,000	0	1FE
23283P-AT-1	CYRUSONE FINANCE CORP		.09/15/2020	JP MORGAN SECS INC. - FIXED INCOME		434,698	440,000	0	2FE
29002H-AA-7	ELMW3 III A1 - CDO	C	.08/25/2020	CITIGROUP GLOBAL MARKETS INC.		659,670	660,000	1,297	1FE
29717P-AW-7	ESSEX PORTFOLIO LP		.08/10/2020	WELLS FARGO SECURITIES		817,039	825,000	0	2FE
35671D-CH-6	FREEPORT-MCMORAN INC		.07/13/2020	JP MORGAN SECS INC. - FIXED INCOME		265,000	265,000	0	3FE
375558-BT-9	GILEAD SCIENCES INC		.09/23/2020	WELLS FARGO SECURITIES		1,197,963	1,205,000	0	1FE
38869A-AC-1	GRAPHIC PACKAGING INTERNATIONAL LLC		.08/14/2020	Bank of America Securities		440,000	440,000	0	3FE
436440-AP-6	HOLOGIC INC		.09/14/2020	GOLDMAN		1,080,000	1,080,000	0	3FE
48253Q-AA-3	KIND 2020-AIP A - CMBS		.09/17/2020	CREDIT SUISSE SECURITIES (USA)		2,562,183	2,558,585	507	1Z
48661N-AA-6	KAYNE 6 A1 - CDO	C	.08/25/2020	MORGAN STANLEY CO		4,538,910	4,540,000	7,916	1FE
55358P-AA-4	MSCG 18SELF A - CMBS		.09/17/2020	MORGAN STANLEY CO		1,570,491	1,570,000	275	1FE
59523U-AS-6	MID-AMERICA APARTMENTS LP		.08/03/2020	WELLS FARGO SECURITIES		109,412	110,000	0	2FE
620076-BT-5	MOTOROLA SOLUTIONS INC		.08/10/2020	GOLDMAN		1,142,733	1,145,000	0	2FE
64133C-AA-7	NEUB 35 A1 - CDO	C	.08/28/2020	JP MORGAN SECS INC. - FIXED INCOME		3,998,000	4,000,000	7,701	1FE
67109B-CN-2	OHALF 2015-1 AR2 - CDO		.09/15/2020	Bank of America Securities		1,119,104	1,120,000	1,563	1FE
74005P-BT-0	PRAXAIR INC		.08/03/2020	CITIGROUP GLOBAL MARKETS INC.		1,022,776	1,025,000	0	1FE
74340X-BR-1	PROLOGIS LP		.08/06/2020	CITIGROUP GLOBAL MARKETS INC.		214,183	215,000	0	1FE
81762P-AE-2	SERVIGNOW INC		.08/06/2020	JP MORGAN SECS INC. - FIXED INCOME		473,224	475,000	0	2FE
853496-AH-0	STANDARD INDUSTRIES INC		.08/07/2020	Bank of America Securities		220,000	220,000	0	3FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					33,691,743	33,733,585	26,291	XXX
8399997	Total - Bonds - Part 3					56,020,147	54,836,411	47,621	XXX
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX
8399999	Total - Bonds					56,020,147	54,836,411	47,621	XXX
8999997	Total - Preferred Stocks - Part 3					0	XXX	0	XXX
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX
8999999	Total - Preferred Stocks					0	XXX	0	XXX
9799997	Total - Common Stocks - Part 3					0	XXX	0	XXX
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX
9799999	Total - Common Stocks					0	XXX	0	XXX
9899999	Total - Preferred and Common Stocks					0	XXX	0	XXX
9999999	Totals					56,020,147	XXX	47,621	XXX

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
36179U-UJ-9	G2 MA5965 - RMBS		09/01/2020	Paydown		619,723	619,723	638,024	636,335	0	(16,612)	0	(16,612)	0	619,723	0	0	0	14,367	06/20/2049	1
912828-Y4-6	UNITED STATES TREASURY		07/31/2020	Maturity @ 100.00		250,000	250,000	250,801	250,383	0	(383)	0	(383)	0	250,000	0	0	0	6,563	07/31/2020	1
0599999. Subtotal - Bonds - U.S. Governments						869,723	869,723	888,825	886,718	0	(16,995)	0	(16,995)	0	869,723	0	0	0	20,930	XXX	XXX
604129-4D-5	MINNESOTA ST		08/01/2020	Maturity @ 100.00		260,000	260,000	272,548	265,148	0	(5,148)	0	(5,148)	0	260,000	0	0	0	13,000	08/01/2020	1FE
1799999. Subtotal - Bonds - U.S. States, Territories and Possessions						260,000	260,000	272,548	265,148	0	(5,148)	0	(5,148)	0	260,000	0	0	0	13,000	XXX	XXX
930863-5C-5	WAKE CNTY N C		09/01/2020	Maturity @ 100.00		260,000	260,000	273,273	265,854	0	(5,854)	0	(5,854)	0	260,000	0	0	0	13,000	09/01/2020	1FE
2499999. Subtotal - Bonds - U.S. Political Subdivisions of States, Territories and Possessions						260,000	260,000	273,273	265,854	0	(5,854)	0	(5,854)	0	260,000	0	0	0	13,000	XXX	XXX
30298L-AE-1	FRESB 2019-SB65 A1H - CMBS		09/01/2020	Paydown		705	705	708	708	0	(3)	0	(3)	0	705	0	0	0	11	05/25/2039	1
3128MJ-3H-1	FH G08799 - RMBS		09/01/2020	Paydown		59,880	59,880	59,719	59,719	0	162	0	162	0	59,880	0	0	0	1,197	02/01/2048	1
3128MJ-3M-0	FH G08803 - RMBS		09/01/2020	Paydown		74,551	74,551	74,391	74,392	0	159	0	159	0	74,551	0	0	0	1,500	03/01/2048	1
3128MJ-4G-2	FH G08822 - RMBS		09/01/2020	Paydown		44,072	44,072	43,978	43,977	0	95	0	95	0	44,072	0	0	0	856	07/01/2048	1
3128MJ-6M-7	FH G08875 - RMBS		09/01/2020	Paydown		5,350	5,350	5,338	5,338	0	12	0	12	0	5,350	0	0	0	105	03/01/2049	1
3128MJ-6S-4	FH G08880 - RMBS		09/01/2020	Paydown		38,033	38,033	37,951	37,949	0	84	0	84	0	38,033	0	0	0	745	04/01/2049	1
3128MJ-Y2-0	FH G08728 - RMBS		09/01/2020	Paydown		37,848	37,848	40,492	40,345	0	(2,497)	0	(2,497)	0	37,848	0	0	0	1,003	10/01/2046	1
3128MJ-Y8-7	FH G08734 - RMBS		09/01/2020	Paydown		27,045	27,045	28,946	28,864	0	(1,820)	0	(1,820)	0	27,045	0	0	0	710	11/01/2046	1
3128MJ-ZM-5	FH G08747 - RMBS		09/01/2020	Paydown		44,040	44,040	44,026	44,026	0	14	0	14	0	44,040	0	0	0	885	02/01/2047	1
312942-F9-1	FH A93792 - RMBS		09/01/2020	Paydown		4,508	4,508	4,749	4,726	0	(218)	0	(218)	0	4,508	0	0	0	105	09/01/2040	1
31329N-U7-4	FH Z44786 - RMBS		09/01/2020	Paydown		175,564	175,564	180,749	180,797	0	(5,232)	0	(5,232)	0	175,564	0	0	0	3,550	12/01/2049	1
3132A9-R7-4	FH ZS8610 - RMBS		09/01/2020	Paydown		21,744	21,744	22,754	22,754	0	(1,010)	0	(1,010)	0	21,744	0	0	0	230	05/01/2031	1
3132D5-4K-5	FH SB8026 - RMBS		09/01/2020	Paydown		84,581	84,581	85,215	85,214	0	(633)	0	(633)	0	84,581	0	0	0	1,407	01/01/2035	1
3132D5-4Q-2	FH SB8031 - RMBS		09/01/2020	Paydown		290,777	290,777	294,468	294,468	0	(3,692)	0	(3,692)	0	290,777	0	0	0	3,723	02/01/2035	1
3132D5-5F-5	FH SB8046 - RMBS		09/01/2020	Paydown		144,815	144,815	152,893	152,893	0	(8,078)	0	(8,078)	0	144,815	0	0	0	1,231	05/01/2035	1
3132DV-3V-5	FH SD8012 - RMBS		09/01/2020	Paydown		388,895	388,895	405,605	405,605	0	(16,710)	0	(16,710)	0	388,895	0	0	0	7,679	09/01/2049	1
3132DV-KU-8	FH SD7507 - RMBS		09/01/2020	Paydown		108,740	108,740	111,671	111,674	0	(2,934)	0	(2,934)	0	108,740	0	0	0	2,206	11/01/2049	1
3132DV-KV-6	FH SD7508 - RMBS		09/01/2020	Paydown		48,242	48,242	50,666	50,673	0	(2,431)	0	(2,431)	0	48,242	0	0	0	1,128	10/01/2049	1
3132DV-KW-4	FH SD7509 - RMBS		09/01/2020	Paydown		395,849	395,849	405,938	405,906	0	(10,057)	0	(10,057)	0	395,849	0	0	0	8,013	11/01/2049	1
3132DV-LA-1	FH SD7521 - RMBS		09/01/2020	Paydown		44,332	44,332	47,453	47,453	0	(3,121)	0	(3,121)	0	44,332	0	0	0	92	07/01/2050	1
3132DV-LC-7	FH SD7523 - RMBS		09/01/2020	Paydown		21,790	21,790	23,244	23,244	0	(1,454)	0	(1,454)	0	21,790	0	0	0	45	08/01/2050	1
3132L9-IV-3	FH V84260 - RMBS		09/01/2020	Paydown		125,078	125,078	125,625	125,599	0	(521)	0	(521)	0	125,078	0	0	0	2,901	06/01/2048	1
3132M3-T6-4	FH Q23573 - RMBS		09/01/2020	Paydown		974	974	997	997	0	(20)	0	(20)	0	974	0	0	0	23	12/01/2043	1
3132WF-UP-2	FH Q42389 - RMBS		09/01/2020	Paydown		2,109	2,109	2,201	2,191	0	(82)	0	(82)	0	2,109	0	0	0	45	08/01/2046	1
3132WG-GL-5	FH Q42902 - RMBS		09/01/2020	Paydown		6,351	6,351	6,797	6,759	0	(409)	0	(409)	0	6,351	0	0	0	149	09/01/2046	1
3132WG-TS-6	FH Q43260 - RMBS		09/01/2020	Paydown		45,538	45,538	48,740	48,275	0	(2,737)	0	(2,737)	0	45,538	0	0	0	1,236	09/01/2046	1
3132WH-GW-9	FH Q43812 - RMBS		09/01/2020	Paydown		39	39	42	42	0	(3)	0	(3)	0	39	0	0	0	1	09/01/2046	1
3132YV-UZ-4	FH Q53299 - RMBS		09/01/2020	Paydown		39,322	39,322	39,238	39,238	0	84	0	84	0	39,322	0	0	0	775	01/01/2048	1
3132YV-NH-9	FH Q56691 - RMBS		09/01/2020	Paydown		17,320	17,320	17,283	17,282	0	37	0	37	0	17,320	0	0	0	334	05/01/2048	1
31335B-RZ-1	FH G61404 - RMBS		09/01/2020	Paydown		170,399	170,399	172,262	172,197	0	(1,799)	0	(1,799)	0	170,399	0	0	0	3,964	08/01/2043	1
3133G8-C9-0	FH QN1896 - RMBS		09/01/2020	Paydown		22,697	22,697	24,017	24,017	0	(1,319)	0	(1,319)	0	22,697	0	0	0	185	03/01/2035	1
3133G8-DD-0	FH QN1900 - RMBS		09/01/2020	Paydown		20,164	20,164	21,361	21,361	0	(1,197)	0	(1,197)	0	20,164	0	0	0	165	04/01/2035	1
31346Y-XB-4	FH QA5174 - RMBS		09/01/2020	Paydown		186,965	186,965	198,622	198,622	0	(11,656)	0	(11,656)	0	186,965	0	0	0	1,431	12/01/2049	1
3136B1-PP-4	FNR 2018-14 PA - CMO/RMBS		09/01/2020	Paydown		387,373	387,373	392,821	392,383	0	(5,009)	0	(5,009)	0	387,373	0	0	0	9,028	04/25/2047	1
3137BP-DW-6	FHR 4583 UP - CMO/RMBS		09/01/2020	Paydown		11,197	11,197	11,713	11,650	0	(453)	0	(453)	0	11,197	0	0	0	229	07/15/2045	1
3137BS-ZE-6	FHR 4631 GP - CMO/RMBS		09/01/2020	Paydown		39,186	39,186	41,966	41,616	0	(2,430)	0	(2,430)	0	39,186	0	0	0	955	03/15/2046	1
3138AV-P6-6	FN AJ4044 - RMBS		09/01/2020	Paydown		59,873	59,873	61,828	61,812	0	(1,940)	0	(1,940)	0	59,873	0	0	0	1,570	10/01/2041	1
3138ED-UL-3	FN AK8686 - RMBS		09/01/2020	Paydown		1,102	1,102	1,161	1,162	0	(60)	0	(60)	0	1,102	0	0	0	26	05/01/2042	1
3138EJ-ZR-2	FN AL2551 - RMBS		09/01/2020	Paydown		11,116	11,116	11,847	11,788	0	(672)	0	(672)	0	11,116	0	0	0	263	10/01/2042	1
3138EK-G7-4	FN AL2921 - RMBS		09/01/2020	Paydown		3,213	3,213	3,426	3,408	0	(195)	0	(195)	0	3,213	0	0	0	74	08/01/2042	1
3138EL-B3-6	FN AL3657 - RMBS		09/01/2020	Paydown		1,048	1,048	1,118	1,111	0	(63)	0	(63)	0	1,048	0	0	0	24	06/01/2043	1
3138EQ-HY-1	FN AL7446 - RMBS		09/01/2020	Paydown		9,465	9,465	10,095	10,046	0	(582)	0	(582)	0	9,465	0	0	0	252	09/01/2045	1
3138EQ-ZF-2	FN AL7941 - RMBS		09/01/2020	Paydown		43,046	43,046	46,920	46,598	0	(3,552)	0	(3,552)	0	43,046	0	0	0	1,151	12/01/2045	1
3138ER-SV-8	FN AL9659 - RMBS		09/01/2020	Paydown		20,970	20,970	20,924	20,924	0	46	0	46	0	20,970	0	0	0	423	03/01/2047	1
3138ER-K8-2	FN AL9318 - RMBS		09/01/2020	Paydown		76,395	76,395	82,136	81,827	0	(5,433)	0	(5,433)	0	76,395	0	0	0	2,024	10/01/2043	1
3138ER-RV-4	FN AL9499 - RMBS		09/01/2020	Paydown		20,809	20,809	21,206	21,203	0	(394)	0	(394)	0	20,809	0	0	0	495	01/01/2046	1
3138ET-TP-1	FN AL8657 - RMBS		09/01/2020	Paydown		40,977	40,977	44,959	44,593	0	(3,616)	0	(3,616)	0	40,977	0	0	0	1,080	06/01/2046	1
3138LV-CV-3	FN A04583 - RMBS		09/01/2020	Paydown		3,527	3,527	3,760	3,746	0	(219)	0	(219)	0	3,527	0	0	0	82	06/01/2042	1
3138MO-SE-1	FN A08616 - RMBS		09/01/2020	Paydown		3,240	3,240	3,455	3,440	0	(200)	0	(200)	0	3,240	0	0	0	70	07/01/2042	1
3138WD-6X-4	FN AS4485 - RMBS		09/01/2020	Paydown		946	9														

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident-ification	Description	For-foreign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid-eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor-tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn-ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con-tractual Maturity Date	NAIC Desig-nation and Admini-strative Symbol
3138WE-AJ-8	FN AS4508 - RMBS		09/01/2020	Paydown		3,329	3,329	3,492	3,446	.0	(117)	.0	(117)	.0	3,329	.0	.0	.0	.66	02/01/2030	1
3138WG-FI-6	FN AS6477 - RMBS		09/01/2020	Paydown		73,895	73,895	74,351	74,360	.0	(465)	.0	(465)	.0	73,895	.0	.0	.0	1,717	01/01/2046	1
3138WG-SP-0	FN AS6825 - RMBS		09/01/2020	Paydown		955,548	955,548	972,701	972,701	.0	(17,153)	.0	(17,153)	.0	955,548	.0	.0	.0	22,624	03/01/2046	1
3138WG-SQ-8	FN AS6826 - RMBS		09/01/2020	Paydown		663,048	663,048	674,859	675,273	.0	(12,225)	.0	(12,225)	.0	663,048	.0	.0	.0	15,689	03/01/2046	1
3138WG-YG-3	FN AS7010 - RMBS		09/01/2020	Paydown		90,770	90,770	92,501	92,581	.0	(1,811)	.0	(1,811)	.0	90,770	.0	.0	.0	2,057	04/01/2046	1
3138WH-DU-3	FN AS7314 - RMBS		09/01/2020	Paydown		789,072	789,072	804,113	804,203	.0	(15,132)	.0	(15,132)	.0	789,072	.0	.0	.0	18,381	06/01/2046	1
3138WH-NN-8	FN AS7596 - RMBS		09/01/2020	Paydown		155,264	155,264	156,768	156,709	.0	(1,444)	.0	(1,444)	.0	155,264	.0	.0	.0	3,584	07/01/2046	1
3138WJ-L9-7	FN AS8451 - RMBS		09/01/2020	Paydown		241,307	241,307	241,033	241,032	.0	275	.0	275	.0	241,307	.0	.0	.0	4,850	12/01/2046	1
3138WJ-XN-3	FN AS8784 - RMBS		09/01/2020	Paydown		22,560	22,560	22,511	22,511	.0	49	.0	49	.0	22,560	.0	.0	.0	456	02/01/2047	1
3138WK-3E-3	FN AS9796 - RMBS		09/01/2020	Paydown		156,067	156,067	156,701	156,691	.0	(624)	.0	(624)	.0	156,067	.0	.0	.0	3,616	06/01/2047	1
3138WL-AM-5	FN AS9911 - RMBS		09/01/2020	Paydown		181,879	181,879	182,618	182,563	.0	(684)	.0	(684)	.0	181,879	.0	.0	.0	4,264	07/01/2047	1
3140EU-LJ-6	FN BC0328 - RMBS		09/01/2020	Paydown		218,248	218,248	224,403	224,407	.0	(6,160)	.0	(6,160)	.0	218,248	.0	.0	.0	5,955	12/01/2045	1
3140EW-3W-3	FN BC2612 - RMBS		09/01/2020	Paydown		446	446	445	444	.0	.1	.0	.1	.0	446	.0	.0	.0	.9	10/01/2046	1
3140F3-C3-0	FN BC7289 - RMBS		09/01/2020	Paydown		295	295	294	294	.0	.1	.0	.1	.0	295	.0	.0	.0	.6	10/01/2046	1
3140FB-6X-3	FN BD4485 - RMBS		09/01/2020	Paydown		31,067	31,067	32,742	32,616	.0	(1,549)	.0	(1,549)	.0	31,067	.0	.0	.0	731	07/01/2045	1
3140FD-E9-3	FN BD5559 - RMBS		09/01/2020	Paydown		206,701	206,701	206,329	206,330	.0	370	.0	370	.0	206,701	.0	.0	.0	4,192	11/01/2046	1
3140FE-2G-8	FN BD7074 - RMBS		09/01/2020	Paydown		14,336	14,336	14,308	14,308	.0	28	.0	28	.0	14,336	.0	.0	.0	284	03/01/2047	1
3140FG-5N-5	FN BD8952 - RMBS		09/01/2020	Paydown		21,430	21,430	21,570	21,352	.0	78	.0	78	.0	21,430	.0	.0	.0	429	11/01/2046	1
3140FG-6S-3	FN BD8980 - RMBS		09/01/2020	Paydown		11,066	11,066	11,092	11,026	.0	40	.0	40	.0	11,066	.0	.0	.0	230	11/01/2046	1
3140FG-7G-8	FN BD8994 - RMBS		09/01/2020	Paydown		489	489	487	487	.0	.1	.0	.1	.0	489	.0	.0	.0	.9	11/01/2046	1
3140FK-S3-5	FN BE0537 - RMBS		09/01/2020	Paydown		9,155	9,155	9,140	9,125	.0	29	.0	29	.0	9,155	.0	.0	.0	180	11/01/2046	1
3140FL-PL-6	FN BE1326 - RMBS		09/01/2020	Paydown		16,597	16,597	17,082	.0	.0	(485)	.0	(485)	.0	16,597	.0	.0	.0	173	09/01/2031	1
3140FT-PP-1	FN BE7373 - RMBS		09/01/2020	Paydown		23,759	23,759	24,453	.0	.0	(694)	.0	(694)	.0	23,759	.0	.0	.0	246	12/01/2031	1
3140GN-ST-6	FN BH0857 - RMBS		09/01/2020	Paydown		190,174	190,174	190,947	190,860	.0	(686)	.0	(686)	.0	190,174	.0	.0	.0	4,343	06/01/2047	1
3140HD-7A-6	FN BK0888 - RMBS		09/01/2020	Paydown		463,109	463,109	500,158	.0	.0	(37,049)	.0	(37,049)	.0	463,109	.0	.0	.0	4,477	07/01/2048	1
3140J5-P4-5	FN BM1342 - RMBS		09/01/2020	Paydown		95,453	95,453	95,799	95,841	.0	(346)	.0	(346)	.0	95,453	.0	.0	.0	2,142	05/01/2047	1
3140J6-DH-7	FN BM1903 - RMBS		09/01/2020	Paydown		19,718	19,718	19,712	19,711	.0	.8	.0	.8	.0	19,718	.0	.0	.0	465	08/01/2047	1
3140J7-K4-6	FN BM3014 - RMBS		09/01/2020	Paydown		21,903	21,903	22,663	22,624	.0	(721)	.0	(721)	.0	21,903	.0	.0	.0	511	02/01/2045	1
3140J7-RH-7	FN BM3200 - RMBS		09/01/2020	Paydown		25,957	25,957	25,856	25,859	.0	98	.0	98	.0	25,957	.0	.0	.0	520	07/01/2043	1
3140J7-UE-0	FN BM3304 - RMBS		09/01/2020	Paydown		14,520	14,520	14,996	14,972	.0	(452)	.0	(452)	.0	14,520	.0	.0	.0	382	12/01/2047	1
3140J8-JM-6	FN BM3867 - RMBS		09/01/2020	Paydown		372,934	372,934	384,093	383,942	.0	(11,008)	.0	(11,008)	.0	372,934	.0	.0	.0	10,099	02/01/2046	1
3140J8-NN-0	FN BM3964 - RMBS		09/01/2020	Paydown		213,023	213,023	215,120	215,148	.0	(2,124)	.0	(2,124)	.0	213,023	.0	.0	.0	4,973	07/01/2046	1
3140J9-FS-5	FN BM4676 - RMBS		09/01/2020	Paydown		101,523	101,523	103,870	103,468	.0	(1,945)	.0	(1,945)	.0	101,523	.0	.0	.0	2,727	10/01/2048	1
3140J9-GY-1	FN BM4714 - RMBS		09/01/2020	Paydown		12,139	12,139	12,500	12,493	.0	(354)	.0	(354)	.0	12,139	.0	.0	.0	324	01/01/2046	1
3140J9-KF-7	FN BM4793 - RMBS		09/01/2020	Paydown		604,273	604,273	622,354	622,254	.0	(17,981)	.0	(17,981)	.0	604,273	.0	.0	.0	15,944	03/01/2046	1
3140J9-NE-8	FN BM4856 - RMBS		09/01/2020	Paydown		621,840	621,840	641,321	641,148	.0	(19,308)	.0	(19,308)	.0	621,840	.0	.0	.0	16,610	04/01/2047	1
3140JA-CG-1	FN BM5470 - RMBS		09/01/2020	Paydown		130,269	130,269	130,991	130,979	.0	(710)	.0	(710)	.0	130,269	.0	.0	.0	2,977	03/01/2048	1
3140JA-EU-8	FN BM5546 - RMBS		09/01/2020	Paydown		119,143	119,143	120,633	120,615	.0	(1,472)	.0	(1,472)	.0	119,143	.0	.0	.0	2,762	05/01/2044	1
3140JA-GD-4	FN BM5595 - RMBS		09/01/2020	Paydown		80,882	80,882	81,767	81,771	.0	(889)	.0	(889)	.0	80,882	.0	.0	.0	1,876	08/01/2045	1
3140JA-GE-2	FN BM5596 - RMBS		09/01/2020	Paydown		259,787	259,787	262,730	262,713	.0	(2,926)	.0	(2,926)	.0	259,787	.0	.0	.0	6,046	06/01/2047	1
3140JG-LQ-6	FN BNO334 - RMBS		09/01/2020	Paydown		56,896	56,896	59,394	.0	.0	(2,498)	.0	(2,498)	.0	56,896	.0	.0	.0	1,307	12/01/2048	1
3140J7-SR-4	FN CA0855 - RMBS		09/01/2020	Paydown		464,548	464,548	475,436	475,209	.0	(10,661)	.0	(10,661)	.0	464,548	.0	.0	.0	10,887	12/01/2047	1
3140J9-3M-3	FN CA2603 - RMBS		09/01/2020	Paydown		48,787	48,787	48,667	48,667	.0	120	.0	120	.0	48,787	.0	.0	.0	931	10/01/2048	1
3140QA-G8-7	FN CA2922 - RMBS		09/01/2020	Paydown		45,140	45,140	45,039	45,039	.0	100	.0	100	.0	45,140	.0	.0	.0	871	12/01/2048	1
3140QC-PW-0	FN CA4936 - RMBS		09/01/2020	Paydown		176,362	176,362	181,391	.0	.0	(5,029)	.0	(5,029)	.0	176,362	.0	.0	.0	3,066	01/01/2050	1
3140X3-AX-6	FN FMO021 - RMBS		09/01/2020	Paydown		631,479	631,479	659,205	.0	.0	(27,726)	.0	(27,726)	.0	631,479	.0	.0	.0	14,448	03/01/2049	1
3140X4-H9-0	FN FMI155 - RMBS		09/01/2020	Paydown		329,982	329,982	340,191	.0	.0	(10,209)	.0	(10,209)	.0	329,982	.0	.0	.0	3,507	06/01/2032	1
3140X5-U3-8	FN FM2081 - RMBS		09/01/2020	Paydown		15,938	15,938	16,414	16,413	.0	(476)	.0	(476)	.0	15,938	.0	.0	.0	313	12/01/2049	1
3140X5-JX-2	FN FM2077 - RMBS		09/01/2020	Paydown		95,500	95,500	98,231	98,229	.0	(2,729)	.0	(2,729)	.0	95,500	.0	.0	.0	1,926	12/01/2049	1
3140X5-JZ-7	FN FM2079 - RMBS		09/01/2020	Paydown		112,089	112,089	114,777	114,779	.0	(2,690)	.0	(2,690)	.0	112,089	.0	.0	.0	2,283	12/01/2049	1
3140X6-PC-9	FN FM3118 - RMBS		09/01/2020	Paydown		28,911	28,911	31,088	.0	.0	(2,177)	.0	(2,177)	.0	28,911	.0	.0	.0	72	05/01/2050	1
31417A-AK-6	FN AB3865 - RMBS		09/01/2020	Paydown		2,995	2,995	3,196	3,175	.0	(180)	.0	(180)	.0	2,995	.0	.0	.0	72	11/01/2041	1
31417D-F7-3	FN AB6489 - RMBS		09/01/2020	Paydown		39,115	39,115	38,896	38,896	.0	219	.0	219	.0	39,115	.0	.0	.0	806	10/01/2042	1
31417E-CS-8	FN AB7280 - RMBS		09/01/2020	Paydown		6,583	6,583	6,545	6,546	.0	37	.0	37	.0	6,583	.0	.0	.0	129	12/01/2042	1
31417G-SW-2	FN AB9860 - RMBS		09/01/2020	Paydown		1,990	1,990	1,978	1,979	.0	12	.0	12	.0	1,990	.0	.0	.0	42	07/01/2043	1
31418C-2M-5	FN MA3479 - RMBS		09/01/2020	Paydown		40,148	40,148	40,049	40,049	.0	99	.0	99	.0	40,148	.0	.0	.0	799	09/01/2048	1
31418C-NG-6	FN MA3058 - RMBS		09/01/2020	Paydown		255,589	255,589	273,959	.0	.0	(18,370)	.0	(18,370)	.0	2						

STATEMENT AS OF SEPTEMBER 30, 2020 OF THE Humana Benefit Plan of Illinois Inc.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22	
										11	12	13	14	15								
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Other Than Temporary Impairment Recogn- ized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol	
31418C-ZV-9	FN MA3455 - RMBS		09/01/2020	Paydown		224,374	224,374	223,822	223,821	.0	.553	.0	.553	.0	224,374	.0	.0	.0	4,432	08/01/2048	1	
31418D-EI-5	FN MA3745 - RMBS		09/01/2020	Paydown		835,176	835,176	860,857	.0	.0	(25,681)	.0	(25,681)	.0	835,176	.0	.0	.0	16,888	08/01/2049	1	
31418D-EU-2	FN MA3746 - RMBS		09/01/2020	Paydown		397,316	414,259	.0	.0	.0	(16,943)	.0	(16,943)	.0	397,316	.0	.0	.0	8,337	08/01/2049	1	
31418D-HJ-7	FN MA3827 - RMBS		09/01/2020	Paydown		85,842	85,842	86,637	86,636	.0	(.793)	.0	(.793)	.0	85,842	.0	.0	.0	1,427	11/01/2034	1	
31418D-JJ-2	FN MA3864 - RMBS		09/01/2020	Paydown		161,156	161,156	162,503	162,501	.0	(1,345)	.0	(1,345)	.0	161,156	.0	.0	.0	2,682	12/01/2034	1	
31418D-MD-1	FN MA3955 - RMBS		09/01/2020	Paydown		763,498	763,498	797,140	.0	.0	(33,642)	.0	(33,642)	.0	763,498	.0	.0	.0	5,266	03/01/2035	1	
31418D-N7-3	FN MA4013 - RMBS		09/01/2020	Paydown		103,682	103,682	108,250	.0	.0	(4,568)	.0	(4,568)	.0	103,682	.0	.0	.0	694	05/01/2035	1	
31418D-N8-1	FN MA4014 - RMBS		09/01/2020	Paydown		135,820	135,820	143,414	.0	.0	(7,594)	.0	(7,594)	.0	135,820	.0	.0	.0	1,117	05/01/2035	1	
31418D-QH-8	FN MA4055 - RMBS		09/01/2020	Paydown		63,618	63,618	66,006	.0	.0	(2,388)	.0	(2,388)	.0	63,618	.0	.0	.0	434	06/01/2050	1	
35563P-GG-8	SCRT 2018-3 MA - CMO/RMBS		09/01/2020	Paydown		121,393	121,393	120,735	120,729	.0	663	.0	663	.0	121,393	.0	.0	.0	2,832	08/27/2057	1	
35563P-JF-7	SCRT 2019-1 MA - CMO/RMBS		09/01/2020	Paydown		130,998	130,998	131,750	132,120	.0	(1,122)	.0	(1,122)	.0	130,998	.0	.0	.0	3,093	07/25/2058	1	
35563P-KG-3	SCRT 2019-2 MA - CMO/RMBS		09/01/2020	Paydown		37,860	37,860	38,300	38,300	.0	(.439)	.0	(.439)	.0	37,860	.0	.0	.0	894	08/26/2058	1	
35563P-LH-0	SCRT 2019-3 MA - CMO		09/01/2020	Paydown		91,006	91,006	95,125	95,323	.0	(4,317)	.0	(4,317)	.0	91,006	.0	.0	.0	2,139	10/25/2058	1	
35563P-ML-0	SCRT 2019-4 MA - CMO/RMBS		09/01/2020	Paydown		111,895	111,895	114,347	114,123	.0	(2,228)	.0	(2,228)	.0	111,895	.0	.0	.0	2,250	02/25/2059	1	
35563P-NP-0	SCRT 2020-1 MA - CMO/RMBS		09/01/2020	Paydown		31,485	31,485	32,719	.0	.0	(1,234)	.0	(1,234)	.0	31,485	.0	.0	.0	399	08/25/2059	1	
57584X-XN-6	MASSACHUSETTS ST DEV FIN AGY REV		07/15/2020	Maturity @ 100.00		110,000	110,000	126,269	112,378	.0	(2,378)	.0	(2,378)	.0	110,000	.0	.0	.0	5,500	07/15/2020	1FE	
60637B-RG-7	MISSOURI ST HSG DEV COMMN SINGLE FAMILY		06/01/2020	Call @ 100.00		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	2	11/01/2048	1FE	
91514A-FD-2	UNIVERSITY TEX UNIV REVS IAM COML PAPER		08/15/2020	Maturity @ 100.00		260,000	260,000	272,849	265,465	.0	(5,465)	.0	(5,465)	.0	260,000	.0	.0	.0	13,000	08/15/2020	1FE	
915217-WH-2	UNIVERSITY VA UNIV REVS		08/06/2020	CORPORATE ACTION		41,242	40,000	47,368	41,986	.0	(.744)	.0	(.744)	.0	41,242	.0	.0	.0	2,028	08/01/2021	1FE	
92778F-DA-8	VIRGINIA COLLEGE BUILDING AUTHORITY		09/01/2020	Call @ 100.00		30,000	30,000	35,204	.0	.0	(.206)	.0	(.206)	.0	30,000	.0	.0	.0	.750	09/01/2020	1FE	
92778F-DD-2	VIRGINIA COLLEGE BUILDING AUTHORITY		09/01/2020	Maturity @ 100.00		105,000	105,000	123,213	107,545	.0	(2,545)	.0	(2,545)	.0	105,000	.0	.0	.0	5,250	09/01/2020	1FE	
3199999	Subtotal - Bonds - U.S. Special Revenues					17,071,731	17,070,489	17,582,555	11,800,637	0	(459,094)	0	(459,094)	0	17,071,731	0	0	0	361,350	XXX	XXX	
00130H-BT-1	AES CORP		06/08/2020	Call @ 100.00		.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	.0	(4,509)	(4,509)	4,509	05/15/2023	3FE	
04033B-AB-4	ARIFL 18B A2 - ABS		09/15/2020	Paydown		166,970	166,970	166,965	166,967	.0	.2	.0	.2	.0	166,970	.0	.0	.0	3,474	08/16/2027	1FE	
056054-AA-7	BX 19XL A - CMBS		07/15/2020	Paydown		13,499	13,499	13,499	13,499	.0	.0	.0	.0	.0	13,499	.0	.0	.0	153	10/15/2036	1FE	
056059-AA-6	BX 181ND A - CMBS		09/15/2020	Paydown		53,363	53,363	53,397	53,385	.0	(.21)	.0	(.21)	.0	53,363	.0	.0	.0	571	11/15/2035	1FE	
05607Q-AA-6	BX 2020-BXLP A - CMBS		07/15/2020	Paydown		1,152	1,152	1,152	.0	.0	.0	.0	.0	.0	1,152	.0	.0	.0	8	12/15/2036	1FE	
05618D-AJ-3	BABSN 2014-I A1R - CDO		07/20/2020	Paydown		116,397	116,397	116,281	116,002	.0	395	.0	395	.0	116,397	.0	.0	.0	2,463	07/21/2025	1FE	
120111-BM-0	STANDARD INDUSTRIES INC		07/14/2020	Call @ 102.69		138,629	138,629	139,050	138,474	.0	(.653)	.0	(.653)	.0	137,821	.0	808	808	4,878	11/15/2024	3FE	
12513G-BC-2	CDW LLC		09/03/2020	Call @ 103.75		518,750	500,000	504,775	504,237	.0	(.454)	.0	(.454)	.0	503,783	.0	14,967	14,967	25,556	09/01/2025	3FE	
126307-AT-4	CSC HOLDINGS LLC		07/10/2020	Call @ 100.00		202,688	200,000	209,750	204,343	.0	(1,655)	.0	(1,655)	.0	202,688	.0	(2,688)	(2,688)	13,438	07/15/2023	3FE	
14310M-AW-7	CGMS 2014-1 A1R - CDO		07/17/2020	Paydown		954	954	949	950	.0	.4	.0	.4	.0	954	.0	.0	.0	19	04/17/2031	1FE	
14315L-AA-2	CGMS 2014-3-R A1A - CDO		07/27/2020	Paydown		1,483	1,483	1,470	1,466	.0	.17	.0	.17	.0	1,483	.0	.0	.0	30	07/28/2031	1FE	
165183-BG-8	CFII 2018-1 A1 - ABS		09/15/2020	Paydown		120,228	120,228	120,224	120,226	.0	.2	.0	.2	.0	120,228	.0	.0	.0	2,431	04/15/2030	1FE	
22822V-AD-3	CROWN CASTLE INTERNATIONAL CORP		07/01/2020	Call @ 102.01		244,829	240,000	239,933	239,977	.0	.7	.0	.7	.0	239,984	.0	4,845	4,845	4,575	09/01/2021	2FE	
29372J-AC-1	EFF 2017-2 A3 - ABS		09/20/2020	Paydown		95,176	95,176	95,174	95,175	.0	.1	.0	.1	.0	95,176	.0	.0	.0	1,390	01/20/2023	1FE	
29373F-AB-0	EFF 182 A2 - ABS		09/20/2020	Paydown		116,939	116,939	116,921	116,930	.0	.9	.0	.9	.0	116,939	.0	.0	.0	2,436	02/20/2024	1FE	
48253Q-AA-3	KIND 2020-A1P A - CMBS		07/15/2020	Paydown		6,757	6,757	6,546	.0	.0	.211	.0	.211	.0	6,757	.0	.0	.0	30	03/16/2037	1FE	
61764P-BS-0	MSBAM 2014-C19 ASB - CMBS		09/01/2020	Paydown		60,550	60,550	63,916	.0	.0	(3,366)	.0	(3,366)	.0	60,550	.0	.0	.0	332	12/17/2047	1FE	
78449F-AC-5	SMB 2016-A A2B - ABS		09/15/2020	Paydown		20,447	20,447	20,518	.0	.0	(.70)	.0	(.70)	.0	20,447	.0	.0	.0	89	05/15/2031	1FE	
78449L-AC-2	SMB 2018-B A2B - ABS		09/15/2020	Paydown		22,457	22,457	21,704	.0	.0	.753	.0	.753	.0	22,457	.0	.0	.0	80	01/15/2037	1FE	
78449V-AA-4	SMB 2020-PT-A A1 - ABS		09/15/2020	Paydown		183,318	183,318	182,253	.0	.0	1,065	.0	1,065	.0	183,318	.0	.0	.0	157	09/15/2054	1FE	
87165L-AT-8	SYNCT 2015-4 A - ABS		09/15/2020	Various		200,000	200,000	199,998	199,999	.0	.1	.0	.1	.0	200,000	.0	.0	.0	3,570	09/15/2023	1FE	
911365-BD-5	UNITED RENTALS (NORTH AMERICA) INC		08/25/2020	Call @ 102.75		4,443,938	4,325,000	4,441,921	4,428,767	.0	(10,981)	.0	(10,981)	.0	4,417,786	.0	26,152	26,152	266,288	07/15/2025	3FE	
96328D-BF-0	WHL5 2018-1 A2 - ABS		09/20/2020	Paydown		33,957	33,957	33,954	33,955	.0	.1	.0	.1	.0	33,957	.0	.0	.0	690	04/20/2027	1FE	
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)					6,762,479	6,613,646	6,750,349	6,434,353	0	(14,733)	0	(14,733)	0	6,715,708	0	39,574	39,574	337,165	XXX	XXX	
8399997	Total - Bonds - Part 4					25,223,933	25,073,859	25,767,549	19,652,710	0	(501,824)	0	(501,824)	0	25,177,162	0	39,574	39,574	745,445	XXX	XXX	
8399998	Total - Bonds - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX
8399999	Total - Bonds					25,223,933	25,073,859	25,767,549	19,652,710	0	(501,824)	0	(501,824)	0	25,177,162	0	39,574	39,574	745,445	XXX	XXX	
8999997	Total - Preferred Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
8999998	Total - Preferred Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	
8999999	Total - Preferred Stocks					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799997	Total - Common Stocks - Part 4					0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX	
9799998	Total - Common Stocks - Part 5					XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	XXX	

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change In Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	For- eign	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amor- tization)/ Accretion	Current Year's Temporary Impairment Recog- nized	Total Change in Book/ Adjusted Carrying Value (11 + 12 - 13)	Total Foreign Exchange Change in Book /Adjusted Carrying Value	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Con- tractual Maturity Date	NAIC Desig- nation and Admini- strative Symbol
9799999. Total - Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9899999. Total - Preferred and Common Stocks						0	XXX	0	0	0	0	0	0	0	0	0	0	0	0	XXX	XXX
9999999 - Totals						25,223,933	XXX	25,767,549	19,652,710	0	(501,824)	0	(501,824)	0	25,177,162	0	39,574	39,574	745,445	XXX	XXX

Schedule DB - Part A - Section 1 - Options, Caps, Floors, Collars, Swaps and Forwards Open
N O N E

Schedule DB - Part B - Section 1 - Futures Contracts Open
N O N E

Schedule DB - Part B - Section 1B - Brokers with whom cash deposits have been made
N O N E

Schedule DB - Part D - Section 1 - Counterparty Exposure for Derivative Instruments Open
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged By
N O N E

Schedule DB - Part D-Section 2 - Collateral for Derivative Instruments Open - Pledged To
N O N E

Schedule DB - Part E - Derivatives Hedging Variable Annuity Guarantees
N O N E

Schedule DL - Part 1 - Reinvested Collateral Assets Owned
N O N E

Schedule DL - Part 2 - Reinvested Collateral Assets Owned
N O N E

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

1	2	3	4	5	Book Balance at End of Each Month During Current Quarter			9
					6	7	8	
					First Month	Second Month	Third Month	
Depository	Code	Rate of Interest	Amount of Interest Received During Current Quarter	Amount of Interest Accrued at Current Statement Date				*
US BANK Milwaukee, WI		0.000	0	0	(11,226,823)	(13,407,725)	(11,983,375)	XXX
JP MORGAN CHASE New York, NY		0.000	0	0	10,178	6,928	17,713	XXX
PNC BANK Louisville, KY		0.000	0	0	99,007	120,900	97,958	XXX
JP Morgan Time Deposit New York, NY		0.050	22	0	44,976,364	27,679,677	36,004,140	XXX
0199998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Open Depositories	XXX	XXX	0	0	0	0	0	XXX
0199999. Totals - Open Depositories	XXX	XXX	22	0	33,858,726	14,399,779	24,136,436	XXX
0299998. Deposits in ... 0 depositories that do not exceed the allowable limit in any one depository (See instructions) - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0299999. Totals - Suspended Depositories	XXX	XXX	0	0	0	0	0	XXX
0399999. Total Cash on Deposit	XXX	XXX	22	0	33,858,726	14,399,779	24,136,436	XXX
0499999. Cash in Company's Office	XXX	XXX	XXX	XXX	0	0	0	XXX
.....								
.....								
.....								
.....								
.....								
.....								
.....								
.....								
0599999. Total - Cash	XXX	XXX	22	0	33,858,726	14,399,779	24,136,436	XXX

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

1	2	3	4	5	6	7	8	9
CUSIP	Description	Code	Date Acquired	Rate of Interest	Maturity Date	Book/Adjusted Carrying Value	Amount of Interest Due and Accrued	Amount Received During Year
	UNITED STATES TREASURY09/30/2020	0.000	11/12/2020	9,999,067	..0	..22
	UNITED STATES TREASURY09/01/2020	0.000	10/08/2020	24,999,562	..0	..1,875
0199999.	Subtotal - Bonds - U.S. Governments - Issuer Obligations					34,998,628	0	1,897
0599999.	Total - U.S. Government Bonds					34,998,628	0	1,897
1099999.	Total - All Other Government Bonds					0	0	0
1799999.	Total - U.S. States, Territories and Possessions Bonds					0	0	0
2499999.	Total - U.S. Political Subdivisions Bonds					0	0	0
	FEDERAL HOME LOAN BANKS08/31/2020	0.000	10/09/2020	9,999,822	..0	..689
	FEDERAL HOME LOAN BANKS09/17/2020	0.000	10/14/2020	24,999,233	..0	..826
	FEDERAL HOME LOAN BANKS09/01/2020	0.000	10/21/2020	24,998,819	..0	..1,771
	FEDERAL HOME LOAN BANKS09/30/2020	0.000	10/28/2020	24,998,781	..0	..45
2599999.	Subtotal - Bonds - U.S. Special Revenues - Issuer Obligations					84,996,656	0	3,331
3199999.	Total - U.S. Special Revenues Bonds					84,996,656	0	3,331
	American Electric Power Company, Inc.08/28/2020	0.000	10/07/2020	24,999,458	..0	..3,069
	Consolidated Edison Company of New York,09/21/2020	0.000	10/16/2020	24,358,846	..0	..769
	GlaxoSmithKline Finance plc09/08/2020	0.000	10/02/2020	9,999,961	..0	..894
	National Securities Clearing Corporation08/31/2020	0.000	10/09/2020	4,999,867	..0	..517
	Nestl? Finance International Ltd.09/22/2020	0.000	10/08/2020	14,999,767	..0	..300
	TOYOTA INDUSTRIES COMMERCIAL FINAN07/29/2020	0.000	10/26/2020	4,999,340	..0	..1,689
3299999.	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated) - Issuer Obligations					84,357,239	0	7,239
3899999.	Total - Industrial and Miscellaneous (Unaffiliated) Bonds					84,357,239	0	7,239
4899999.	Total - Hybrid Securities					0	0	0
5599999.	Total - Parent, Subsidiaries and Affiliates Bonds					0	0	0
6099999.	Subtotal - SVO Identified Funds					0	0	0
6599999.	Subtotal - Unaffiliated Bank Loans					0	0	0
7699999.	Total - Issuer Obligations					204,352,523	0	12,467
7799999.	Total - Residential Mortgage-Backed Securities					0	0	0
7899999.	Total - Commercial Mortgage-Backed Securities					0	0	0
7999999.	Total - Other Loan-Backed and Structured Securities					0	0	0
8099999.	Total - SVO Identified Funds					0	0	0
8199999.	Total - Affiliated Bank Loans					0	0	0
8299999.	Total - Unaffiliated Bank Loans					0	0	0
8399999.	Total Bonds					204,352,523	0	12,467
09248U-71-8	BLKPK LQ:T-FUND INSTL09/25/2020	0.040		56,295,001	..2,080	..0
31846V-41-9	FIRST AMER:TRS OBG V	SD06/01/2020	0.010		..1	..0	..0
4812C2-23-9	JPMORGAN:US TRS+MM CAP09/29/2020	0.030		50,172	..909	..0
8599999.	Subtotal - Exempt Money Market Mutual Funds - as Identified by the SVO					56,345,174	2,989	0
711991-00-0	TD BANK DEPOSIT SWEEP	SD09/15/2020	0.000		12,018	..0	..143
8699999.	Subtotal - All Other Money Market Mutual Funds					12,018	0	143
8899999.	Total Cash Equivalents					260,709,715	2,989	12,610